A Prognostic Score for Hormone-Refractory Prostate Cancer: Analysis of Two Cancer and Leukemia Group B Studies

Robin T. Vollmer, Philip W. Kantoff, Nancy A. Dawson, and Nicholas J. Vogelzang

INTRODUCTION

Previously, we have shown that serial measurements of prostate-specific antigen (PSA) in hormone-refractory prostate cancer (HRPC) can be used to calculate an average relative velocity (rva) of PSA. Together, the level of PSA and the rva formed a two-variable model for survival time that worked at any time during the course of HRPC. Here, we have added serial measurements of hemoglobin and weight to test whether they improve the prior model based on PSA alone. Data from two Cancer and Leukemia Group B studies (9181 and 9182) on HRPC were combined to study the relationship between survival and serial measurements of PSA, serum hemoglobin, and patient weight. Altogether, there were 348 patients who could be evaluated. We used the Cox proportional hazard model for survival time with the interval censored method to accommodate time-dependent covariates, and tests for significance were two sided. Log (PSA), rva, log (hemoglobin), and log [weight (in kg)] were all significantly related to survival time during the course of HRPC ($P < 3.0 \times 10^{-5}$). Together, they formed a prognostic score based upon the relative hazard. Higher values of this score implied higher probability of death as the next observed event. Serial measurements of PSA, hemoglobin, and weight provide a prognostic score that can be applied continuously during the course of HRPC. Changes in the score may provide a reproducible measure of treatment effect.

PATIENTS AND METHODS

The prostate cancer committee of the CALGB has completed two studies in men with HRPC. In the first protocol (CALGB 9181), 149 men were randomly assigned to receive either low-dose (160 mg/day) or high-dose (640 mg/day) MA. In the second (CALGB 9182), 239 men were randomly assigned to receive either a low dose of hydrocortisone (40 mg per day) or the combination of the same dose of hydrocortisone plus mitoxantrone at 14 mg/m$^2$, which was given i.v. once every 21 days, as tolerated, for a maximum of 10 doses. Signed informed consent was obtained from all of the patients, and the study was approved by all CALGB participating member institutional review boards. Both protocols required that blood be drawn and assayed for PSA within the 2-week period before entry and at 3–4-week intervals thereafter. Table 1 summarizes and compares critical values for these two groups of men, and it shows that the two groups were similar. The main results for these two studies have been reported in abstract form (2–4). Preliminary survival analyses indicated that there was no difference between the arms of each study ($P > 0.6$). Fig. 1 shows that the overall survival for the two groups was nearly identical.

**PSA Relative Velocity.** As we have done previously (1), we defined the relative velocity of PSA as:

$$\text{Relative velocity} = \frac{dy/dt}{y} \quad (A)$$

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3 The abbreviations used are: HRPC, hormone-refractory prostate cancer; PSA, prostate-specific antigen; CALGB, Cancer and Leukemia Group B; MA, megestrol acetate; rva, average relative velocity.
with \( y \) and \( t \) symbolizing PSA and time, respectively. For any given time interval between times \( t_1 \) and \( t_2 \), we estimate the relative velocity to be:

\[
\text{Relative velocity} = \frac{\log (y_2/y_1)}{t_2 - t_1} \tag{B}
\]

where \( y_1 \) denotes the value of PSA at \( t_1 \) and \( y_2 \) is the value at \( t_2 \). Throughout, “log” refers to the natural logarithm, that is, logarithm to the base \( e \), which is \( \sim 2.72 \). Thus, the relative velocity for any time interval is just the slope of the log (PSA) versus time curve at that interval. The rva was obtained by averaging these values over separate time intervals up to the time \( t_1 \) of each interval.

### Relative Hazard Exponent as a Prognostic Score

In traditional analysis of survival time, the hazard function is defined as the conditional probability that a patient’s survival time will fall in a time interval, given that the patient has survived up to the beginning time of the interval (5). The commonly used Cox model assumes that this hazard, here symbolized as \( h(t) \), is proportional to a baseline hazard \( h_0(t) \), which is often designated as the hazard for a patient with mean values of the prognostic variables. The application we see most commonly confines its interest to prognostic variables observed at the beginning of a study, and treatment is added to the list. We will symbolize these prognostic variables as \( x_1, x_2, \ldots, x_n \). However, to develop a model that could be applied serially throughout the course of disease, we use prognostic variables that can change with time. In this case the prognostic variables become \( x_1(t), x_2(t), \ldots, x_n(t) \), and the \( t \) indicates that they change with time. Thus, with this approach, the hazard function \( h(t) \) can be written in equation form as:

\[
h(t) = h_0(t)\exp (b_1x_1(t) + b_2x_2(t) + \cdots) \tag{C}
\]

Here, we will designate the linear combination in the exponent of Eq. C as the “prognostic score.” Because this score is mathematically related to the hazard function, it is also related to the probability of dying. Because this score is a linear combination of several prognostic variables, it is multivariate.

### Statistical Methods

We used two statistical models: the Cox proportional hazard model (5–9) and the general linear model (10). We used the Cox model first to identify which variables were prognostic and to estimate the coefficients of Eq. C. Then we used the general linear model to test how the prognostic score related to treatment. For the Cox model analysis, we used the coxph program of the S-PLUS software (6), and to adapt the analysis to the serial values of PSA, hemoglobin, and weight as a time-dependent variables, we used the “interval censored” or “counting process” approach (7–9). Time intervals for patients were defined by the time points for sequential pairs of clinic visits, and only the prognostic variables available at the beginning of each interval were used to relate to the outcome at the end of the interval. All tests of significance were two sided.

### RESULTS

#### Validation of rva of PSA

Because the number of patients we used to define and study the rva of PSA in our

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### Table 1: Summary of patients and characteristics of CALGB studies 9181 and 9182

<table>
<thead>
<tr>
<th>Variable</th>
<th>Protocol 9181</th>
<th>Protocol 9182</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. of patients</td>
<td>143</td>
<td>239</td>
</tr>
<tr>
<td>No. of evaluable patients</td>
<td>133</td>
<td>233</td>
</tr>
<tr>
<td>Treatment studied</td>
<td>Dose of MA</td>
<td>Mitoxantrone added to hydrocortisone</td>
</tr>
<tr>
<td>( P ) for therapeutic effect</td>
<td>0.68</td>
<td>0.87</td>
</tr>
<tr>
<td>Performance status (% of patients)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>48</td>
<td>39</td>
</tr>
<tr>
<td>1</td>
<td>43</td>
<td>45</td>
</tr>
<tr>
<td>2</td>
<td>8</td>
<td>15</td>
</tr>
<tr>
<td>Median initial hemoglobin (g/dl)</td>
<td>12.3</td>
<td>12.2</td>
</tr>
<tr>
<td>Median initial weight (kg)</td>
<td>81.9</td>
<td>83.7</td>
</tr>
<tr>
<td>Median survival (days)</td>
<td>376</td>
<td>369</td>
</tr>
<tr>
<td>No. dead at time of analysis (%)</td>
<td>131 (98.5)</td>
<td>200 (85.8)</td>
</tr>
<tr>
<td>Median no. of PSAs (range)</td>
<td>6 (1–39)</td>
<td>6 (1–30)</td>
</tr>
</tbody>
</table>

---

![Kaplan-Meier plot](image-url)
prognostic score for the four-variable model at time $t$ seemed to work for any time in the follow-up period. Because its information to that provided from PSA alone. Because its logarithms of hemoglobin and weight was better than one restricted model on this same group of patients using just using hemoglobin and weight without log transforms, and a $\log (\text{PSA})$ and rva of PSA produced a lower likelihood ratio $P$ variance in the estimates of the coefficients; and the $P$s indicate the significance of the associations between rva and survival time in these three groups of patients.

Effect of Experimental Treatment on the Prognostic Score. Because one of the more important uses of a prognostic score in HRPC would be as an early measure of treatment effect, we tested how the treatments of our two studies affected this score. The top portion of Table 4 shows the results for the treatment of MA in protocol 9181, and the bottom portion shows the result for the treatment of mitoxantrone in protocol 9182. Rather than arbitrarily choosing a single time to measure the effect of treatment, we searched for an overall treatment effect overall of the 200 days. We chose 200 days because this was the time during treatment and because it satisfied the requirement of an early measure of response; i.e., one before final survival information was available. To control for an effect of time on the prognostic score that was independent of treatment, we introduced time as a linear and nonlinear variables. For protocol 9181, for example, the prognostic score was dependent on time as a linear variable ($P = 0.023$). For protocol 9182, there was an additional parabolic time effect on the prognostic score; i.e., there was first a fall in scores followed by a rise. Thus, for protocol 9182, we had to include time as a linear variable as well as a squared variable (i.e., time$^2$), and these two variables were significant ($P = 0.0003$ and 0.018, respectively).

Thus, Table 4 shows how the prognostic score was affected by treatment after controlling for the effects of time. In the top portion, we see that dosage of MA was not significantly associated with any change in prognostic score, either overall or with time ($P = 0.57$ and 0.18, respectively). Although one might consider the $P$ of 0.18 for dose times variable borderline, the magnitude of the coefficient (0.002) suggests that higher dose has minimal influence on the prognostic score over time. Fig. 3 shows the estimate of mean values of prognostic scores over time for those on low dose versus high dose of MA for this protocol. Although the higher dose of MA has a lower curve as time increases, the analysis indicated that this trend was not significant ($P = 0.18$). In the bottom portion of Table 4, we see the analysis of the effect of combined mitoxantrone and hydrocortisone on the prognostic score. The $P$ of 0.0096 for the first

### Table 2 Validation of rva of PSA

<table>
<thead>
<tr>
<th>Parameter</th>
<th>CALGB study</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>9181</td>
</tr>
<tr>
<td>Coefficient$^a$</td>
<td>24.48</td>
</tr>
<tr>
<td>$SE$</td>
<td>7.32</td>
</tr>
<tr>
<td>$P$</td>
<td>0.0008</td>
</tr>
<tr>
<td>No. of patients</td>
<td>137</td>
</tr>
<tr>
<td>No. of deaths</td>
<td>125</td>
</tr>
<tr>
<td>Model LR$^a$</td>
<td>28.7</td>
</tr>
</tbody>
</table>

$^a$ Both models also included the level of PSA as the natural log-(PSA), and the coefficients for this variable were 0.221 and 0.274, respectively; that is, the level of log (PSA) was given greater weight in the results for protocol 9182.

$^b$ The coefficient is $b^2$ of Eq. C; the SEs refer to the expected variance in the estimates of the coefficients; and the $P$s indicate the significance of the associations between rva and survival time in these three groups of patients.

$^c$ LR, overall likelihood ratio.

Prognostic score

$$
\text{Prognostic score}(t) = 0.156(\log (\text{PSA}(t)) - \text{mean log (PSA)})
+ 17.2(\text{rva}(t) - \text{mean rva})
- 3.16(\log (\text{hgb}(t)) - \text{mean log (hgb)})
- 1.69(\log (\text{wgt}(t)) - \text{mean log (wgt)})
$$

(D)
mitoxantrone term implies that the prognostic score was significantly higher in those treated with mitoxantrone. On average, those treated with mitoxantrone had scores 0.0135 greater than those not treated with mitoxantrone. The lack of significance for the mitoxantrone \( \times \) time and mitoxantrone \( \times \) time\(^2\) variables implies that this effect was constant over the 200-day period. Because we were unable to demonstrate any significant differences in PSA, hemoglobin, or weight between the two treatment groups at the outset of the study \((P > 0.2)\), the result suggests that the increase in hazard score was most likely due to mitoxantrone. Fig. 4 demonstrates this difference visually by showing the estimated mean values of multivariate prognostic scores over time for the mitoxantrone treated group \((\text{Mitoxantrone} + \text{HC})\) versus the group treated with hyrdrocortisone \((\text{HC Alone})\) alone.

**DISCUSSION**

This study demonstrates how sequential measurements of PSA, hemoglobin, and weight form a prognostic score that is closely related to survival. Four features distinguish our prognostic score. \((a)\) Mathematically, it is part of the hazard function, which, in turn, ties it closely to survival. \((b)\) It can be calculated and updated at any time in the course of HRPC. Specifically, our score differs from many prognostic scores, because it comes from serial data collected at the beginning of study as well as throughout follow-up. \((c)\) It includes a measure of the dynamics of PSA, namely, the time \(\text{rva}\). \((d)\) It goes beyond PSA with two additional variables: hemoglobin and weight.

In our study population, when the normalized prognostic score was over 2, the probability that the next observed event was death was \(\sim 0.4\). Nevertheless, regardless of the level of
by the separation of these two curves.

200-day period (mitoxantrone had significantly higher prognostic scores during this 200-day period ($P = 0.0096$), but the difference was small, as indicated by the separation of these two curves.

prognostic score, there were some who died and some who survived, so the score predicts for an average patient. Furthermore, until this score is validated by study of another population, we do not know how accurately it will perform on patients outside our two protocols or how it will perform as a measure of treatment response. The results are, however, sufficient to establish the importance of serial measurements of PSA, hemoglobin, and weight for both prognosis and response, and they suggest that further studies of HRPC include these serial measures. It may also be important to document the prognostic score at the beginning of studies of HRPC, so that pretreatment bias may be reduced.

Unlike commonly used measures of response in HRPC, the prognostic score is not a binary measure but a continuous one, and all four of its variables are used as continuous ones. In this way, the score is like PSA, tumor volume, tumor growth rate, or even survival time, all of which are continuous. Whereas it may be traditional to document treatment effects as binary (present or absent), or as tertiary (complete, partial, and absent), we have also expressed effectiveness of treatment in terms of either survival time or disease-free interval. Both of these are continuous. Because PSA is continuous, imposing discrete cutoff points on PSA or on changes in PSA is unnecessary. For example, the commonly used percentage decreases in PSA at any time are discrete cutoff points imposed on the continuous relative velocity of PSA. We can see this below.

If we symbolize the values of PSA at two times ($t_1$ and $t_2$) as $y_1$ and $y_2$ and the percentage decrease in PSA as “PD,” then the relative velocity of PSA (“rv”) can be written as:

$$rv = \frac{\log(y_2/y_1)}{t_2 - t_1} = \frac{\log(1 - PD/100)}{t_2 - t_1}$$

Thus, an 80% decline in PSA at 60 days corresponds to a relative velocity of approximately $-0.027$, and a 50% decline at 60 days corresponds to a relative velocity of $-0.012$. In Fig. 5, the curved lines illustrate this function, and they show the continuous relationship between relative velocity and percentage decline for two different time points: 4 weeks (28 days) and 60 days. As the percentage decline increases, the relative velocity decreases. The point to this analysis, however, is to suggest that the variable and traditional choices of PSA response in terms of specific percentage declines or landmark times are just points taken from a natural continuum of relative velocity of PSA. Our results imply that, instead of such arbitrary points, we should use a continuous measure of treatment effect, just as we have often relied on survival times and disease-free intervals.

The practice of defining therapeutic response based on PSA alone has been criticized for several reasons (11–16). PSA response has not always related to survival (12). Treatment can change PSA without affecting tumor growth (14, 16). PSA response has been variably and inconsistently defined (15). PSA response does not always correlate with response in measurable disease (11, 17), and finally, there can be wide temporal fluctuations in PSA (11). Our results indicate that rva can improve the use of PSA by capturing dynamic aspects while avoiding being overly influenced by either a particular level of PSA or by fluctuations in PSA because rva has units of 1/time (rather than ng/ml) and because rva is a time average of relative velocities. In fact, in our results rva was more closely associated with survival time than was the level of PSA, and after hemoglobin, rva was the variable most closely associated with survival time. Finally, because our prognostic score uses two non-PSA variables, it can avoid some of the difficulties of a response based solely on the level of PSA.

Hemoglobin is a prognosticator that reflects not only the general health of the patient but also the degree of tumor displacement of the marrow. As a prognosticator for HRPC,
hemoglobin is not new. For example, hemoglobin, hematocrit, and the designation of anemia have been found to prognostic in numerous studies (17–26), and its prognostic value has persisted in multivariate analysis (17, 19, 20, 25). Whereas the level of hemoglobin has often been categorized as either low or normal, in this study, we found that hemoglobin was best used as a continuous variable. Furthermore, as a repeated measurement during follow-up the natural logarithm of hemoglobin was more closely associated with survival than was the untransformed variable. Additionally, as a repeated measurement hemoglobin has often been categorized as either low or normal, numerous studies (17–26), and its prognostic value has persisted and the designation of anemia have been found to be prognostic in hemoglobin. For example, hemoglobin, hematocrit, and performance status. Because we did not have serial measurements of performance status, we could not evaluate this interaction.

Like hemoglobin, body weight is a prognosticator that reflects the general health of the patient, and it has been reported to be prognostic before (21, 24). Mostly, what has been emphasized has been degree of weight loss, but in our analysis, we found that as a time-dependent variable the natural logarithm of absolute weight was prognostic, and correcting for the initial on-study weight did not significantly improve this model.

In summary, we have formed a prognostic score based on the level of PSA, the time average of relative velocity of PSA, the level of serum hemoglobin, and body weight. The score is closely related to survival time, so that it may be a surrogate measure of response, but proving this will require further work. For example, we need to demonstrate that the score has a significant association with an effective treatment for HRPC. Specifically, when a univariate Cox model analysis produces a significant treatment effect, we should be able to show that, in a bivariate Cox model, the score can nullify the treatment effect (27). Alternatively, when the treatment arms produce a significant difference in the score, then the arms should also produce a significant difference in survival. In this study, we found a small but significant difference in the score for the two treatment arms of protocol 9182, but the average difference in the score for these two arms was just 0.0135. A quick examination of Fig. 2 shows that such a small difference in score is not likely to translate into much of a survival difference. Thus, it is not surprising that preliminary analyses have shown no significant difference in survival for the two treatment arms of protocol 9182.

APPENDIX

Example of Prognostic Score Calculation

Calculation of average relative velocity of PSA (rva) was performed as follows:

\[
\text{rva} = \left\{ \frac{\log(226/231)/16 + \log(281/226)/21 + \log(139/281)/20}{108/139}/21 + \log(74/108)/21 \right\}/5
\]

\[= -0.0112\]

The prognostic score was estimated as follows:

\[
\text{Estimated prognostic score} = 0.156 \times (\log(74) - 4.56)
\]

\[+ 17.16 \times (\text{rva} - 0.00213) - 3.16 \times (\log(13.8) - 2.48) - 1.69 \times (\log(77.4) - 4.42) = -0.6\]

The estimated probability of death as the next observed event was 0.05.

The usual median for the score is 0, and the usual range is from \(-3\) to \(+3\).

In the calculation we have substituted our population’s means for averages of \(\log(\text{PSA})\), \(\text{rva}\), \(\log(\text{hemoglobin})\), and \(\log(\text{weight})\).

A computer program is available to perform these calculations. It is designed to operate on an IBM-compatible computer, and it calculates the prognostic score from the current values of PSA, hemoglobin, and weight, together with five prior values of PSA with their respective dates. It also estimates the probability of death as the next observed event, assuming the patient behaves as an average member of our studied population.\(^4\)

REFERENCES


\(^4\) R. T. V. will provide this program to readers who mail a 3.5-inch disk to the address for reprint requests.
A Prognostic Score for Hormone-Refractory Prostate Cancer: Analysis of Two Cancer and Leukemia Group B Studies


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