New Strategies in Acute Promyelocytic Leukemia: Moving to an Entirely Oral, Chemotherapy-Free Upfront Management Approach

Amer M. Zeidan1 and Steven D. Gore2

Abstract

Incorporation of all-trans retinoic acid (ATRA) and arsenic trioxide (ATO) into the management paradigms of acute promyelocytic leukemia (APL) has markedly improved outcomes. Significant progress occurred in understanding the molecular pathogenesis of APL. ATO, in contrast with ATRA, is capable of eradicating the APL-initiating cells and can result in cure. Preclinical and clinical data confirmed the synergy of ATO and ATRA, and the ATRA–ATO combination was proved noninferior to a standard ATRA–chemotherapy regimen in patients with non–high-risk APL. Oral formulations of arsenic exhibited excellent activity in advanced clinical testing and their combinations with ATRA offer an opportunity for a completely oral, chemotherapy-free regimen for curing APL. Nonetheless, significant challenges remain. Reducing early death due to bleeding complications is an important area of unmet need. Data suggest that delays in initiation of ATRA upon suspecting APL continue to occur in the community and contribute to early mortality. Questions remain about the optimal place and schedule of arsenic in the therapeutic sequence and the role of the oral formulations. Refining the role of minimal residual disease in directing treatment decisions is important. Development of novel targeted agents to treat relapsed disease requires deeper understanding of the secondary resistance mechanisms to ATRA and ATO.

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Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

CME Staff Planners’ Disclosures

The members of the planning committee have no real or apparent conflicts of interest to disclose.

Learning Objectives

Upon completion of this activity, the reader should have a better understanding of the biologic rationale and clinical evidence of combining arsenic with all-trans retinoic acid (ATRA) in the treatment of acute promyelocytic leukemia and how oral formulations of arsenic lead to an entirely oral, chemotherapy-free management approaches for the disease.

Acknowledgment of Financial or Other Support

This activity does not receive commercial support.

Background

At one time a highly lethal malignancy, significant progress has transformed acute promyelocytic leukemia (APL) into the most curable form of acute myelogenous leukemia (1). APL was one of the first leukemias linked to a recurrent mechanistically important genetic translocation, and remains the only type of leukemia in which oncoprotein-targeting therapy cures patients (2, 3). Approximately 98% of patients have a reciprocal translocation, involving the retinoic acid receptor-alpha gene (RARA) on chromosome 17 with the promyelocytic leukemia gene (PML) on chromosome 15 resulting in creation of the chimeric oncogene t(15;17)(q24.1;q21.1) PML–RARA (2, 4). A few patients have translocations involving RARA with other partner genes, the most common of which are t(11;17)(q23;q21.1) PLZF/RARA, t(5;17)(q35;q21.1)NPM/RARA, and t(11;17)(q13;q21.1)NuMA/RARA (5–7).

RARA is a nuclear hormone receptor that heterodimerizes with retinoid X receptor to recruit transcription corepressor complexes (CoC) and induces gene transcription repression, except when bound to ligand
In APL, PML–RARA proteins recruit CoCs, leading to sustained transcriptional inhibition, blocking myeloid differentiation in a negative dominant fashion, and increasing self-renewal of leukemic progenitor cells (Fig. 1; refs. 8, 9). PML–RARA also exerts negative dominant effects on PML, which also contribute to the pathogenesis of APL (10). At pharmacologic doses, all-trans retinoic acid (ATRA) binds to the RARA moiety of PML–RARA, leading to dissociation of the CoCs and recruitment of transcription coactivators (8, 9). This results in epigenetic reprogramming with transcriptional derepression and eventually into terminal myeloid differentiation (11).

A high index of suspicion for APL is essentially based on morphologic (promyelocytic blasts in the peripheral blood smear) and clinical features (e.g., coagulopathy and pancytopenia). Suspected APL is a medical emergency, and prompt initiation of ATRA and aggressive transfusion support should be implemented without waiting for pathologic and/or genetic conformation (12). The microgranular variant of APL presents a particular challenge for early diagnosis and treatment (13). These monoblast-like cells often have few or no granules visible by Wright–Giemsa staining; a high index of suspicion is required when evaluating patients with apparent monoblastic leukemia and coagulopathy (14). Unlike most monoblastic leukemias, the microgranular variant often has bilobed nuclei (“wasp-waisted”; ref. 14). Flow cytometric analysis can support the diagnosis of APL; definitive diagnosis requires documentation of a pathognomonic translocation using reverse transcriptase PCR.
the greatly enhanced LIC clearance with the combination oncoproteins activated by ATO and ATRA probably explain cure potential of the drug (40). The highly synergistic non–abolishes the aberrant stem cell capacity of PML–RARA-persistence of ATRA-resistant cells (38, 39). Arsenic if not treated with additional agents, presumably due to the monotherapy is rare and all patients relapse within months high rates of mCR and potential for cure, mCR with ATRA refs. 36, 37). In contrast with ATO, which is associated with partial differentiation at lower concentrations (Fig. 1; moiety and exhibits dose-dependent dual effects with pref-

Arsenic trioxide (ATO) has been shown to be the most active single agent in APL (31–33). Two prospective studies of ATO monotherapy for newly diagnosed APL reported 5-year event-free survival (EFS), disease-free survival (DFS), and OS rates of 69%, 80% and 67%, and 74% and 64%, respectively (31, 34). ATO-associated toxicities, mainly cytopenias, liver enzyme abnormalities, QTc prolongation, and differentiation syndrome, were generally manageable and reversible (31, 34, 35). Arsenic directly binds to the PML moiety and exhibits dose-dependent dual effects with preferential a proapoptotic effect at higher concentrations and partial differentiation at lower concentrations (Fig. 1; refs. 36, 37). In contrast with ATO, which is associated with high rates of mCR and potential for cure, mCR with ATRA monotherapy is rare and all patients relapse within months if not treated with additional agents, presumably due to the persistence of ATRA-resistant cells (38, 39). Arsenic abolishes the aberrant stem cell capacity of PML–RARA-positive leukemia-initiating cells (LIC), which explains the cure potential of the drug (40). The highly synergistic non–cross-resistant pathways of degradation of the PML–RARA oncoproteins activated by ATO and ATRA probably explain the greatly enhanced LIC clearance with the combination (41, 42).

On the Horizon
A completely oral, chemotherapy-free combination regimen for newly diagnosed APL?

Clinical trials that used both arsenic and ATRA in the upfront management of APL. Given the high cure rates in APL, many patients, especially those with low-risk disease, are potentially overtreated. Therefore, an expanding focus of research relates to reducing chemotherapy exposure without compromising outcomes by incorporating non-chemotherapeutic agents into risk-adapted management approaches. The distinct but complementary mechanisms of action of ATO and ATRA provided a biologic rationale for combining the two agents to achieve synergistic efficacy with low toxicity (43). Although ATRA functions mainly through transcriptional modulation, the main effects of arsenic occur at the proteomic level, therefore, explaining the lack of cross-resistance between the two agents (37, 44). ATRA also causes upregulation of the gene encoding for the transmembrane protein aquaglyceroporin 9, which leads to increased arsenic uptake into the APL cells (45).

Trials that used combination ATRA–ATO in upfront ther-

A randomized noninferiority trial conducted by Lo-Coco and colleagues (43) compared ATRA–ATO combination with ATRA–idarubicin for induction and consolidation therapy for newly diagnosed patients with non–high-risk APL (white blood cell count at presentation $\leq 10^9/L$). The ATO–ATRA combination was associated with significantly better 2-year EFS and OS rates (97% and 99%) than those for the ATRA–chemotherapy arm (86% and 91%, respectively; ref. 43). Follow-up was relatively short compared with the long experience with chemotherapy–ATRA regimens. Importantly, this approach was not assessed in patients with high-risk APL in this study. It is hoped that the anticipated results of another randomized trial of ATRA + ATO versus ATRA + chemotherapy from the U.K. National Cancer Research Institute will address this question, as high-risk patients are included in this study (32). ATO-based consolidation after ATRA–chemotherapy induction have not been compared with ATO–ATRA induction and consolidation; therefore, the differential benefit of using ATO in induction, consolidation, or both phases remains unclear. Finally, the consolidation phase with ATO–ATRA combi-

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<tr>
<th>Study, type of study, # of patients (ref.)</th>
<th>Follow-up, median (range) in months</th>
<th>Age, median (range) in years</th>
<th>Risk group* (# patients)</th>
<th>Consolidation</th>
<th>Maintenance</th>
<th>Survival</th>
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<td>ATRA + 6-MP + MTX</td>
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<td>2-year DFS: 86%</td>
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<td>3-year OS: 85%</td>
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<td>3-year DFS: 81%</td>
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<td>2-year OS: 99%</td>
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<td>2-year EFS: 89.1%</td>
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<td>5-year OS: 91.7%</td>
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<td>5-year DFS: 94.6%</td>
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<td>5-year DFS: 94.8%</td>
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<td>2-year OS: 93.2%</td>
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<td>2-year DFS: 98%</td>
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<td>2-year DFS: 98%</td>
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<td>2-year DFS: 98%</td>
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<td>2-year DFS: 98%</td>
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*Zeidan and Gore*  
Clincancerres.aacrjournals.org on April 19, 2017. © 2014 American Association for Cancer Research.
### Table 1. A summary of prospective trials that used arsenic along with other agents (not as single agent) in the upfront management of patients with newly diagnosed APL (Cont’d)

<table>
<thead>
<tr>
<th>Study, type of study, # of patients (ref.)</th>
<th>Follow-up, median (range) in months</th>
<th>Age, median (range) in years</th>
<th>Risk group*(# patients)</th>
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<th>Risk group*(# patients)</th>
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<th>Follow-up, median (range) in months</th>
<th>Age, median (range) in years</th>
<th>Risk group*(# patients)</th>
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<tbody>
<tr>
<td>Zhu et al., randomized noninferiority, 242, (56)</td>
<td>39 (21–64)</td>
<td>36 (15–60)</td>
<td>46</td>
<td>185</td>
<td>Randomized (1:1) to:</td>
<td>Both groups received three sequential cycles of HA, MA, and DA.</td>
<td>For Rif-ATRA group:</td>
<td>2-year DFS:</td>
<td>ATRA-As4S4: 98.1%</td>
<td>ATRA-ATRA: 95.5% (noninferiority P &lt; 0.001)</td>
<td>3-year OS:</td>
<td>ATRA-As4S4: 99.1%</td>
<td>ATRA-ATRA: 96.6% (P = 0.18)</td>
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<td>Oral Rif (an As4S4-containing formula) + ATRA (99.1%)</td>
<td>Intravenous ATO + ATRA (97.4%, P = 0.62)</td>
<td>For ATO-ATRA group: 2 years of ATO-ATRA</td>
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<tr>
<td>Gore et al., single-arm, 45, (49)</td>
<td>32.4 (18–66)</td>
<td>50 (19–70)</td>
<td>14</td>
<td>31</td>
<td>ATRA + daunorubicin</td>
<td>One course of cytarabine + daunorubicin + ATO</td>
<td>High-risk: 2 years of ATRA, 6-MP, and MTX</td>
<td>3-year OS:</td>
<td>88%</td>
<td>3-year DFS:</td>
<td>88.7%</td>
<td>3-year EFS:</td>
<td>76%</td>
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<td>Powell et al., randomized, 481, (21)</td>
<td>28.8 (N/A)</td>
<td>N/A (15–79)</td>
<td>113</td>
<td>368</td>
<td>ATRA + cytarabine + daunorubicin (90%)</td>
<td>Randomized (1:1) to:</td>
<td>Two courses of ATRA + daunorubicin followed by two courses of ATRA + Daunorubicin</td>
<td>Randomized to one year of:</td>
<td>ATRA</td>
<td>3-year EFS:</td>
<td>ATO arm: 80%</td>
<td>Non-ATO arm: 63% (P &lt; 0.0001)</td>
<td>3-year OS:</td>
<td>ATO arm: 86%</td>
<td>Non-ATO arm: 81% (P = 0.059)</td>
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<td>Au et al., single-arm, 76, (64)</td>
<td>24 (1–115)</td>
<td>44 (16–83)</td>
<td>10</td>
<td>66</td>
<td>For patients &lt;65 years old: ATRA: 7 and three regimen (daunorubicin and cytarabine)</td>
<td>For patients &lt;65 years old: two cycles of daunorubicin and cytarabine</td>
<td>One of 3 regimens (evolved over course of study):</td>
<td>3-year OS:</td>
<td>ATO: 90.6%</td>
<td>3-year DFS:</td>
<td>87.7%</td>
<td>3-year EFS:</td>
<td>83.7%</td>
<td>type of maintenance did not affect survival rates</td>
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<td>Abbreviations: DA, daunorubicin + cytarabine; HA, homoharringtonine + cytarabine; Ida, idarubicin; N/A, not available; MA, mitoxantrone + cytarabine; Rif, Realgar-Indigo naturalis (an As4S4-containing formulation).</td>
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<td>*Risk grouping according to Sanz et al. (70).</td>
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<td>tAll patients were required to be in CR after induction and consolidation at registration for the trial.</td>
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consolidation, and the value of maintenance therapy was not assessed in the ATO–ATRA arm.

The addition of ATO to ATRA–anthracycline consolidation platforms has also been studied. The large randomized trial C9710 added two cycles of ATO to ATRA + daunorubicin consolidation and reported a 3-year EFS of 80% compared with 63% among those who received only ATRA + daunorubicin consolidation \( (P < 0.0001; \text{ref. 21}) \). A phase II trial used a single cycle of ATO-based consolidation chemotherapy for upfront management and showed 2.7-year DFS and OS rates of 90% and 88%, respectively \( (49) \). An updated analysis of this trial of 63 patients that also included consecutive patients treated on-protocol but off-study showed 5-year EFS, OS, and DFS rates of 89%, 93%, and 92%, respectively \( (50) \). Another trial also reduced anthracycline exposure by incorporating ATO into induction with ATRA–idarubicin followed by two cycles of chemotherapy-free ATRA–ATO consolidation and ATRA-based maintenance \( (51) \).

**Combinations of oral arsenic formulations with ATRA in upfront management of APL**

The intravenous route of administration of ATO is inconvenient, involves frequent patient visits for administration and possibly hospitalization, and requires maintenance of vascular access. These limitations pose important obstacles that might prevent patients from receiving the most active drug for APL, especially for prolonged consolidation therapy. An oral formulation of ATO has been found to be active in relapsed APL \( (52, 53) \), and has subsequently been evaluated in upfront management. One study of 76 patients used 2 years of maintenance therapy with oral ATO–ATRA after ATRA–chemotherapy induction and consolidation \( (54) \). The 3-year DFS, EFS, and OS rates were 88%, 84%, and 91%, respectively, despite the omission of 6-MP and MTX from maintenance. In contrast with intravenous ATO, QTc interval prolongation and ventricular arrhythmias were not observed with the oral formulation, probably due to the lower peak plasma arsenic concentrations achieved with oral ATO therapy \( (54, 55) \).

Another formulation of arsenic in advanced clinical investigation is tetra-arsenic tetra-sulfide \([\text{AS}_4\text{S}_4]\). A total of 242 newly diagnosed non–high-risk patients were randomized to an \([\text{AS}_4\text{S}_4]\)-containing oral formulation or to intravenous ATO for induction and maintenance \( (56) \). All patients received ATRA during induction, three cycles of consolidation chemotherapy, and maintenance with sequential ATRA followed by either \([\text{AS}_4\text{S}_4]\) or ATO for 2 years. The 2-year DFS \( (98.1\% \text{ vs. } 95.5\%) \) and the 3-year OS rates \( (99.1\% \text{ vs. } 96.6\%) \) were not inferior for the \([\text{AS}_4\text{S}_4]\) group compared with the ATO group \( (56) \). No severe long-term toxicity or increased secondary malignancy rates were observed in either trial. These two trials establish the feasibility and safety of prolonged oral arsenic administration but long-term safety data are still required. Oral arsenic formulations are not currently available in the United States or Europe.

**Importance of minimal residual disease in APL**

In APL, early detection of minimal residual disease (MRD) has been shown to predict relapse effectively \( (57–59) \). Rigorous serial monitoring of MRD is usually achieved with serial RT-PCR or quantitative RT-PCR assays to detect leukemia-specific transcripts in peripheral blood or bone marrow samples, a surveillance approach that has become a recommended standard of care \( (24, 58, 60) \). In one large analysis of 406 patients who received ATRA–chemotherapy regimens, the majority of relapsed patients were successfully identified with serial MRD monitoring, which was the strongest predictor of relapse-free survival \( (\text{RFS}) \) in multivariable analysis \( (\text{HR}, 17.87; 95\% \text{ confidence interval, } 6.88–46.41; P < 0.0001; \text{ref. 58}) \). In another analysis of 151 patients with APL treated with single-agent ATO, serial MRD monitoring successfully predicted relapse in 60% of cases with an overall sensitivity and specificity of 60% and 93.2%, respectively \( (59) \). It should be noted that a positive RT-PCR at the end of induction is a common finding when ATRA–chemotherapy regimens are used and does not predict relapse, whereas a positive RT-PCR at the end of the first consolidation course in these patients is a predictor of subsequent relapse \( (57–59) \). In contrast, a positive RT-PCR at the end of initial induction therapy in patients treated with upfront single-agent ATO seemed to correlate best with subsequent relapse \( (59) \).

More importantly, aggressive preemptive therapy at the time of molecular relapse has been associated with significantly better survival outcomes when compared with treatment at time of florid hematologic relapse \( (58, 59, 61, 62) \). Grimwade and colleagues \( (58) \) found that early intervention with ATO salvage therapy at the time of molecular relapse prevented progression to florid relapse in most patients, with a 1-year RFS rate of 73% among those with molecular relapse. In another study that compared 16 patients who were salvaged at the time of molecular relapse with 36 patients salvaged at the time of hematologic relapse, the patients re-treated at the time of molecular relapse had higher 5-year OS rates \( (64\% \text{ vs. } 24\%, P = 0.01) \) and lower 5-year relapse rates \( (30\% \text{ vs. } 64\%, P = 0.044) \), respectively \( (62) \). The National Comprehensive Cancer Network guidelines currently recommend a bone marrow sample at the end of consolidation therapy to document negativity for MRD \( (24) \). If there is persistence of MRD or subsequent reappearance of MRD during serial monitoring, then the patient should be treated for relapsed disease (after confirmation of the PCR positivity within 2–4 weeks; ref. 24). The utility of MRD monitoring in ATO-treated patients is unclear because so few patients have relapses \( (63) \).

**Elucidation of the mechanisms of resistance to ATRA and ATO and their management**

Primary resistance to ATRA or ATO is very rare except for some forms of APL in which the RARA gene partners with other genes aside from \( \text{PML} \) [e.g., \( \text{t}(11;17) \text{PLZF–RARA} \); refs. 5, 8]. Secondary resistance to ATRA, on the other hand,
is more common. Genetic mutations in the ligand-binding domain of the RARA moiety that interfere with ATRA binding are believed to be the most common cause of resistance to ATRA in APL (64). In addition, aberrant CoCs that cannot be dissociated with ATRA therapy can contribute to resistance (11).

Secondary resistance to ATO is rare and poorly understood. Resistance-inducing genetic mutations in the PML moiety of the PML–RARA oncogene have been described, and some subclones carry these mutations in addition to ATRA resistance-inducing mutations (11, 65). In addition, posttreatment acquisition of secondary chromosomal aberrations and FLT3 mutations has been implicated in the resistance and relapse of APL (66). Most patients who have relapses after chemotherapy and/or ATRA respond to salvage therapy with ATO. Histone deacetylase and histone demethylase (e.g., PHF8) inhibitors can potentially overcome resistance in APL by inducing derepression of transcription (11). GO, an anti-CD33–calicheamicin conjugate, has also been evaluated in this setting (67, 68). A better understanding of the mechanisms underlying resistance to ATRA and ATO will be important for the development of new targeted agents to salvage relapsed patients.

Final Remarks

Although evidence strongly supports the incorporation of arsenic in the management of APL, questions remain with regard to its appropriate place in the therapeutic sequence, the optimal schedule, and the role of the oral formulations. Longer follow-up is required to assess this treatment approach for long-term toxicity and risk of relapse. Nonetheless, the prospect of a completely oral, chemotherapy-free combination of arsenic and ATRA for some patients with newly diagnosed APL, potentially allowing outpatient management for at least part of the course, is possible in the near future. Oral arsenic compounds are still not available commercially in the United States despite the positive randomized data, and this lack of access potentially limits the utility of the most active drug for APL to those patients willing to receive several months of daily intravenous therapy.

Many other questions remain unanswered in APL such as the role of maintenance therapy, its duration, and the specific drugs to be used. As outcomes of patients with APL continue to improve, the issue of central nervous system relapse is becoming more problematic, and, therefore, more data about intrathecal prophylaxis are required (12). Refining the role of monitoring of MRD in directing treatment decisions is also important. The development of novel targeted agents to treat relapsed disease will likely require a deeper understanding of the secondary resistance mechanisms to ATRA and ATO. Despite all of the exciting therapeutic advances, early death due to coagulopathy and bleeding complications remains the major challenge to further improvement of outcomes in patients with APL and constitutes a top research priority.

Authors’ Contributions

Conception and design: A.M. Zeidan, S.D. Gore
Development of methodology: A.M. Zeidan
Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): A.M. Zeidan
Writing, review, and/or revision of the manuscript: A.M. Zeidan, S.D. Gore

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