Predictive Biomarkers and Personalized Medicine

Myeloid Biomarkers Associated with Glioblastoma Response to Anti-VEGF Therapy with Aflibercept

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Abstract

**Purpose:** VEGF and infiltrating myeloid cells are known regulators of tumor angiogenesis and vascular permeability in glioblastoma. We investigated potential blood-based markers associated with radiographic changes to aflibercept, which binds VEGF and placental growth factor (PlGF) in patients with recurrent glioblastoma.

**Experimental Design:** In this single-arm phase II trial, aflibercept was given intravenously every two weeks until disease progression. Plasma and peripheral blood mononuclear cells were collected at baseline and 24 hours, 14 days, and 28 days posttreatment. Plasma cytokines and angiogenic factors were quantified by using ELISA and multiplex bead assays, and myeloid cells were assessed by flow cytometry in a subset of patients.

**Results:** Circulating levels of VEGF significantly decreased 24 hours after treatment with aflibercept, coincident with radiographic response observed by MRI. PlGF initially decreased 24 hours posttreatment but increased significantly by days 14 and 28. Lower baseline levels of PlGF, elevated baseline levels of CTACK/CCL27, MCP3/CCL7, MIF, and IP-10/CXCL10, and a decrease in VEGFR1+ monocytes from baseline to 24 hours were all associated with improved response. Tumor progression was associated with increases in circulating matrix metalloproteinase 9.

**Conclusions:** These data suggest that decreases in VEGF posttreatment are associated with radiographic response to aflibercept. Elevated baseline chemokines of monocyte lineage in responding patients supports a role for myeloid cells and chemokines as potential biomarkers and regulators of glioma angiogenesis.

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Introduction

Glioblastoma is a highly angiogenic tumor type that seems to be at least partially dependent on VEGF, as evidenced by the substantial response rate of these tumors to anti-VEGF therapy in the clinic (1–4). Recent studies have suggested that solid tumor angiogenesis and growth is highly dependent upon nontumor cells in the tumor microenvironment, particularly inflammatory cells of the myeloid lineage, including mast cells, dendritic cells, eosinophils, neutrophils, and macrophages, which are known to be important sources of VEGF and other proangiogenic factors, including matrix metalloproteinase 9 (MMP9; ref. 5, 6). The role of these myeloid cells in promoting glioma angiogenesis, invasion, and treatment resistance is only starting to be elucidated.

In addition to their roles in tumor angiogenesis, hypoxia-regulated VEGF and placental growth factor (PlGF) are known to be important chemoattractors of VEGF receptor 1–positive (VEGFR1+) bone marrow–derived myeloid cells in glioma tumors (7, 8). Recent experimental evidence suggests that elimination of VEGFR1 signaling in bone marrow–derived cells significantly decreases tumor growth and vascularization, suggesting that VEGFR1-expressing myeloid cells play an important role in sustaining glioma angiogenesis (7). Furthermore, hypoxia promotion of neovascularization by bone marrow–derived cell recruitment to the tumor has been shown to be mediated via increased expression of the hypoxia-inducible factor-1 targets SDF-1α and VEGF. Importantly, bone marrow–derived cell expression of MMP9 was essential to initiate angiogenesis in this preclinical model (9).

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Intrinsic or acquired resistance limits the therapeutic efficacy of antiangiogenic therapy in patients. PlGF upregulation as a result of VEGFR inhibitor–mediated hypoxia, which may contribute to resistance by attracting bone marrow-derived cells to the tumor, is thought to be one potential mechanism of tumor resistance (9–12). Resistance to antiangiogenic therapy has been suggested to be mediated by Gr1+/CD11b− bone marrow cells (13), although PlGF did not seem to mediate the attraction of these cells. In addition to its role in myelomonocytic chemotraction, PlGF is thought to amplify VEGF signaling through augmenting the bioavailability of VEGF by displacing it from VEGFR1 (14). Thus, there is a strong rationale for simultaneous targeting of VEGF and PlGF.

To date, there are no validated markers for selecting patients likely to benefit from anti-VEGF therapy, optimizing drug dosage, or identifying mechanisms of therapeutic resistance. As a step toward addressing this critical unmet need, we determined the association between biomarker levels and response in patients with recurrent temozolomide-resistant glioblastoma enrolled in a multicenter phase II clinical trial of aflibercept, a potent recombinant decoy receptor that sequesters both VEGF and PlGF (NABTC 06-01). Our study shows rapid posttreatment decreases in VEGF but progressive increases in PlGF levels. Furthermore, our study indicates that circulating myeloid cells, such as VEGFR1+ monocytes, and myeloid-related cytokines are potential biomarkers for response to aflibercept in glioblastoma patients.

**Materials and Methods**

**Study design**

Patients were enrolled in a multicenter phase II study of aflibercept for treatment of recurrent glioblastoma at first relapse conducted through the North American Brain Tumor Consortium (NABTC 06-01; NCT00369590). All patients had histologically confirmed glioblastoma or gliosarcoma with unequivocal evidence of progression after chemoradiation and no more than one adjuvant temozolomide-containing regimen. Eligible patients were treated with aflibercept (VEGF Trap; Regeneron Pharmaceuticals) at the phase II dose of 4 mg/kg intravenously every 2 weeks until tumor progression, development of excessive toxicity, or consent withdrawal. At 4 weeks following the first treatment dose, radiographic response using MRI was determined by using criteria established by Macdonald and colleagues (15). More information about the phase II study and preliminary clinical results are detailed elsewhere (16). The study was approved by the Cancer Treatment Experimental Program (CITEP) and the Institutional Review Board of each participating NABTC site.

A total of 32 patients with recurrent glioblastoma were enrolled in the phase II study. One patient refused to participate in specimen collection and one patient withdrew consent after the first dose of aflibercept. Not all requested samples were collected by all centers: baseline plasma biomarker levels were available on 26 patients and baseline circulating cells were collected from only 16 patients. Samples were analyzed by laboratory personnel blinded to clinical response and outcome.

**Measurement of plasma biomarkers**

EDTA plasma was obtained at baseline, 24 hours, and every 14 days following the first dose of aflibercept and was stored at −80°C. Samples were batched and samples of each patient were analyzed simultaneously. Circulating levels of plasma and urine VEGF, PlGF, soluble VEGFR2, carbonic anhydrase 9 (CA9), and basic fibroblast growth factor were measured by using ELISA assays according to the manufacturer’s instructions (R & D Systems). The VEGF ELISA used in this study does not measure VEGF bound to aflibercept (personal communication with Regeneron Pharmaceuticals) and thus represents “free” VEGF, similar to the concept described by using an immunodepletion protocol, as previously described (17, 18). MMP9 and e-selectin were measured by using a LINCOplex Assay (LINCO Research; Millipore). Plasma samples from baseline and days 1, 14, and 28 were analyzed for cytokines and angiogenic factors by using commercially available multiplex suspension arrays (Bio-Rad Laboratories), as previously described (19, 20). A list of analytes is included in Supplementary Table S1. All samples were run in duplicate, and the analysis was repeated if the median coefficient of variance (CV) for all the analytes was greater than 25% for a given sample.

**Flow cytometry analysis of circulating mononuclear and endothelial cells**

Peripheral blood mononuclear cells were isolated by using cell preparation tubes with sodium citrate (BD Biosciences). Cell preparation, freezing, and staining were done as previously described (21, 22) with the modifications
described below. Baseline and subsequent follow-up samples from each patient were thawed and analyzed within a single batch to minimize inter-assay variability. The nuclear stain Syto16 was used to identify nucleated cells, and staining was done by using a panel of established antibodies for circulating endothelial and myeloid cells, including CD45, CD31, CD146, CD133, CD14, and VEGFR1 (21, 22). Monocytes were defined as positive for CD14 (23). The percentage of stained cells was determined by comparison with appropriate isotype controls. The volume of blood analyzed was determined by using the lymphocyte and monocyte numbers obtained from the differential blood count of the patient. Antibodies were purchased from the following sources: anti-human VEGFR1-APC, R&D Systems; CD14-PE, CD31-FITC (fluorescein isothiocyanate), and CD45-PerCp, BD Biosciences; CD133-APC and CD14-FITC, Miltenyi Biotec; P1H12-PE (CD146-PE), Chemicon; Syto-16 and CD14-Alexa Fluor 700, Invitrogen. Flow cytometry was done by using 8-color FACScanto (BD Biosciences) and data analyzed by using FlowJo (Tree Star).

Statistical analysis
Descriptive statistics were used to characterize cell counts and percentage changes. The Wilcoxon signed rank test was used to access changes from baseline to various time points. The Mann–Whitney test was used to compare the percentage change between responding patients and nonresponding patients. Kruskal–Wallis tests were applied to compare the expression of plasma protein concentrations and circulating cell counts among patients with progressed disease, stable disease, and partial response. Because the plasma protein concentrations and circulating cell counts were highly skewed, these were log-transformed in the analyses. Cumulative logistic regression models were used to explore the association between biomarkers and response. We estimated the OR with 95% CI for each biomarker. The time to disease progression were calculated from the date of registration. The Cox proportional hazard regression model was used to assess the effect of biomarkers on disease progression. All tests were 2-sided. The statistically significant level was $P = 0.05$. Because of the exploratory nature of these analyses, we did not control for multiple analyses. Observations which were missing were excluded from the analysis. Observations which were below the limit of quantitation were conservatively set at 0. All computations were carried out by using SAS 9.13 (SAS Institute).

Results
Aflibercept rapidly sequesters VEGF
After treatment with aflibercept, VEGF levels significantly decreased compared with baseline at 24 hours ($P < 0.00001$) and remained significantly lower than baseline at 14 days ($P < 0.0001$; Fig. 1A). The ELISA did not measure VEGF bound to aflibercept and thus the decrease in VEGF levels shows that aflibercept rapidly sequesters circulating free VEGF. Over time, there was an increase in VEGF levels from their 24-hour nadir such that VEGF levels at 28 days were not significantly different from baseline. This may reflect an accumulation of VEGF in the circulation or possibly the dissociation of VEGF from aflibercept during the ELISA assay, although the latter may be less likely given the high affinity of aflibercept for VEGF ($K_d \sim 0.5$ picomole/L).

Figure 1. Modulation of VEGF, PlGF, and CA9 levels in patients treated with aflibercept. Samples were taken at baseline and then 1, 14, and 28 days following the first dose of aflibercept as described in the Materials and Methods section. Box-whisker plots: horizontal line in the middle portion of the box, mean. Bottom and top boundaries of boxes, 25th and 75th percentiles, respectively. Lower and upper whiskers, 5th and 95th percentiles, respectively. A, plasma VEGF (pg/mL); B, urinary VEGF normalized to creatinine (pg/mg Cr); C, plasma PlGF (pg/mL); D, CA9 (pg/mL) in patients treated with aflibercept. *, $P < 0.05$ compared with baseline.
PlGF levels were lower at baseline compared with VEGF. Low levels of PlGF at baseline were associated with response (OR = 0.15, 95% CI: 0.02–0.97, P = 0.046), as shown in Figure 3. Following initiation of aflibercept treatment, PlGF had a dramatic increase compared with baseline, increasing within 24 hours (P < 0.0001) and remaining significantly elevated at 14 days (P < 0.0001) and 28 days (P < 0.0001; Fig. 1C). The dramatic and sustained increase in PlGF levels suggests that aflibercept treatment induced the expression of PlGF, as described in previous reports of other anti-VEGF agents (1, 20, 24).

Total urine VEGF levels normalized to patient creatinine levels increased in a fashion similar to that of plasma VEGF. Urine VEGF levels were marginally significantly different from baseline at 24 hours (P = 0.053) and 28 days (P = 0.058; Fig. 1B). There was no correlation, however, between total urine and plasma VEGF levels by using a Spearman test for correlation.

Because chronic anti-VEGF therapy is known to increase tumor hypoxia (9, 10, 25) and higher tissue levels of the hypoxia marker CA9 were recently reported to be associated with poor outcome in patients with glioblastoma (26), we explored changes in plasma levels of soluble CA9. As shown in Figure 1D, there was a marginally significant increase in CA9 at 24 hours, with a nonsignificant trend toward increasing levels with continued aflibercept therapy, suggesting that anti-VEGF therapy modulation of tumor hypoxia may be monitored in plasma over time.

Cytokine and angiogenic factor modulation following aflibercept treatment

In addition to evaluation of the primary aflibercept targets, we also explored the modulation of cytokine and angiogenic factors following aflibercept treatment. Plasma concentrations of interleukin (IL)-18 significantly decreased at 28 days (P = 0.02) and macrophage migratory inhibitory factor (MIF) significantly decreased at day 1 (P = 0.001) following aflibercept (Fig. 2A and B). In contrast, an increase in macrophage inhibitory factor (MIP)-1β was observed at day 1 (P = 0.002) and stem cell growth factor (SCGF)-β significantly increased at 28 days (P = 0.003) following aflibercept treatment (Fig. 2C and D). These chemokines are related to the attraction, differentiation, and proliferation of bone marrow–derived cells. Their change is consistent with the hypothesis that antiangiogenic therapy may promote the attraction and proliferation of myeloid cells as a mechanism of tumor escape from antiangiogenic therapy.

Change in VEGF and cytokine levels associated with radiographic changes to aflibercept

Nineteen of 26 patients with baseline biomarker levels had some reduction in tumor size (16). The best radiographic response at 28 days is shown in Figure 3A. Three patients (11.5%) had progressive disease (PD), 16 patients (61.5%) had stable disease (SD), and 7 patients (27%) had a partial response (PR) at the day 28 MRI. Two patients did not have sustained responses at the second imaging evaluation but were analyzed as PR at 28 days. These
radiographic response data are fairly representative of the entire 32 patient cohort, compare favorably with historical controls (27), and are similar to that seen with other anti-VEGF agents (3, 28).

Levels of plasma or urine VEGF and plasma PlGF were not significantly different at baseline between response groups. There was no correlation between pretreatment tumor size and baseline VEGF levels. By using a cumulative logistic regression model of the change in log-transformed levels, no significant association was found between the decrease in VEGF from baseline to 28 days and radiographic response (PD, SD, and PR; \( P = 0.41 \) for trend, Fig. 3B). An increase in MMP9 (\( P = 0.07 \)) and its endogenous inhibitor TIMP1 (tissue inhibitor of metalloproteinase 1; \( P = 0.03 \)) at 28 days compared with baseline is significantly associated with a risk of tumor progression during aflibercept treatment (Fig. 3C).

Baseline cytokine and angiogenic factors associated with radiographic changes to aflibercept

The variability in the degree and durability of response led us to explore the potential role of other cytokine and angiogenic factors in tumor response to anti-VEGF and anti-PlGF therapy. Baseline levels of several markers predicted response to aflibercept (Fig. 4A and B). By using a cumulative logistic regression model, high expression of several monocyte-associated factors, including cutaneous T-cell-attracting chemokine (CTACK/CCL27), macrophage chemotactic protein-3 (MCP-3/CCL7), MIF, and IFN-gamma-inducible protein 10 (IP-10/CXCL10) were all significantly associated with response: CTACK/CCL27: OR = 15.49 (95% CI: 1.49–164.2), \( P = 0.02 \); MCP3/CCL7: OR = 9.3 (95% CI: 1.08–79.84), \( P = 0.04 \); MIF: OR = 2.59 (95% CI: 1.06–6.30), \( P = 0.035 \); IP-10/CXCL10: OR = 4.90 (95% CI: 1.19–20.09), \( P = 0.028 \). As monocytes are known to localize to sites of hypoxia (29), we evaluated soluble
CA9 levels as an indirect surrogate of tissue hypoxia. Elevated levels of baseline CA9 was borderline significantly associated with response (OR = 6.33, 95% CI: 0.97–41.2, \( P = 0.054 \)). Thus, tumors with high baseline levels of hypoxia may be more sensitive to aflibercept.

**Baseline and dynamic changes in chemokines predict tumor progression**

Given the known regulation of VEGF and PlGF by tumor hypoxia and their potential association with response, we then explored the association between circulating levels of VEGF and CA9. We found an association between baseline levels of VEGF and CA9 (Spearman correlation coefficient \( r = 0.485, P = 0.026 \); Fig. 5A). No association was seen between levels of PlGF and CA9. Consistent with the idea that hypoxic tumors may be more vulnerable to VEGF inhibition, elevated baseline levels of CA9 were marginally significantly associated with a longer time to progression by using a Cox proportional hazards model (HR = 0.36, 95% CI: 0.13–1.13, \( P = 0.081 \); Fig. 5B).
Because tumor growth might be heralded by concomitant increases in circulating factors, we next sought to determine whether dynamic changes in markers correlated with tumor progression (Fig. 5C and D). A statistically significant correlation was seen between an increase in MMP9 levels from baseline to 28 days and tumor progression (HR $= 3.90$, 95% CI: 1.34–11.3, $P = 0.013$). TIMP1, an endogenous inhibitor of MMP9, showed a trend toward significant (HR $= 1.34$, 95% CI: 0.97–1.85, $P = 0.077$). There was also borderline significance between progression and PDGF-β (HR $= 0.84$, 95% CI: 0.67–1.04, $P = 0.012$).

**VEGFR1-expressing peripheral blood monocytes predict radiographic response to aflibercept**

Recent experimental evidence supports the role of VEGF and PlGF as critical mediators of bone marrow–derived VEGFR1$^+$ myeloid cell accumulation in glioma tumor tissue (7). We observed VEGFR1 staining mainly on monocytes expressing the monocyte marker CD14; 19% of cells expressing CD14 were positive for VEGFR1 compared with only 9.9% of CD14-negative cells. Baseline levels of VEGFR1 monocyte populations, circulating endothelial cells (CEC), and circulating endothelial precursors (CEP) were not significantly associated with radiographic disease status following treatment with aflibercept. By using a Cox proportional hazards model of progression, we found that a low baseline level of VEGFR1$^-$/CD14$^-$ monocytes was significantly correlated with shorter time to progression (HR $= 0.37$, 95% CI: 0.14–0.97, $P = 0.04$; Fig. 6A). We also found an association between greater decreases in VEGFR1$^+$/CD14$^+$ monocytes from baseline to day 1 and response to aflibercept (OR $= 0.1$, 95% CI: 0.01–0.77, $P = 0.03$; Fig. 6B). Changes in CECs/CEPs were not associated with response (data not shown). These findings support the recently described role of VEGFR1-expressing bone marrow–derived cells in mediating the proangiogenic phenotype in glioblastoma (7).

**Discussion**

In this study, we report for the first time serial assessment of plasma VEGF and PlGF in patients receiving aflibercept for recurrent glioblastoma as a means to address changes in drug targets VEGF and PlGF. To address other pathways that may be contributing to response or resistance to aflibercept, and to identify potential biomarkers, we have also conducted a comprehensive profiling of plasma cytokines and angiogenic factors as well as circulating cellular populations, including CECs/CEPs and VEGFR1$^+$ monocytes.

A recent retrospective tissue analysis of patients with recurrent glioblastoma treated with bevacizumab showed a positive correlation between tumor VEGF levels and response to bevacizumab (26). Circulating VEGF did not show a significant association with radiographic response to aflibercept, although there was no significant association between radiographic response and a decline in VEGF levels from baseline to 28 days. On the basis of this finding, one may speculate that patients who do not achieve a sufficient drop in VEGF levels may benefit from a higher dose of the drug, a possibility that merits further investigation.

PlGF also is thought to play an important role in tumor angiogenesis. Tumor growth is reduced in PlGF knockout
Hypoxia, a pathologic hallmark of glioblastoma, is a known trigger of VEGF, PlGF, and other cytokine production (8, 33) and may be an important mediator of resistance to antiangiogenic therapy (10, 25, 32). CA is a hypoxia-inducible transmembrane enzyme that is a reliable marker of hypoxia (34) and was recently shown to be an independent prognostic factor for malignant astrocytoma (35, 36). We found an association between VEGF and soluble CA9 levels at baseline, although PlGF levels were not similarly correlated. Anti-VEGF therapy has been associated with an increase in tumor hypoxia, and we showed an increase in soluble CA9 during anti-VEGF treatment. The use of CA9 as a noninvasive marker of tumor hypoxia, together with imaging and blood-based biomarkers, may provide valuable information about the biological impact of anti-VEGF therapy on an important factor thought to be driving tumor resistance to antiangiogenic therapy.

In addition to their role in angiogenesis, VEGF and PlGF attract VEGFR1+ myeloid cells to hypoxic, avascular sites as mediators of glioma angiogenesis, progression, and invasion (7, 8). In this study, we show for the first time that baseline levels of myeloid-associated cytokines are predictive of glioblastoma response to anti-VEGF therapy. Hypoxia is a strong regulator of MIF expression and secretion (37), whereas VEGF augments IFN-γ-mediated expression of IP-10 (38). VEGF and IP-10 alone can mediate immune inflammation and have a major impact on leukocyte trafficking (38). These chemokines are thought to be chemotactic and modulatory for immune cells, including monocytes and macrophages. Although the specific role of these chemokines in glioma angiogenesis is unknown, it is intriguing to speculate that tumor-infiltrating myeloid cells may be partially responsible for VEGF-mediated angiogenesis. In support of this theory, we show that an early decrease in VEGFR1+/CD14+ cells was associated with tumor response to VEGF inhibition. Furthermore, we show that increases in MMP9 levels were associated with tumor progression. Although the source of MMP9 is unknown, preclinical evidence points toward myeloid cells as a major source of MMP9, which mediates proinvasive and proangiogenic escape from anti-VEGF therapy in glioma (9). Furthermore, targeting these pathways may be a potential strategy for blocking or delaying the emergence of therapeutic resistance to VEGF pathway blockade.

There are several limitations of this study. Multiple markers were analyzed for this small trial which may have led to the discovery of false positive markers. Notably, this trial was a single-arm study without a control arm. Although these data provide valuable information about potential mediators of disease status, the absence of a control arm and randomization of study subjects limits the interpretation of these markers, which could be prognostic.

This study describes the onset and duration of aflibercept-mediated changes in plasma levels of circulating VEGF and PlGF in patients with recurrent glioblastoma. Following an initial rapid decrease, VEGF levels continue to increase over time. PlGF levels increase following aflibercept treatment, suggesting that PlGF expression...
Disclosure of Potential Conflicts of Interest

J.F. de Groot: commercial research grants, AstraZeneca, Adnexus, Exelixis; consultant/advisory board, Genentech; M. Gilbert: consultant/advisory board, Genentech. M. Mehta: stock options, Tomotherapy, Pharmacycels; consultant/advisory board, Genentech, Adnexus, Bayer, Merck, Schering Plough, Tomotherapy, Stemina. A. Lassman: commercial research grants, Genentech, Keryx; consultant/advisory board, Bristol-Myers-Squibb, Cam- pus Bio LP, Cephalon, Eisai, Genentech, Imclone, Merck, Schering Plough, WKA Yung: commercial research grant, Novartis; consultant/advisory board, Genentech, Merck/EMD, Schering Plough. The other authors disclosed no potential conflicts of interest.

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