Susceptibility and Prevention

Analysis of Genetic Variants in Never-Smokers with Lung Cancer Facilitated by an Internet-Based Blood Collection Protocol: A Preliminary Report

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Abstract

Purpose: Germ line polymorphisms may confer susceptibility to lung cancer in never smokers, but studies in the United States have been limited by the low number of cases seen at single institutions. We hypothesized that we could use the Internet to bolster the accrual of appropriate patients.

Experimental Design: We established an Internet-based protocol to collect blood and information from patients throughout the United States. To illustrate the power of this approach, we used these samples, plus additional cases and age-matched controls from the Memorial Sloan-Kettering Cancer Center (New York, NY) and the Aichi Cancer Center (Nagoya, Japan), to analyze germ line DNA for genetic variants reportedly associated with lung cancer susceptibility. The genotypes for the polymorphisms rs763317 (intron 1) and T790M (exon 20) in the EGFR gene were determined by direct sequencing, and CHRNA3 nicotinic acetylcholine receptor single nucleotide polymorphisms (rs8034191 and rs1051730) were genotyped as part of a pilot genome-wide association study.

Results: We successfully analyzed germ line DNA from 369 cases, including 45 obtained via the Internet, and 342 controls. A germ line EGFR T790M variant was identified in 2 of the 369 cases (0.54%; 95% confidence interval, 0.21-1.29%), and in none of the 292 controls (P = 0.21). No difference was observed in EGFR rs763317 frequency between cases and controls. Similarly, neither CHRNA3 rs8034191 nor rs1051730 were associated with lung cancer risk.

Conclusions: The Internet provides a way to recruit patients throughout the country for minimal risk studies. This approach could be used to facilitate studies of germ line polymorphisms in specific groups of patients with cancer. Clin Cancer Res; 16(2); 755–63. ©2010 AACR.

Lung cancer is the leading cause of cancer-related death worldwide. Cigarette smoking causes lung cancer in the majority of patients. However, the disease also arises in patients who are lifelong never smokers, i.e., individuals who smoked less than 100 cigarettes in their lifetime. In the United States, an estimated 10% of lung cancers occur in never smokers (1). Higher percentages of never smokers, up to 30%, have been observed in East Asian countries (2). Compared with other patients with lung cancer, “never smokers” with lung cancer have a unique clinical course (3, 4): they have a better prognosis (4), their tumors are more likely to harbor somatic mutations in the gene encoding the epidermal growth factor receptor (EGFR), and their tumors are more likely to respond to EGFR tyrosine kinase inhibitors (5, 6).

The etiology of lung cancer in never smokers is poorly understood. Second-hand smoke and radon may account for as many as 50% of cases (7, 8). Outdoor air pollution, cooking oil fumes, coal fumes, and asbestos may also contribute as risk factors (9). Given the lack of direct exposure to known carcinogens, never smokers with lung cancer may represent a subgroup for which predisposing genetic factors might be prominent and distinct from those of smokers.

Several reports, including recent genome-wide association studies, have identified germ line genetic variants associated with an increased risk of lung cancer (10–15). Most variants occur in genes that encode key proteins involved in the metabolism of tobacco-related products, including cytochrome P4501A1 (10), glutathione-S-transferase (11), myeloperoxidase (12), or nicotinic acetylcholine receptor (nAchR) subunits (13–15). The influence of cigarette
Translational Relevance

This study examines three genetic variants, reported to be associated with genetic susceptibility, in never smokers with lung cancer from the United States and Japan. We collected germ line DNA from a large cohort of American and Japanese never smokers with or without lung cancer. We used a dedicated Internet-based protocol to bolster accrual from patients throughout the United States. Contrary to previous studies including smokers, no differences were observed in epidermal growth factor receptor rs763317 or CHRNA3 (rs8034191/rs1051730) frequencies between cases and controls. The CHRNA3 loci are more likely to be associated with smoking addiction rather than the development of lung cancer. We did identify a germ line EGFR T790M variant in 2 of 369 cases (0.54%). This study validates the epidermal growth factor receptor T790M as a rare lung cancer risk variant in never smokers and illustrates the usefulness of the Internet in recruiting patients throughout the country for minimal risk studies.

Materials and Methods

Patients. Patient samples from cases were obtained on IRB-approved protocols from three different sources: (a) an Internet-based protocol that collected samples from patients throughout the United States, (b) the Memorial Sloan-Kettering Cancer Center (MSKCC) in New York, NY, and (c) the Aichi Cancer Center in Nagoya, Japan. DNA specimens from age-matched never-smoker controls without lung cancer (controls) were collected under various IRB-approved protocols at the MSKCC (120 cases and 95 controls) or at the Aichi Cancer Center (125 cases and 247 controls; Table 1).

Internet-based enrollment at MSKCC. A prospective study to collect clinical data and biological specimens from never smokers with lung cancer was approved by the MSKCC IRB and recorded in the ClinicalTrials.gov database (NCT00745160). The study opened in September 2008.10 Eligible patients were (a) >18 y old, (b) had histologically and/or cytologically proven NSCLC (adenocarcinoma, squamous cell carcinoma, and large cell carcinoma; ref. 18), and (c) were never smokers. Patients had to complete a screening questionnaire and give written informed consent for participation. Patients were excluded if they had any previous history of invasive malignancy (other than lung cancer), lived outside of the United States, or were not English speaking (as protocol documents and consents are only written in English).

Patients at MSKCC were allowed to enroll on the same protocol directly in the clinic. A retrospective review of the clinical and pathologic characteristics of these patients, including results of routine genotyping for the most common types of EGFR activating mutations, was done with IRB approval.

Internet-based collection of clinical data and blood. Patients read about the study via an IRB-approved web site11 (Fig. 1) and then provided contact information either through the web site or by sending an e-mail requesting information12 (step 1). A screening kit was directly sent to patients by e-mail or by regular mail. This included a detailed information packet, a screening questionnaire, consent forms, and a letter for release of pathology records (step 2). The questionnaire aimed at determining study eligibility and consisted of a detailed smoking history, based on the Centers for Disease Control and Prevention Behavioral Risk Factor Surveillance System Survey Questionnaire (19), along with additional questions regarding diagnosis, sex, age, ethnicity, mutation status of the tumor, treatment history, environmental exposure, and history of previous malignancies. A toll-free number was available for questions about the study. Documents were shipped back to study investigators using a prepaid shipping label (step 3).

Eligible patients were shipped materials for blood collection, including a letter to a local laboratory testing provider, two sodium heparinized 10-mL tubes, biohazard bags, and a prepaid overnight delivery bubble envelope (step 4). For patients who did not have regularly scheduled blood tests,
saliva self-collection kits (Oragene OG-250, DNA Genotek) were sent. To ensure patient confidentiality, prior to sending kits to patients, collection materials were labeled with unique identifiers. Files linking patients’ names and protocol ID codes were accessible to only one person, who was not directly involved in the processing of the samples or subsequently in the data analysis. Bloods were drawn as part of a routine venipuncture (step 5), returned to study investigators, and stored at −80°C (step 6).

**DNA extraction and genotyping of genetic variants.** DNA was extracted from blood and saliva specimens using the Puregene DNA blood kit (Qiagen) or standard methods. *EGFR* intron 1 and exon 20 were analyzed by direct sequencing, using the following primers: ex1-F-5′-AGGGCTGAGAAAGAGGACA-3′ and ex1-R-5′-TGGGGAGAAAGTAAAGCTA-3′, and ex20-F-5′-CTCCA-CAGCC CCAGTGTC-3′ and ex20-R-5′-GGCCAGTGCT-GTCCTAAGG-3′, respectively.

SNPs in the nAchR were genotyped as part of a pilot genome-wide association study. We genotyped 672 DNA samples using the Illumina 610-Quad v1.0 genotyping system. We first removed random samples used to assess the quality of DNA derived from our protocol (see below). Following evaluation of call rate and presence of monomorphs, we removed samples with a <98% call rate per individual, 50% identity-by-descent to another sample in the study, and/or with a gender discordance (X homozygosity). SNPs with >5% missing genotypes and monomorphs were also removed. This left us with 624 individuals genotyped at 582,871 SNPs each. We used principal components analysis, as implemented in the EIGENSOFT software package (20), separately on samples of Asian and European ancestry to remove population outliers and determine significant principal components to account for residual population stratification. Among the Asian ancestry samples, all nonoutlier samples came from Japan. Three significant principal components were found in the Asian ancestry samples, whereas five significant principal components were found in the European ancestry samples.

**Statistical analyses.** For *EGFR* exon 20, categorical variables were compared using Fisher’s exact test. Results were considered significant at the 0.05 level. Statistical analyses were done using SPSS, version 17.0.

For the other SNPs, logistic regression was used to assess allele frequency differences between cases and controls as implemented in the software package PLINK (21). For the nAchR SNPs, significant principal components were included as covariates to account for population substructure.

**Results**

**Determination of DNA quality from blood specimens.** To determine the feasibility of extracting high-quality DNA from blood stored at room temperature for varying periods of times, we tested blood samples from 10 volunteers processed 24, 48, or 72 hours after venipuncture. In all cases, processing of extracted DNA on Human 610-Quad v1.0 arrays (Illumina) resulted in call rates higher than 99.99%.

We allowed blood collection from patients at any time during their treatment course. To ensure that this approach was valid, we showed that SNP discordance was lower than 10−5 pre- and post-chemotherapy in four patients.

**Clinical data and blood sample collection.** The protocol web site went online on September 15, 2008. As of May 15, 2009, 122 patients expressed interest in the study via the Internet (n = 119) or the phone (n = 3). Patients learned about the program through Internet searches (n = 49), blogs (n = 48), personal doctors (n = 15), or nonprofit organizations’ newsletters (n = 10). A significant number of patients contacted us after the web site was highlighted on cancer-related web sites; subsequently, accrual was quite steady. Five patients resided outside the United States and were excluded. Five individuals sent e-mail messages

### Table 1. Population characteristics

<table>
<thead>
<tr>
<th>Source</th>
<th>Cases</th>
<th>Controls</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n (%)</td>
<td>n (%)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>369 (100%)</td>
<td>342 (100%)</td>
</tr>
<tr>
<td><strong>Source</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Internet protocol</td>
<td>124 (34%)</td>
<td>NA</td>
</tr>
<tr>
<td>Internet</td>
<td>45 (12%)</td>
<td>NA</td>
</tr>
<tr>
<td>MSKCC</td>
<td>79 (21%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>MSKCC blood bank</td>
<td>120 (33%)</td>
<td>95 (17%)</td>
</tr>
<tr>
<td>Aichi Cancer Center</td>
<td>125 (34%)</td>
<td>247 (83%)</td>
</tr>
<tr>
<td><strong>Ethnicity</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>201 (54%)</td>
<td>95 (17%)</td>
</tr>
<tr>
<td>Non-Hispanic</td>
<td>197 (53%)</td>
<td>95 (17%)</td>
</tr>
<tr>
<td>Hispanic</td>
<td>4 (1%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>Asian</td>
<td>152 (41%)</td>
<td>247 (83%)</td>
</tr>
<tr>
<td>Black</td>
<td>16 (4%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td><strong>Gender</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Women</td>
<td>324 (88%)</td>
<td>342 (100%)</td>
</tr>
<tr>
<td>Men</td>
<td>45 (12%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td><strong>Histology</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Adenocarcinoma</td>
<td>367 (99%)</td>
<td>NA</td>
</tr>
<tr>
<td>Squamous cell carcinoma</td>
<td>2 (1%)</td>
<td>NA</td>
</tr>
<tr>
<td>Large cell carcinoma</td>
<td>0 (0%)</td>
<td>NA</td>
</tr>
<tr>
<td><strong>Tumor EGFR status</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mutant</td>
<td>131 (36%)</td>
<td>NA</td>
</tr>
<tr>
<td>Exon 19 deletion</td>
<td>75 (20%)</td>
<td>NA</td>
</tr>
<tr>
<td>L858R mutation</td>
<td>51 (14%)</td>
<td>NA</td>
</tr>
<tr>
<td>Exon 19 insertion</td>
<td>2 (0.5%)</td>
<td>NA</td>
</tr>
<tr>
<td>L861Q</td>
<td>1 (0.2%)</td>
<td>NA</td>
</tr>
<tr>
<td>T790M mutation</td>
<td>2 (0.5%)</td>
<td>NA</td>
</tr>
<tr>
<td>Wild-type</td>
<td>95 (26%)</td>
<td>NA</td>
</tr>
<tr>
<td>Unknown</td>
<td>143 (39%)</td>
<td>NA</td>
</tr>
</tbody>
</table>

**Abbreviation:** NA, not applicable
only to share personal experiences. Overall, screening documents were sent to 111 patients.

Preferred initial contact with study investigators was e-mail (n = 89), regular mail (n = 11), and phone call (n = 11). Patients were from 28 different states, with the highest representations from Florida (n = 19) and California (n = 11; Fig. 2).

Sixty-three (57%) patients returned the screening documents. Eligibility was confirmed for 55 (87%) patients. Main reasons for exclusion were (a) a previous history of invasive cancer (n = 6), and (b) a histologic type other than NSCLC (carcinoid tumor in one case and small cell lung cancer in another case). The majority of patients (n = 52) had a regular blood test, and saliva collection kits were sent to only three patients. As of May 15, 2009, a total of 45 patients returned a blood/saliva specimen. Median time between first e-mail contact and receipt of blood was 33 days (range, 9-200 days).

During the same period, an additional 79 patients were recruited directly in MSKCC clinics. The clinical characteristics of all 124 patients whose biospecimens were collected on this protocol are shown in Table 1. There were 100 women and 24 men. Most were white and had tumors with adenocarcinoma histology (98% of cases). Tumor EGFR mutational status was known for 67 patients and consisted of exon 19 deletions in 41 (61%) cases.

Association analyses. Genotyping for the germ line EGFR T790M variant (C → T at nucleotide 2369 in exon 20) was done on DNA from 661 never smokers, including 369 patients with NSCLC, mostly of the adenocarcinoma subtype (99% of cases), and 292 controls, mostly of Asian ethnicity (83% of controls). Fifty controls from our cohort (45 white and 5 Asian) were not included in these analyses due to insufficient quantity of DNA after processing on SNP arrays. Median age was not significantly different in the two groups (61 and 62 years old; P = 0.822, Fisher's exact test). Results from routine tumor genotyping for EGFR somatic mutations were available for 226 cases, 131 (58%) of whom had an activating EGFR mutation. The T790M variant was identified in 2 (0.54%; 95% confidence interval, 0.21-1.29%) of the 369 patients with NSCLC, and in none of the 292 controls (P = 0.21 at Fisher's exact test).

SNP rs763317 in intron 1 was investigated for association with lung cancer, adjusting for ethnicity. Due to the limited number of individuals of black or Hispanic ancestry, these individuals were excluded from the analysis. No difference was observed in allele frequency between cases and controls (odds ratio, 0.93; 95% confidence interval, 0.70-1.2; P = 0.58). Similar results were found after the removal of

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**Fig. 1. Internet recruitment process.**

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40 males from the data set (odds ratio, 0.94; 95% confidence interval, 0.71-1.2; \( P = 0.67 \)). After restricting the cases to individuals known to have an EGFR mutant tumor, still no association was observed (odds ratio, 0.94; 95% confidence interval, 0.65-1.4; \( P = 0.73 \)). Similar negative results were found with dominant and recessive models.

Data for two SNPs in the \( \text{nAchR subunit gene CHRNA3} \) on chromosome 15q25 (rs8034191 and rs1051730) were extracted from an ongoing pilot genome-wide association study, including 217 of the cases and 342 of the controls of the cohort. This allowed us to consider population substructure within those two ethnic groups, using other data from SNP arrays. The nAchR SNPs were tested for association with lung cancer in the white and Japanese cohorts separately, adjusting for significant principal components in each cohort. Neither rs8034191 nor rs1051730 was associated with lung cancer risk (Table 2).

**Table 2. Association of SNPs in the nicotinic acetylcholine receptor gene with lung cancer risk in never-smokers**

<table>
<thead>
<tr>
<th>Cohort</th>
<th>SNP</th>
<th>Minor/major allele</th>
<th>No. of cases</th>
<th>No. of controls</th>
<th>Minor allele frequency in cases</th>
<th>Minor allele frequency in controls</th>
<th>Odds ratio (95% confidence interval)</th>
<th>( P )</th>
</tr>
</thead>
<tbody>
<tr>
<td>White</td>
<td>rs8034191</td>
<td>C/T</td>
<td>94</td>
<td>95</td>
<td>0.36</td>
<td>0.39</td>
<td>0.92 (0.62-1.4)</td>
<td>0.70</td>
</tr>
<tr>
<td>White</td>
<td>rs1051730</td>
<td>A/G</td>
<td>94</td>
<td>95</td>
<td>0.35</td>
<td>0.41</td>
<td>0.78 (0.51-1.2)</td>
<td>0.26</td>
</tr>
<tr>
<td>Japanese</td>
<td>rs8034191</td>
<td>C/T</td>
<td>123</td>
<td>247</td>
<td>0.016</td>
<td>0.026</td>
<td>0.56 (0.17-1.8)</td>
<td>0.32</td>
</tr>
<tr>
<td>Japanese</td>
<td>rs1051730</td>
<td>A/G</td>
<td>123</td>
<td>247</td>
<td>0.016</td>
<td>0.014</td>
<td>1.1 (0.32-4.0)</td>
<td>0.86</td>
</tr>
</tbody>
</table>

**Pedigrees of two patients with germ line EGFR T790M mutation.** The first patient with a germ line EGFR T790M mutation was a 66-year-old woman of Asian descent (from India) who presented with a 2 cm ground-glass nodule in the left lower lobe, associated with multiple bilateral subcentimeter ground-glass opacities. The patient's mother had a history of NSCLC (Fig. 3A). Left lower lobectomy revealed mixed adenocarcinoma with acinar and bronchioloalveolar features, harboring a somatic \( \text{EGFR} \) L858R mutation (Supplementary Fig. S1).

The second patient was a 58-year-old man of Eastern European ancestry who was diagnosed with NSCLC with bone and liver metastases. Family history was assessed by an expert genetic counselor (M. Robson). The patient had a significant family history for NSCLC; most family members were smokers (Fig. 3B). Core needle biopsy of a liver...
lesion revealed poorly differentiated acinar and solid ade
nocarcinoma, which was found to contain the \textit{EGFR}
L858R mutation at routine genotyping (Supplementary
Fig. S1). Unfortunately, tumor histology and mutational
status could not be determined for any of the family
members with NSCLC.

**Discussion**

The identification of genetic risk variants that may pre
dispose certain patients to disease requires the analysis of
germ line DNA from affected cases. In this study, we show
that the Internet can provide a secure, confidential, and
convenient way to bolster the accrual of target patients
from across the country.

Our protocol had a similar design to the Harvard
Myeloproliferative Disorders Study. That protocol used
the Internet to collect blood from 345 participants over
a 1-year period (i.e., 0.41%/mo of the 7,000 newly
diagnosed cases of myeloproliferative disorders in
the United States; ref. 22). We had hoped to accrue
patients at a similar rate. However, our recruitment
was significantly lower, as we only recruited 45 patients

![Pedigrees of patients found to have a germ line \textit{EGFR} T790M mutation. Numbers, ages of family members; arrows, probands; Lung, patients with
lung cancer (followed by age at onset); Leuk, leukemia; CLL, chronic lymphoid leukemia; Gastric, gastric cancer.](image-url)
Table 3. Lung cancer patients with germ line T790M mutations

<table>
<thead>
<tr>
<th>Ethnicity</th>
<th>Gender</th>
<th>Age (y)</th>
<th>Smoking status</th>
<th>Tumor EGFR status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bell et al. (26)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Proband</td>
<td>European</td>
<td>M</td>
<td>50</td>
<td>Smoker</td>
</tr>
<tr>
<td>Brother</td>
<td>European</td>
<td>M</td>
<td>55</td>
<td>Not reported</td>
</tr>
<tr>
<td>Prudkin et al. (28)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Proband</td>
<td>Not reported</td>
<td>F</td>
<td>72</td>
<td>Never smoker</td>
</tr>
<tr>
<td>Present study</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Patient 1</td>
<td>Asian (Indian)</td>
<td>F</td>
<td>66</td>
<td>Never smoker</td>
</tr>
<tr>
<td>Patient 2</td>
<td>European</td>
<td>M</td>
<td>58</td>
<td>Never smoker</td>
</tr>
</tbody>
</table>

Abbreviations: M, male; F, female.
*Two primary tumors.
suggested that these SNPs affect smoking behavior as well as lung cancer risk, and as we focused on never smokers with lung cancers, we cannot discount the possibility that the SNP only has an effect in smokers (or influences smoking behavior) and is truly not associated with lung cancer in never smokers.

To conclude, this study shows the feasibility of bolstering accrual for germ line predisposition studies in never smokers with lung cancer by collecting clinical information and blood sample specimens from appropriate patients throughout the nation using an Internet-based protocol. We plan in future studies to conduct genome-wide association studies with more cases and controls to identify genetic risk variants in this disease population.

Disclosure of Potential Conflicts of Interest

W. Pao: consultant/advisory board, MolecularMD. W. Pao and V. Miller: EGFR T790M patent, MolecularMD. The other authors disclosed no potential conflicts of interest.

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