EGFR Exon 19 Insertions: A New Family of Sensitizing EGFR Mutations in Lung Adenocarcinoma

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Abstract

**Purpose:** Epidermal growth factor receptor (EGFR) genotyping is now standard in the management of advanced lung adenocarcinoma, as this biomarker predicts marked benefit from treatment with EGFR tyrosine kinase inhibitors (TKI). EGFR exon 19 insertions are a poorly described family of EGFR mutations, and their association with EGFR-TKI sensitivity in lung adenocarcinoma is uncertain.

**Experimental Design:** Patients with lung cancers harboring EGFR exon 19 insertions were studied. The predicted effects of the insertions on the structure of the EGFR protein were examined, and EGFR exon 19 insertions were introduced into Ba/F3 cells to assess oncogenicity and in vitro sensitivity to EGFR-TKIs. In patients receiving TKI, response magnitude was assessed with serial computed tomographic (CT) measurement.

**Results:** Twelve tumors harboring EGFR exon 19 insertions were identified; patients were predominately female (92%) and never-smokers (75%). The 11 specimens available for full sequencing all showed an 18-bp insertion that resulted in the substitution of a Pro for Leu at residue 747. The mutant EGFR transformed the Ba/F3 cells, which were then sensitive to EGFR-TKI. Six patients with measurable disease received TKI and five had a response on serial CT.

**Conclusions:** EGFR exon 19 insertions are a newly appreciated family of EGFR-TKI–sensitizing mutations, and patients with tumors harboring these mutations should be treated with EGFR-TKI. While these mutations may be missed through the use of some mutation-specific assays, the addition of PCR product size analysis to multigene assays allows sensitive detection of both exon 19 insertion and deletion mutations.

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Introduction

Epidermal growth factor receptor (EGFR) mutation testing has now become the standard of care in the management of non–small cell lung cancer (NSCLC), because identifying this biomarker can predict which patients will benefit from EGFR tyrosine kinase inhibitors (TKI) such as erlotinib and gefitinib. Multiple randomized trials have now prospectively shown the unique benefit of TKIs in patients with EGFR-mutant lung cancer (1–3). This has led both the American Society of Clinical Oncology and the National Comprehensive Care Network (NCCN) to recommend EGFR mutation testing to determine which patients with lung cancer are likely to benefit from therapy with an EGFR-TKI (4, 5).

Because EGFR mutation testing is now the standard of care, it is important to identify which mutations are associated with benefit from TKIs and how to manage cases with unexpected genotyping results. The most common EGFR mutations are short, in-frame deletions in exon 19 (most often 15 or 18 bp) and the exon 21 point mutation L858R (6), which together are associated with a median progression-free survival of 14 months on erlotinib (7). Mutations in exon 20 are also well described and have been associated with TKI resistance (8), the most common being exon 20 in-frame insertions of varying lengths, representing 4% to 9% of EGFR-mutant lung cancers (6, 9). Exon 20 point mutations such as L790M are rarely identified in pretreatment cancers (9, 10) but
EGFR Exon 19 Insertions in Lung Adenocarcinoma

Translational Relevance

Epidermal growth factor receptor (EGFR) mutation testing is now a standard component of the management of advanced lung adenocarcinoma, creating a need for clinicians to understand how to manage unexpected or rare genotyping results. In this inter-institutional effort, we studied a cohort of patients with EGFR exon 19 insertions, a rare mutation in EGFR, and characterized their response to tyrosine kinase inhibitor (TKI) therapy. Using accompanying in vitro data describing the TKI sensitivity of cell lines transfected with these mutations, as well as a structural hypothesis supporting the oncogenicity of the resulting mutant protein, we determined that cancers harboring these mutations are sensitive to EGFR-TKI. Our strategy of paired clinical and preclinical functional analyses represents an effective technique for characterizing the biology of other rare tumor genotypes that are too uncommon to be well characterized in patients alone.

Materials and Methods

For an initial prevalence analysis, an institutional database of patients with NSCLC undergoing EGFR mutation testing was queried for tumors harboring exon 19 insertions in the absence of exon 19 deletions (21). The cohort was subsequently expanded for characterization of clinical and pathologic features, at which point additional cases outside of this database were included from 2 contributing institutions. Patient cases were collected and reviewed through an Institutional Review Board–approved mechanism. All cases were identified over the course of routine molecular diagnostics testing for EGFR-sensitizing mutations at the contributing institution’s diagnostic molecular pathology laboratories.

The initial cohort of exon 19 insertion cases was identified using a PCR-based fragment length analysis previously described (22). Briefly, paraffin-embedded or frozen tissues of tumor samples (biopsy material or cytologic specimens) were submitted to the laboratory where they were macro-dissected (if possible) and genomic DNA was extracted. Genomic DNA was amplified by PCR using the forward primer 5’TGGTAAACATCACCACAGATCA-3’ and reverse primer FAM 5’-AAAAAGGTGGCCCTGAGGTCA-3’; the reverse primer was labeled with the FAM fluorophore. The PCR products were subjected to capillary electrophoresis on an ABI 3730 Genetic Analyzer (Applied Biosystems) and compared with the wild-type PCR product to determine whether differences in length were present and whether the differences represented a deletion or insertion (Supplementary Fig.). All samples were tested in duplicate with positive and negative controls. For the additional cohort, mutations were either identified using the above fragment length analysis or using direct Sanger sequencing. If additional DNA was available, cases with exon 19 insertions were further subjected to PCR sequencing on the ABI platform mentioned above.

Response to initial EGFR-TKI therapy was assessed by conventional summed measurement of linear tumor diameters on computed tomographic (CT) scan (23). For patients with advanced disease, best response was defined as the percentage of change between the smallest measurement while on therapy and the baseline measurement. Patients receiving neoadjuvant TKI had reimaging available after only 3 weeks of therapy, too early to accurately assess partial response (24); for these patients, change in total tumor volume was measured using a previously described semi-automated algorithm (25). Using this algorithm, an operator draws a region of interest (ROI) around the tumor being measured on a single slice, and the computer then automatically delineates the tumor boundaries on all CT slices containing tumor; the radiologist then reviews the resulting tumor boundaries and can correct them if needed. Tumor volume was calculated by summing the tumor areas on all involved CT slices, and change was calculated by subtracting total tumor volume at 3 weeks from that at baseline, as a percentage of the baseline tumor volume.

In vitro sensitivity assessment

The full-length EGFR gene was cloned into pDNR-Dual (BD Biosciences). The exon 19 insertion sequences from 2 patients were introduced using the Quick Change Site-Directed Mutagenesis Kit (Stratagene) with mutant-specific primers according to the manufacturer’s instructions and as previously described (26, 27). All the insertions were confirmed by direct sequencing. Ba/F3 and NIH-3T3 cells were cultured as previously described (27). Retroviral infection and culture of Ba/F3 and NIH-3T3 cells were conducted using previously described methods (26, 27). Ba/F3 and NIH-3T3 cells expressing EGFR E746_A750del (an exon 19 deletion) and Y764_V765insHH (an exon 20 insertion) were used as controls.
For cell proliferation and growth assays, gefitinib and afatinib (BIBW2992) were obtained from commercial sources. Growth inhibition was assessed by MTS assay as described previously (27). Ba/F3 cells were exposed to drugs for 72 hours. All experimental points were set up in 6 to 12 wells and repeated at least 3 times. The data were graphically displayed using GraphPad Prism version 5.0 for Windows.

For Western blotting, NIH-3T3 cells were lysed in NP-40 buffer and proteins were separated by gel electrophoresis on 4% to 12% PAGE (Invitrogen). Proteins were transferred to nitrocellulose membranes and detected by immunoblotting conducted according to the antibody manufacturer’s recommendations. Anti-EGFR antibodies were obtained from Cell Signaling Technology and phospho-EGFR (pY1068) antibodies were purchased from Invitrogen. The anti-α-tubulin antibody was purchased from Sigma-Aldrich.

Results

Clinical and pathologic characteristics

From 2004 to 2009, 3,026 patient specimens at one contributing institution (Memorial Sloan-Kettering Cancer Center, New York) were tested for EGFR exon 19 deletions and L858R point mutations using fragment length analysis (22). Specimens from 347 patients (11.5%) were found to harbor EGFR exon 19 deletions and specimens from 246 (8.1%) harbored L858R point mutations (21). Eight cases (0.26%) tested positive for EGFR exon 19 insertions (Supplementary Fig.), thus comprising approximately 2% of exon 19 mutations and approximately 1% of all EGFR mutations.

Four additional patients with tumors harboring EGFR exon 19 insertions were subsequently identified outside of the above analysis, making a total of 12 cases available for study. Median age was 63 (range, 41–79). Eleven patients (92%) were female. Ten patients (83%) were white, 2 were African-American, and none were Asian. Nine patients (75%) were never-smokers, 2 were former smokers with a 5 pack-year smoking history, and 1 was a former heavy smoker. Four patients (33%) had stage IV disease at diagnosis, whereas 8 patients (67%) were diagnosed with cancer at an earlier stage.

Eleven tumors (92%) had adenocarcinoma histology and one was characterized as adenosquamous carcinoma. All tumors had an exon 19 insertion that was 18 bp in length (Supplementary Table S1). Interestingly, one of the patients had 2 morphologically different adenocarcinomas in the same lobe; whereas one tumor harbored a 18-bp deletion in exon 19, the synchronous primary harbored a 15-bp deletion in exon 19.

Protein structure

DNA sequencing could be conducted on 11 of the 12 cases (Supplementary Table S1). The 11 cases belonged to 5 genotypes, with the insertion beginning at codon 744 in 4 cases and at codon 745 in 7 cases. These 5 genotypes encode only 3 distinct amino acid sequences, each conferring a 6-residue insertion in the protein structure (Fig. 1A).

Figure 1. Structural insights into the mechanism of activation of the EGFR exon 19 insertion mutants. A, predicted protein sequence of the 11 exon 19 insertion mutants aligned with the corresponding region of wild-type EGFR. Structurally, these insertions can be considered as a complex mutation consisting of E746X (blue), L747P (purple), and an insertion of VAIKEL (red). Note that all variants result in the L747P substitution. B, in wild-type EGFR, Leu747 contributes to a cluster of hydrophobic residues that is important for stabilizing the inactive state of the kinase. The other participants include L788, L777, M766, L861, L862, L858, and F723. C, in the exon 19 insertion mutants, the substitution of Leu747 with a Pro can be predicted to disfavor the formation of this hydrophobic core, leading to activation of the mutant EGFR. This mechanism is closely analogous to that proposed for the L858R point mutation. The 6-residue insertion is expected to lengthen the adjacent loop (green), which leads to the C-helix (pink). B is drawn from the structure of wild-type EGFR in an inactive state (42) and C from the structure of the active L858R mutant (28).
Examination of the 3-dimensional structure of the EGFR kinase shows that this insertion lies at the end of strand $b_3$ in the N-terminal lobe of the kinase domain. The mutations are expected to have 2 effects; they will alter the identity of the last 2 residues of strand $b_3$ (Glu746 and Leu747), and they will result in the addition of the 6-residue sequence Val-Ala-Ile-Lys-Glu-Leu to the loop connecting this strand with the C-helix. The addition of 6 residues to this loop is expected to be structurally well-tolerated, as this loop is flexible and typically not well-defined in EGFR crystal structures. Likewise, mutation of Glu746 (to Ile, Val, or Thr in the various exon 19 insertion mutants) is likely to have little structural effect, as this residue is exposed on the surface of the kinase. In contrast, Leu747 participates in a key hydrophobic core that stabilizes the inactive form of EGFR (Fig. 1B). The nonconservative substitution of Pro for Leu747 (L747P), which occurs in all 11 insertion sequences, can be predicted to disfavor the formation of this hydrophobic core, thereby leading to constitutive activation of the mutant EGFR (Fig. 1C). This mechanism is analogous to that proposed for the L858R point mutation (28), which lies immediately adjacent to L747 in this hydrophobic core (Fig. 1B).

**In vitro sensitivity to TKI**

Transfection of Ba/F3 cells with mutant EGFR constructs harboring 2 different insertions in exon 19 (I744_K745ins-KIPVAI and K745_E746insTPVAIK) resulted in interleukin (IL)-3-independent growth, indicating that these mutations were oncogenic (29). The resulting mutant cell lines were then assessed for sensitivity to TKIs using gefitinib (reversible EGFR-TKI) and afatinib (irreversible EGFR-TKI). The Ba/F3 cells transfected with exon 19 insertions were found to be sensitive to both TKIs, similar to Ba/F3 cells harboring the common EGFR exon 19 deletion (E746_A750del; Fig. 2A). In contrast, Ba/F3 cells harboring the exon 20 insertion mutation were resistant to both gefitinib and afatinib. We did note that the sensitivity of cells harboring exon 19 insertions was slightly less than the sensitivity of cells harboring exon 19 deletions for both TKIs.

We further analyzed the impact on EGFR phosphorylation using Western blotting (Fig. 2B). Consistent with the growth assays, both gefitinib and afatinib inhibited EGFR phosphorylation in NIH-3T3 cells harboring the 2 EGFR exon 19 insertion mutations and the exon 19 deletion mutation. However, neither drug effectively inhibited EGFR phosphorylation in cells harboring the EGFR exon 20 insertion (Fig. 2B).

**Clinical sensitivity to TKI**

The clinical course of the 12 patients identified is described in Table 1. Eight patients underwent resection of their disease; 4 patients have more than 3 years of follow-up after surgery; and 3 remain disease free. Eight patients received EGFR-TKI therapy: 2 received TKI in the adjuvant setting while they had no evidence of disease, whereas the
remaining 6 patients had measurable disease (Fig. 3). Two of these patients received single-agent neoadjuvant TKI and were found to have a 3-week tumor diameter decrease of 9% or greater and 3-week tumor volume decrease of 25% or above, response characteristics that are closely associated with presence of a sensitizing mutation according to a previous study (24). Of 4 patients who received palliative EGFR-TKI, 3 had a Response Evaluation Criteria in Solid Tumors (RECIST) partial response (23) and a time to progression of greater than 12 months; the fourth patient received a novel EGFR-TKI with uncertain activity (XL647; ref. 30) and had a brief minor response. Rebiopsy material was available from 1 of the 5 patients who developed progression on TKI; this specimen did not harbor T790M but did show low-level MET amplification, which has been found to be associated with acquired resistance to EGFR-TKI (31).

Discussion

Through the study of transfected cell lines and lung cancers harboring EGFR exon 19 insertions treated with EGFR-TKIs, we show that exon 19 insertions are a new family of TKI-sensitizing EGFR mutations. These patients have similar clinical characteristics to other patients with EGFR-mutant lung cancer and can have durable responses to treatment with TKIs. While several case reports have described tumors with EGFR exon 19 insertions as either sensitive or resistant to TKI (Supplementary Table S2; refs. 14, 19, 20), ours is the first large series and strongly suggests a TKI-sensitive phenotype. We recommend that patients with lung cancer harboring an EGFR exon 19 insertion be considered TKI sensitive and best managed with TKI therapy such as erlotinib.

Our study highlights an important follow-up question raised by the implementation of routine EGFR mutation testing for lung adenocarcinoma, as recommended by multiple recent clinical practice guidelines (4, 5, 32): how should clinicians manage patients whose tumors are found to harbor rare mutations in EGFR? While deletions in exon 19 and point mutations in exons 18 and 21 have been found to be associated with TKI sensitivity through the study of large patient cohorts (Table 2), no other rare mutations in

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**Table 1. Clinical course of patients with lung cancers harboring EGFR exon 19 insertions**

<table>
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<td>Palliative</td>
<td>50 (PR)</td>
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NOTE: > designates a patient who has not yet relapsed/progressed.

Abbreviations: NED, no evidence of disease; PR, partial response; TTP, time to progression; TTR, time to relapse.

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**Figure 3.** Response to EGFR-TKI therapy for the 6 patients with measurable disease. A, both patients receiving neoadjuvant TKI had a volumetric response (24), whereas 3 of 4 patients with advanced disease had a RECIST partial response. B, CT imaging from one patient with advanced disease whose tumor showed a marked response to erlotinib.

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**Figure 3. Response to EGFR-TKI therapy for the 6 patients with measurable disease. A, both patients receiving neoadjuvant TKI had a volumetric response (24), whereas 3 of 4 patients with advanced disease had a RECIST partial response. B, CT imaging from one patient with advanced disease whose tumor showed a marked response to erlotinib.**
EGFR have been found to be consistently associated with benefit from TKI (9). In this study, we have shown that EGFR mutations in lung cancer can be better characterized. Many patients with other rare mutations are associated with durable responses to therapy with TKIs, such as erlotinib or gefitinib. These mutations may mediate whether a mutation is oncogenic and whether the secondary T790M mutation has been shown to mediate TKI resistance by conferring an enhanced affinity for ATP (35). Thus, it will be of interest to examine the ATP affinity and other enzyme kinetic properties of the different exon 19 mutants.

As noted above, the exon 19 insertion mutants may be structurally and mechanistically similar to the exon 19 deletion mutants. However, they are structurally quite different from the exon 20 insertion mutants, which map to the opposite end of the C-helix and are generally resistant to EGFR-TKIs (8). The underlying reason for the TKI resistance of exon 20 insertion mutants remains to be elucidated. We do note that exon 20 insertions vary in length (from 3–12 bp) and in their position within the exon (8, 36, 37), in contrast to the similarities between the exon 19 insertions we identified, and this variability may make them biologically more diverse and difficult to treat with a single targeted strategy.

Our finding that 1% of EGFR-mutant lung cancers harbor exon 19 insertions suggests that approximately 250 of such patients are diagnosed annually in the United States. In contrast, an EGFR sequencing of a large cohort of Asian patients with NSCLC identified 627 whose tumors carried EGFR mutation and none were exon 19 insertions (9); however, insertions and deletions may be technically difficult to distinguish in Sanger sequencing traces and these data may not be comparable with data based on simple PCR product sizing analysis. Therefore, further data may be needed to assess the possibility of interethnic differences. While these mutations can be detected using conventional Sanger sequencing, they may not be identified when using mutation-specific assays such as the ARMS assay by Dxs/Qiagen and the multigene mass spectrometry assays being implemented at some academic centers (38). Mutation-specific techniques require development of an individual assay for each specific mutant nucleotide sequence being screened for, which makes these strategies inefficient for detecting insertions/deletions of variable lengths and sequences. However, fragment length analysis (Supplementary Fig.) can detect deletion and insertion mutations with high sensitivity despite varying lengths and independent of the specific sequences (22). For this reason, some centers are now using multi-platform assays that include both a mutation-specific multigene assay and a fragment length analysis as part of a comprehensive strategy for detection of driver mutations in lung adenocarcinoma (39, 40).

In conclusion, we have shown that EGFR exon 19 insertions are a new family of sensitizing EGFR mutations in lung adenocarcinoma and recommend that patients with tumors harboring these mutations be considered for upfront therapy with TKIs such as erlotinib or gefitinib. These mutations are associated with durable responses to therapy with TKIs, much like EGFR exon 19 deletions. Though these mutations may be missed by mutation-specific molecular detection techniques due to their varying nucleotide sequences, both exon 19 deletions and insertions can be identified through

<table>
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<tr>
<td></td>
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<td>Exon 21 L861X&lt;sup&gt;a&lt;/sup&gt; (9)</td>
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<tr>
<td></td>
<td>Exon 19 insertions</td>
</tr>
<tr>
<td>Baseline resistance</td>
<td>Exon 20 insertions (8)</td>
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<td></td>
<td>Exon 20 T790M&lt;sup&gt;b&lt;/sup&gt; (9)</td>
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<tr>
<td>Acquired resistance</td>
<td>Exon 20 T790M (11)</td>
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</tbody>
</table>

<sup>a</sup>X designates that several amino acid substitutions are possible at this site.

<sup>b</sup>When detected using conventional methods.

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**Table 2. Sensitivity phenotype of well-described EGFR mutations in lung cancer**

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the incorporation of fragment length analysis into multi-platform genotyping assays.

Disclosure of Potential Conflicts of Interests

P.A. Janne has ownership interest in Genzyme and Gakkeirei Pharmaceuticals and is a consultant/advisory board member for Boehringer Ingelheim, Roche, Genentech, Abbott, Chugai, AstraZeneca, and Pfizer. V.A. Miller is a consultant/advisory board member for Genentech, Boehringer Ingelheim, ONI, and Clovis Oncology. M.I. Eck is a consultant/advisory board member for Novartis. M.G. Kris is a consultant/advisory board member for Boehringer-Ingelheim and Pfizer. G.R. Oxnard is a consultant/advisory board member for Genentech. No potential conflicts of interests were disclosed by other authors.

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