**Predictive Biomarkers and Personalized Medicine**

**Hsa-miR-31-3p Expression Is Linked to Progression-free Survival in Patients with KRAS Wild-type Metastatic Colorectal Cancer Treated with Anti-EGFR Therapy**

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**Abstract**

**Purpose:** To identify microRNAs (miRNA) that predict response to anti-EGFR antibodies in patients with wild-type KRAS metastatic colorectal cancer (mCRC).

**Experimental Design:** miRNA profiling was performed in a training set of 87 patients with mCRC refractory to chemotherapy treated with anti-EGFR antibodies. This included 33 fresh-frozen (FF) and 35 formalin-fixed paraffin-embedded (FFPE) samples retrospectively collected and 19 prospectively collected FF samples. An independent validation cohort consisting of 19 FF and 26 FFPE prospectively collected samples from patients with mCRC treated with anti-EGFR antibodies was used to confirm our findings.

**Results:** After screening the expression of 1,145 miRNAs in FF samples from the training set, we identified that hsa-miR-31-3p expression level was significantly associated with progression-free survival (PFS). Statistical models based on miRNA expression discriminated between high and low risk of progression for both FF and FFPE samples. These models were confirmed in the validation cohort for both FF [HR, 4.1; 95% confidence interval (CI), 1.1–15.3; \( P = 0.028 \)] and FFPE samples (HR, 2.44; 95% CI, 1.1–5.4; \( P = 0.04 \)) and risk status determined by hsa-miR-31-3p expression level \( (P = 0.02, \text{Kruskal–Wallis rank test}) \). Nomograms were built and validated to predict PFS depending on hsa-miR-31-3p expression level. Following in vitro studies, we identified 47 genes regulated by hsa-miR-31-3p.

**Conclusion:** Hsa-miR-31-3p seems to be a new mCRC biomarker whose expression level allows for the identification of patients with wild-type KRAS mCRC who are more likely to respond to anti-EGFR therapy.

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Introduction

With more than 1.2 million new cases in 2008, colorectal cancer is the third most common form of cancer worldwide (1, 2). Nearly 20% of patients are diagnosed at an advanced stage with metastatic disease (1) and over half will ultimately develop metachronous metastases. The recent development of targeted therapies has improved outcomes in patients with advanced colorectal cancer. Cetuximab and panitumumab, two monoclonal antibodies, which neutralize the extracellular domain of EGFR, have demonstrated effectiveness in terms of both response and survival when used as first, second or third-line chemotherapy. Although anti-EGFR agents are routinely used in clinical practice, their use is limited to 60% of patients who have KRAS wild-type tumors (2) because randomized-controlled studies have shown activating mutations of this oncogene to be predictive of resistance to these agents (3–12).

Because response to anti-EGFR therapy is seen in less than 40% of patients with KRAS wild-type tumors (13), additional factors are needed to help patient selection for this therapy to avoid the prescription of ineffective, expensive, and potentially harmful treatments. Many studies have evaluated other potential biomarkers, typically using a candidate gene approach focused on different intracellular pathways downstream of EGFR or a genome-wide approach. At the present time, however, the routine use of these biomarkers is not warranted. Indeed, BRAF V600E mutation seems to be a marker of poor prognosis (9, 10, 14, 15). Furthermore, studies that examined PIK3CA mutations, loss of PTEN expression, EGFR gene copy number, and polymorphisms of fragment cy receptors have reported conflicting results (14, 16–27). Finally, mRNA expression level of amphiregulin and epiregulin seems to be of limited clinical interest because of the inability to set an appropriate threshold limit (28, 29).

MicroRNAs (miRNA) are small 19 to 25 nucleotides, noncoding RNAs that negatively regulate 30% of gene expression posttranscriptionally by inhibiting translation and degrading mRNAs. miRNAs control biologic processes such as cell proliferation, differentiation, angiogenesis, and apoptosis (30), while also acting as oncomirs or suppressor miRs depending of their target mRNA. miRNAs deregulation, through expression modification and point mutations, is involved in the occurrence of many types of cancer, including colorectal cancer (31). These abnormalities are of major interest and could serve for cancer diagnosis, prognosis, and chemotherapy response prediction.

This study aimed to develop a miRNA expression–based model for predicting survival of patients with KRAS wild-type metastatic colorectal cancer (mCRC) treated with anti-EGFR monoclonal antibodies.

Patients and Methods

Patients

Four cohorts of patients with mCRC were included in this study (n = 132). Three were used as training sets and one as a validation set. All samples were obtained from studies that had previously received appropriate ethical committee approvals. Samples for the first training series (TS-Frozen1) were obtained from a retrospective collection of 33 patients, some of whom were reported on in a previously published series (13, 20). All patients were refractory to a FOLFOX or FOLFIRI regimen, refractory to these regimens plus anti-EGFR antibodies, or received panitumumab as a single agent.

Samples for the second training series (TS-Frozen2) were obtained from a prospective collection of patients treated by anti-EGFR antibodies alone or in combination with an irinotecan-based chemotherapy regimen. All of these patients were considered refractory to FOLFIRI regimen.

The third training set (TS-FFPE) was made up of samples from a retrospective series of pooled Belgian and Finnish patients with mCRC. Some of these patients were a part of previously published study (32). All patients in this training set were considered refractory to a 5-fluorouracil–based regimen combined with irinotecan and oxaliplatin.

The last cohort of patients (validation set, VS) was comprised of patients from a randomized phase II trial sponsored by GERCOR (Groupe Cooperator Multidisciplinaire en Oncologie). From the 65 patients eligible for this phase II study, 50 KRAS wild-type patients were considered for subsequent analysis. Among them, 45 patients had enough tumor material to be included (84%) with 26 having formalin-fixed paraffin-embedded (FFPE) samples available, 6 with fresh-frozen (FF) samples only; and 13 having both FFPE and FF samples available. All patients in this cohort were treated with third-line therapy using a combination of irinotecan and panitumumab after a
demonstration of progression to oxaliplatin- and irinotecan-based chemotherapy regimens (33). This validation cohort was divided in two (Fig. 1A and B). The first cohort (VS-Frozen) consisted of patients with FF samples ($n=19$) with the second cohort (VS-FFPE) consisting of patients with FFPE samples without FF samples available ($n=26$) or with FF samples ($n=39$).

**DNA and RNA extraction**

DNA and RNA extraction and mutation analysis was only performed on specimens with greater than 50% tumor component. DNAs were extracted from frozen matched tumor and nontumor tissue samples using the QIAamp DNA Mini Kit (Qiagen). Total RNAs were extracted from frozen tumors, cells or FFPE using the mirVanamiRNA Isolation Kit (Ambion), miRNeasy, and miRNeasy FFPE Extraction Kit (Qiagen), respectively (see Supplementary Methods).

**KRAS and BRAF mutation**

Methods of KRAS and BRAF mutation detection are described in the Supplementary Methods (13, 34).

**Screening of the miRNAs**

Global miRNA profiling was performed by labeling and hybridizing 750 ng of extracted RNA from 43 tumor frozen samples randomly chosen from TS-Frozen1 and TS-Frozen2 on Illumina Human v2 microRNA Expression Beadchips. Beadchips were scanned with the Illumina I-Scan Reader and data were imported into GenomeStudio data analysis software (Illumina), quantile-normalized and log$_2$-transformed. For expression analysis on TaqMan (Applied
Biosystems) of the 11 selected miRNAs, see Supplementary Methods.

Cell culture and transfection

Three colorectal adenocarcinoma cell lines (HTB-37, CCL-222, and CCL220-1) from the American Type Culture Collection (ATCC) were selected and used in the 3 month following reception of the cells for cell culture studies. The authentication of the cells has been provided by ATCC using the short tandem repeat profiling method. All cells were transfected with miRVanamiRNA (Ambion) mimic negative control or hsa-miR-31-3p miRVanamiRNA mimic (see Supplementary Methods).

In silico analysis

We developed a database integrating contemporary miRNA target predictions from six individual databases (PITA; picTar 5-way Targetscan microRNA.org; MicroCosm-miRDB). These databases allowed for the determination of miRNAs cotargeted by candidate genes, taking into account the number of miRNA prediction databases that predicted each miRNA/target relationship and the rank of this prediction. This database was current as of November 2012, when our analysis was performed.

Statistical analyses

Prediction of survival risks used the miRNA expression data in a supervised principal component (SuperPC) approach analysis, a modification of the conventional perchloric acid as described by Bair and colleagues (35, 36). To evaluate the predictive value of the method, a leave-one-out cross-validation was performed and permutation analysis (n = 100) estimated the statistical significance of separation of the Kaplan–Meier survival curves. A prognostic index is provided for each patient with a log expression profile given a vector x; a high value of the prognostic index corresponding to a high value of hazard of death.

Survival statistical analysis was performed using the R packages "survival" and "rms." Univariate and multivariate analyses used a Cox proportional regression hazard model and principal component analysis performed on TS-Frozen1 using the TaqMan probes. Only one miRNA, hsa-miR-31-3p, showed a significant association with PFS on TS-Frozen1 and TS-Frozen2 with HRs being 1.8; 95% confidence interval (CI), 1.1–2.9; P = 0.01 and 2.5; 95% CI, 1.3–4.5; P = 0.002, respectively. In addition, a Super-PC analysis combining Cox proportional hazard model and principal component analysis performed on TS-Frozen1 using the TaqMan expression level of miR-31–3p allowed us to define a prognostic score, computed by the formula: 0.178x – 1.36, where x is the TaqMan log-transformed expression of hsa-miR-31-3p, and a cutoff value of −0.031 dividing patients into two groups with a high and low risk of progression (Supplementary Fig. S2). The HR was 2.6; 95% CI, 1.15–5.8; P = 0.021; the PFS of high- and low-risk patients was 13 versus 31.4 weeks, respectively. This prognostic score was calculated and the cutoff value was applied on TS-Frozen2. The PFS of high- and low-risk patients was 9 versus 35.3 weeks, respectively (HR, 4.1; 95% CI, 1.3–13.2; P = 0.018; Fig 2A). The model was further validated for patients with FF sample in the PIMABI phase II study (validation set frozen, n = 19). The PFS of high- and low-risk patients was 23 versus 48.8 weeks, respectively (HR, 4.1; 95% CI, 1.1–15.3; P = 0.02; Fig 2B).

To be independent of a dichotomized variable, we built a nomogram based on Cox proportional hazards regression modeling patients PFS probability with the log-transformed hsa-miR-31-3p expression on the TS-Frozen1 (Fig 3). The BRAF status, a known prognostic marker in mCRC, was added into the model. The nomogram was then tested on TS-Frozen2 and VS-Frozen. The nomogram demonstrated the ability to predict PFS with an AUC superior to 0.70, whatever the time threshold tested (Supplementary Fig. S3).
In anticipation of the use of this marker in clinical practice, we evaluated its performance on RNA extracted from FFPE tissues. To validate the prognostic value of the expression of hsa-miR-31-3p on FFPE samples, we used two sets of patients in which FFPE tissue samples were available (TS-FFPE, \( n = 35 \) and VS-FFPE, \( n = 26 \)). Patients from TS-FFPE were divided into two groups according to expression of hsa-miR-31-3p, as was done for frozen samples using a Cox model associated with a principal component analysis of hsa-miR-31–3p and a new cutoff value (Supplementary Fig. S4). The OR of infection efficacy was demonstrated by a 1,500 times average increase of hsa-miR-31-3p levels. Expression profile analysis of transfected cells allowed us to identify 47 genes related to oncogenesis.

### Hsa-miR-31-3p targets

Three colorectal cancer cell lines were transfected with hsa-miR-31-3p mimic or with a mimic control. The transfection efficacy was demonstrated by a 1,500 times average increase of hsa-miR-31-3p levels. Expression profile analysis of transfected cells allowed us to identify 47 genes.

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<th>Table 1. Baseline patient characteristics</th>
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significantly downregulated (fold change < 0.7; \( P < 0.05 \)), and 27 genes significantly upregulated by hsa-miR-31-3p (fold change < 1.3; \( P < 0.05 \); Supplementary Table S3).

As the role of a miRNA includes degradation of its transcript target, we studied whether our database predicted the 47 downregulated genes as a hsa-miR-31-3p putative target. Twenty-five of the genes were predicted to be putative direct targets of hsa-miR-31-3p and displayed a good rank in the prediction database. This number and the ranking of genes were significant \(( P < 0.0001 \) for both by the permutation test). Twenty-six of the upregulated genes were not predicted to be direct targets of hsa-miR-31-3p. Although one of the upregulated genes was predicted to be a direct target, it was the last ranked target in the prediction database.

The 25 putative direct and 27 indirect target genes were validated on qRT-PCR. Out of these 52 genes, 45 displayed an expression level comparable with the level obtained in the array. When the expression of these genes was analyzed in 39 tumor samples, we found a correlation between hsa-miR-31-3p expression pattern and PFS for DBNDD2 \(( P = 0.02 \) ) and EPB41L4B \(( P = 0.009 \) ). Interestingly, both genes displayed a negative correlation with hsa-miR-31-3p expression levels: DBNDD2 \((-0.5; P = 0.001\) ) and EPB41L4B \((-0.3; P = 0.04\) ; Supplementary Fig. S5).

Discussion

By using an assumption-free approach to identify miRNAs associated with PFS in patients with KRAS wild-type mCRC treated by anti-EGFR therapy, we established for the first time a link between hsa-miR-31-3p expression and the risk of progression. All patients in our cohorts were refractory to the chemotherapy regimen used with anti-EGFR therapy. We were able to define a robust threshold by dividing patients in two groups according to their risk of progression after anti-EGFR therapy. Our results independently confirm those of a Finnish group (32) who demonstrated a significant differential expression of hsa-miR-31-3p between patients with stable and progressive disease, even though we used a part of their patient set in TS-FFPE to...
determine the threshold of the hsa-miR-31-3p in FFPE tissues.

The availability of multiple drugs for mCRC (e.g., panitumumab, cetuximab, and bevacizumab) underlines the need for better decision-making tools. The survival improvement of patients with mCRC often results from the use of these different drugs via a personalized approach or precise manner. The nomogram we developed, which predicts PFS on an individualized basis, provides such a decision-making tool. The accuracy of this nomogram on frozen samples was assessed by the calculation of the AUC that gives a value superior to 0.70, which can be considered as a good performance for a predictor. There is also a good correlation between hsa-miR-31-3p expression levels measured in frozen samples and those measured in FFPE samples, confirming the interest to use miRNAs as surrogate for wider gene expression signatures. Nevertheless, we needed to readjust the threshold of the hsa-miR-31-3p expression to divide the high- and low-risk progression groups for FFPE samples. Furthermore, although PFS can be considered a good surrogate marker of efficacy in well-designed randomized clinical trials, its value per se in retrospective series is unclear. We also investigated the impact of hsa-miR-31-3p with other critical variables such as response rate and OS. However, we needed to readjust the threshold of the hsa-miR-31-3p expression to divide the high- and low-risk progression groups for FFPE samples. Furthermore, although PFS can be considered a good surrogate marker of efficacy in well-designed randomized clinical trials, its value per se in retrospective series is unclear. We also investigated the impact of hsa-miR-31-3p with other critical variables such as response rate and OS. There was a significant link between response status (nonresponder vs. responder) and the classification in high- and low-risk patients according to the value obtained for FFPE samples. Nevertheless, we needed to readjust the threshold of the hsa-miR-31-3p expression to divide the high- and low-risk progression groups for FFPE samples. Furthermore, although PFS can be considered a good surrogate marker of efficacy in well-designed randomized clinical trials, its value per se in retrospective series is unclear. We also investigated the impact of hsa-miR-31-3p with other critical variables such as response rate and OS.

Several miRNAs have been shown to be associated with response to chemotherapy in different types of cancers (37–39). To our knowledge, only one group has reported an association between the expression of a miRNA (hsa-miR-143) with PFS and cancer-specific survival in patients with mCRC treated by anti-EGFR alone or in combination with chemotherapy in refractory setting (40). The authors, however, failed to identify a link between the expression of this miRNA and response to anti-EGFR therapy, suggesting that this biomarker is more a prognostic marker than predictive, even if some relation with 5FU sensitivity have been described (41).

The specific role of the hsa-miR-31-3p has been investigated through in silico and in vitro approaches and the list of genes is not easy to interpret. The 25 genes putatively downregulated by the hsa-miR-31-3p do not give a clear picture to a regulatory mechanism of response to anti-EGFR therapy. A recent link between some specific regulation of miRNAs maturation and EGFR kinase activity has been emphasized and could enlighten our results (42). In hypoxic conditions the maturation of pre-miRNAs with long loop structures are dependent on the phosphorylation of AGO2 protein by EGFR. The phosphorylated AGO2 protein reduced its interaction with DICER protein leading to decrease significantly the loading of pre-miRNA or the expression of mature miRNA. Among the miRNAs regulated by this mechanism, the premature mir-31 is one of the most

![Figure 4. Kaplan-Meier PFS curves and the waterfall plot for RECIST criteria for the whole series of validation (PIMABI phase II; n = 45). Patients are classified in high and low risk of progression according to the value obtained for FFPE samples for patients with FFPE samples (n = 39) and for FF samples for those with only FF samples (n = 6). The RECIST criteria were available only for 40 patients.](image-url)
likely candidates. The absence of EGFR induced increased expression of mir-31 and inhibition of EGFR by tyrosine kinase inhibitors such as gefitinib. The high level of hsa-miR-31-3p expression in our patient’s tumors could be the result of an absence of EGFR response to hypoxia (43) and thus reduced benefit from anti-EGFR therapy. Among the genes deregulated by the inhibition of maturation of miRNA by EGFR response to hypoxia (42), two genes were found in common with our list of downregulated genes by the hsa-miR-31-3p: AMFR and EPB41L4B, the latter being also associated with PFS.

We were unable to demonstrate the predictive role of hsa-miR-31-3p expression in our study because there was no control arm consisting of patients without anti-EGFR therapy. In an attempt to assess the predictive nature of hsa-miR-31-3p expression in our study because there was no correlation between hsa-miR-31-3p, we first tested its prognostic value in the PETACC3 cohort (44, 45) and did not find a correlation between hsa-miR-31-3p expression and survival after relapse (HR, 0.93; P = 0.48; data not shown). These data were based on 124 KRAS and NRAS wild-type patients. Furthermore, in a recent study by Shen and colleagues (42), the expression of phosphorylated AGO2 protein has been shown to be associated with worse prognosis in patients with breast cancer, suggesting that maturation inhibition of miRNAs controlled by EGFR is a marker of aggressiveness in at least breast cancer patients. Our results are exactly at the opposite, suggesting that high expression of hsa-miR-31-3p is rather a predictive than a prognostic marker.

Altogether, our results suggest that the expression hsa-miR-31-3p could be of help in the decision to add anti-EGFR therapy to common chemotherapy regimens in the population of KRAS wild-type patients by giving a probability of PFS, which will be of interest with the development of several targeted therapy in mCRC.

Disclosure of Potential Conflicts of Interest

R. Thiebaut, F. Liebaert, F. Rousseau, and B. Genin are employees of IntegraGen. J.-B. Bachet is a consultant/advisory board member for Amgen and Merck Serono. O. Bouché reports receiving speakers bureau honoraria from Amgen and is a consultant/advisory board member for Merck Serono. F. Bibeau reports receiving speakers bureau honoraria from and is a consultant/advisory board member for Amgen, Merck Serono, and Sanofi. S. Teijpar reports receiving speakers bureau honoraria from and is a consultant/advisory board member for Merck Serono. P. Osterlund reports receiving a commercial research grant from Roche, speakers bureau honoraria from Prime Oncology, and is a consultant/advisory board member for Amgen, Bayer, Merck Serono, Roche, and Sanofi. T. André reports receiving speakers bureau honoraria from Amgen and is a consultant/advisory board member for Amgen and Merck Serono. P. Laurent-Puig is a consultant/advisory board member for Amgen, Merck Serono, and IntegraGen. No potential conflicts of interest were disclosed by the other authors.

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Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.): R. Thiebaut, K. Fontaine, F. Rousseau, J.-B. Bachet, B. Chibaudel, O. Bouché, B. Landi, F. Bibeau, K. Leroy, F. Penault-Llorca, J.-L. Van Laethem, S. Teijpar, N. Mosakhani, P. Osterlund, R. Ristamäki, V. Sarhadi, S. Knuttiula, V. Boige, T. André, P. Laurent-Puig


Writing, review, and/or revision of the manuscript: G. Manceau, R. Thiebaut, F. Liebaert, B. Genin, M. Vincent, J.-B. Bachet, B. Chibaudel, O. Bouché, B. Landi, J.-L. Van Laethem, P. Demetter, S. Teijpar, S. Rossi, P. Osterlund, S. Knuttiula, V. Boige, T. André, P. Laurent-Puig

Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases): R. Thiebaut, J.-B. Bachet, B. Landi, P. Demetter, P. Laurent-Puig

Study supervision: G. Manceau, R. Thiebaut, F. Liebaert, T. André, P. Laurent-Puig

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