The Estrogen Receptor: A Model for Molecular Medicine

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Abstract

The identification of the estrogen receptor (ER) in the laboratory provided a mechanism to describe the target site specificity of estrogen action in uterus, vagina, pituitary gland, and breast cancer. Most importantly, a test was established to predict the outcome of antihormonal therapy in breast cancer, and a target was identified to develop new drugs for the treatment and prevention of breast cancer. The development of tamoxifen for the treatment of all stages of ER-positive breast cancers has resulted in the improved survival of breast cancer patients. However, the recognition of selective ER modulation, i.e., estrogen-like action in bones and lowering circulating cholesterol but antiestrogenic actions in breast and uterus, has resulted in the development of multifunctional medicines with the goal of preventing not only breast and uterine cancer but also osteoporosis and coronary heart disease.

Introduction

The link between hormones and breast cancer growth and development has been recognized for more than a century. In 1896, George Beatson (1) reported that removal of the ovaries from premenopausal women with advanced breast cancer produced a dramatic decrease in tumor size and improved the patient’s prognosis. Beatson had not performed the oophorectomy on a whim but had used the modern principles of translational research. He applied not only the knowledge that removal of the ovaries could, mysteriously, affect the mammary glands in farm animals but also the earlier observations by Ashley Cooper that, in premenopausal women with advanced breast cancer, the size of the tumor increased and decreased during the menstrual cycle. Unfortunately, oophorectomy did not benefit all patients. In 1900, Stanley Boyd (2) at the Charing Cross Hospital accumulated the national case reports of oophorectomy and noted that only one-third of the patients responded to ovarian ablation and responses lasted, on average, for 1–2 years. Although these data were disappointing, endocrine therapy became a standard of care in the treatment of breast cancer. Oophorectomy was subsequently replaced by ovarian irradiation for premenopausal patients, whereas during the 1950s and 1960s, adrenalectomy (3), hypophysectomy (4), and paradoxically, high-dose diethylstilbestrol (5) became treatment options for postmenopausal patients. However, still only one-third of patients responded, so the question to be answered by translational research was, “can the patient who will respond be predicted thereby avoiding noneffective ablative surgery for the majority of the cases?”

In the laboratory, ovariectomy of young mice from strains that developed mammary cancer dramatically reduced the incidence of tumors (6). However, the discovery of estrogenic hormones produced in the ovary by Allen and Doisy (7) prompted the search for a therapeutic antagonist to reduce the incidence of breast cancer in individuals predisposed to the disease by their sensitivity to estrogenic hormones (8). The laboratory question then became, “how do estrogens exert their tissue specificity, and is there a target that can be identified to block estrogen action?”

The prevailing theory to explain estrogen action throughout the 1950s was that estrogens exert their actions by participating in enzymatic processes of metabolism. However, advances in radioisotope chemistry and detection techniques for tritium, facilitated the identification of a receptor protein that mediates the diverse actions of estrogen without metabolic alteration of the hormone itself. These discoveries provided the necessary insight to understand the complexities of steroid endocrinology and opened the door to molecular targeting in the treatment and prevention of breast cancer.

Synthesis and Evaluation of $[\text{H}]$Estradiol in the Laboratory

High specific activity 6–7 $[\text{H}]$estradiol (117–195 mCi/mg) was prepared by catalytic tritiation of 6-dehydroestradiol (9). The sc administration of $[\text{H}]$estradiol to groups of immature rats showed (Fig. 1) that the estrogen target tissues (e.g., uterus and vagina) bound and retained the $[\text{H}]$ label, but traditional non-target tissues (e.g., muscle, kidney, liver) did not retain the radioactivity. The radioactivity in the uterus was identified as estradiol itself. That this had not undergone reversible oxidation/reduction to estrone, as assumed in the then prevailing concept, was established by the synthesis of 17-tritiated estradiol and demonstration that this does not lose its tritium as it stimulates growth of the rat uterus (10).

The fact that the uterus and vagina (estrogen target tissues) bound and retained $[\text{H}]$estradiol implied that a receptor molecule was necessary to initiate the cellular responses associated with estrogen action. We used one of the early nonsteroidal antiestrogens (U-11,100A or nafoxidine as it was later known) to demonstrate that the estrogen-binding substance in the rat uterus is a true receptor, involved in hormonal action, in that the progressive inhibition of growth by increasing doses of antioestrogen parallels its inhibition of $[\text{H}]$estradiol binding (Ref. 10; Fig. 2).

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1 The Dorothy P. Landon AACR Prize for Translational Research.

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Jack Gorski (11, 12) first used SDGA to identify the rat ER as an extractable protein that sedimented at around 8S. We adapted the technique of SDGA to identify subtle differences in the size of ERs and proposed a two-step mechanism for the activation of the ER to a reactive complex that initiates cell growth (13). The 8S receptor can be dissociated with 0.4M KCl into a 4S subunit, releasing what we now know to be heat-shock proteins and other molecular chaperones. The 4S ER-estradiol complex is then transformed to a 5S complex that is the active form of the receptor. These data explained the observation that receptor complexes isolated from uteri after tissue binding of \[^{3}H\text{estradiol in vivo}\] were 5S, but studies with cytoplasmic extracts (cytosols) in vitro at 4°C invariably produces a 4S complex. We found that the 4S complex could be converted to a 5S nuclear ER complex in vitro by warming to physiological temperature (14). The two-step model of estrogen action, i.e., E\(_2\) binding to ERs with subsequent activation of the complex, is supported by observations that estradiol binds to the ER to produce a conformational change that permits dimerization and coactivator binding. These molecular events, identified by X-ray crystallography (15, 16), provide the basis for receptor initiated target gene transcription as a prelude to cell replication in estrogen target tissues.

The ER Assay for Breast Cancer

The finding that estrogen target tissues contained ERs but nontarget tissues did not raised the question of whether these concepts translated to the clinic to predict the endocrine responsiveness of breast cancer. The fact that only one in three women respond to any form of endocrine ablative therapy meant that two women were undergoing surgery and intensive medical care without any hope of a favorable outcome. We (E. V. J.) reasoned that if the ER was necessary for estrogen-stimulated growth, then determination of ER in a tumor specimen may be informative. We used SDGA to determine the ER content of tumor cytosols by the size of the 8S ER-estradiol peak and correlated the results with the outcome of adrenalectomy and other ablative therapy (Ref. 17, 18; Fig. 3).

We first reported in 1971 that ER-rich breast cancers were more likely to respond to endocrine ablation than ER-poor breast cancers (17). In general, these data were consistent with all of the clinical correlations presented at a workshop in Bethesda, Maryland, in 1974 sponsored by the Breast Cancer Task Force (18). Of the patients evaluated by extramural review, only 8% of ER-negative tumors responded to additive or ablative therapy, whereas ~60% of patients who were ER positive had an objective response to endocrine therapy (Table 1). Interestingly, the early studies with nonsteroidal antiestrogens used as treatments were not so encouraging.

The results of the 1974 workshop established the ER assay as a valuable predictive test for the endocrine treatment of advanced breast cancer, but the clinical focus on the early detection of breast cancer mandated more sensitive assays for the determination of ER status for small primary tumors. We (E. V. J.) addressed these issues by purifying both calf and...
human ER proteins and raising polyclonal (19) and subsequently monoclonal (20, 21) antibodies to them, the first antibodies to any steroid hormone receptor. Because these antibodies form nonprecipitating immune complexes, we detected them by their ability to shift the sedimentation peak of the radioactive estradiol-receptor complex on SDGA. For different monoclonal antibodies to human ERs, we could demonstrate binding to different epitopes by their additive effect on the sedimentation rate of the immune complex. These antibodies provided immunochemical (ER enzyme immunoassay; Ref. 22) and immunocytochemical (ER immunocytochemical assay; Refs. 23, 24) assays for detection and measurement of ERs in tissue specimens, with many advantages over the earlier hormone-binding techniques. Most importantly, the immunocytochemical detection of ERs in pathology specimens indicated the heterogeneous nature of breast cancer. Although it was possible that tumors could have different levels of ER based on high or low concentrations in the individual cells, the immunocytochemical assay provided direct evidence that some cells contain ERs, whereas others do not (Fig. 4). Clearly, the genetic instability of breast cancer results in clonal selection so that endocrine therapy in advanced breast cancer (i.e., late stages) would be less likely to cure the disease.

The Transition to Tamoxifen

At the beginning of the 1970s, new strategies using the ER as a target for therapeutics were being considered, but early clinical experience with antiestrogens starting in the 1960s were not promising until ICI 46,474 (to be renamed tamoxifen) was developed as the first antiestrogen to be considered safe enough (V. C. J.). These studies resulted in the broader application of tamoxifen as a breast cancer treatment and ultimately as a breast cancer preventive. Tamoxifen was tested extensively for advanced breast cancer throughout the world during the 1970s, but it is fair to say that there was little enthusiasm about developing endocrine therapies to treat cancer. Combination chemotherapy was more likely to be successful in curing not only breast cancer but other solid tumors. Indeed, tamoxifen was found to be only as effective as standard treatments but with a reduced incidence of side effects (25, 26, 37). Nevertheless, a strategy for the broad application of tamoxifen as a treatment and preventive of breast cancer was established in the laboratory during the 1970s, which proved to be successful, in patients, some 25 years later. The success of the scientific strategy of translational research was based on the proven activity of tamoxifen as an antiestrogen, a reduced incidence of side effects, and the targeting of specific patient populations.

Scientific Principles for the Broader Application of Tamoxifen

In the 1970s, investigations of antihormonal therapy for breast cancer used the DMBA-induced rat mammary carcinoma model originally developed by Charles Huggins in Chicago 10 years before (38). The DMBA model was a tremendous breakthrough because a single oral dose of DMBA (20 mg) fed to 50-day-old Sprague Dawley rats results in all animals developing multiple mammary cancers ~150 days later. Tumorigenesis and tumor growth is hormone dependent (39), and most of the tumors have ERs (40, 41). In 1972, I (V. C. J.) had completed a Ph.D. entitled “The estrogenic and antioestrogenic activities of some substituted triphenylethylenes” (my external examiner was Arthur Walpole), and as a pharmacologist, I volunteered to facilitate the development of tamoxifen as a useful breast cancer drug. I first met Elwood Jensen (1972) when he visited the Worcester Foundation in Massachusetts as a member of their Scientific Advisory Board. He generously offered to aid in the evaluation of tamoxifen and supported my training in ER assays and the DMBA-induced rat mammary carcinoma model at the Ben May Laboratories in Chicago, Illinois.

Armed with new knowledge, a systematic evaluation of tamoxifen was initiated at the Worcester Foundation and subsequently at Leeds University sponsored by AstraZeneca (through the good offices of Lois Trench, Arthur Walpole, Roy Cotton, Barry Furr, and Brian Newbould). Unlike today, the goal was not publications, but the discovery of the most appropriate clinical application for a potentially useful breast cancer drug. In fact, there was no general enthusiasm for the concept of endocrine therapy, so the urgency to publish was unnecessary. There was absolutely no sense of a breakthrough for the general scientific and clinical community with tamoxifen in the early 1970s. Clinical research was not able to demonstrate increased response rates compared with standard therapy (25, 37), and the strategies of chemoprevention and long-term adjuvant therapy were not yet even considered by clinical trialists. Nevertheless, a strategic breakthrough was being planned in the laboratory.

Three scientific principles were established during the
1970s that have successfully translated to lives saved during the following decades. First, tamoxifen inhibits the binding of \[^3\text{H}\]estradiol to rat and mouse target tissues (42, 43), DMBA-induced rat mammary tumors (44), and human tumors (45). In the DMBA rat mammary carcinoma model, tamoxifen was more likely to prevent the growth of ER-positive tumors (46). Thus, the strategy of only using tamoxifen to treat patients with ER-positive breast tumors seemed appropriate. Second, short courses of 1 month of tamoxifen (equivalent to 1 year in patients) administered 1 month after DMBA to destroy microfoci of malignant cells in rat mammary gland were generally unsuccessful in completely controlling tumorigenesis (47). In contrast, continuous treatment with low doses of tamoxifen was completely effective in maintaining 90% of animals tumor free (Ref. 48; Fig. 5). Long adjuvant treatment schedules of tamoxifen were predicted to be more effective than short-term treatment. These first two principles translated to the clinic. The Oxford Overview analysis (49) of randomized clinical trials demonstrated that adjuvant tamoxifen is unable to provide any benefit for the patient with an ER-negative tumor, but 5 years of adjuvant tamoxifen are superior to 1 or 2 years of adjuvant tamoxifen for pre- or postmenopausal women with ER-positive tumors. Four hundred thousand women are alive today because of long-term adjuvant tamoxifen therapy.

The final principle was the demonstration that tamoxifen inhibits the initiation (50, 51) and promotion (47, 48) of DMBA-induced rat mammary carcinogenesis. These data provided the scientific foundation for subsequent studies to evaluate the worth of tamoxifen as a chemopreventive in high-risk women (52, 53).

The National Surgical Breast and Bowel Project chemoprevention trial recruited >13,000 high-risk pre- and postmenopausal women that were randomized to receive 20 mg of tamoxifen daily or placebo for up to 5 years (53). Overall, the result was predictable based upon the substantial clinical database with 20 years of experience with tamoxifen. Tamoxifen produced a 50% decrease in the incidence of breast cancer irrespective of the level of risk. Additionally, tamoxifen caused a 50% reduction in the incidence of ductal carcinoma \textit{in situ}. These antiestrogenic end points in the breast were complemented by predicted estrogen-like end points in other target tissues. Tamoxifen produced a nonsignificant decrease in the number of hip fractures but a significant 4-fold increase in the incidence of endometrial cancer. These antiestrogenic end points in the breast were complemented by predicted estrogen-like end points in other target tissues. Tamoxifen produced a nonsignificant decrease in the number of hip fractures but a significant 4-fold increase in the incidence of endometrial cancer in postmenopausal volunteers.

As a result of the clinical evaluation of tamoxifen, the medicine was the first to be Food and Drug Administration approved for reducing the incidence of breast cancer in high-risk premenopausal and postmenopausal women. However, the targeted use of tamoxifen in discrete populations of high-risk women was not seen as making a significant future impact in

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**Table 2** Comparison of early clinical experience with antiestrogens as a treatment for advanced breast cancer

<table>
<thead>
<tr>
<th>Antiestrogen</th>
<th>Daily dose</th>
<th>Year</th>
<th>Response rate</th>
<th>Toxicity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ethamoxytriphetol</td>
<td>500–4500 mg</td>
<td>1960</td>
<td>25%</td>
<td>Acute psychotic episodes</td>
</tr>
<tr>
<td>Clomiphene</td>
<td>100–300 mg</td>
<td>1964–1974</td>
<td>34%</td>
<td>Fear of cataracts</td>
</tr>
<tr>
<td>Nafoldine</td>
<td>180–240 mg</td>
<td>1976</td>
<td>31%</td>
<td>Cataracts, ichthyosis, photophobia</td>
</tr>
<tr>
<td>Tamoxifen</td>
<td>20–40 mg</td>
<td>1971–1973</td>
<td>31%</td>
<td>Transient thrombocytopenia</td>
</tr>
</tbody>
</table>

* “The particular advantage of this drug is the low incidence of troublesome side effects” (25), “Side effects were usually trivial” (26).
public health. In response to this clinical concern, a broader strategy was devised to exploit laboratory observations so that multifunctional medicines could be advanced for women’s health (54).

Selective ER Modulation

The preliminary observation that the impure drug clomiphene, a mixture of estrogenic and antiestrogenic isomers, could maintain bone density in the ovariectomized rat (55), raised the question whether the estrogenic or the antiestrogenic isomer in the mixture was responsible for the target site-specific effects. In other words, the antiestrogenic isomers may cause inhibitory effects in the uterus and mammary cancers (56), but the estrogenic isomer could combine with novel receptors to produce estrogen-like effects in bone. The finding that tamoxifen (the pure antiestrogenic isomer) and raloxifene (then known as keoxifene), a drug that failed to be approved for use as SERMs to prevent breast cancer and osteoporosis, would not only provide insight into the direct actions of estrogens and antiestrogens (76, 77). One successful approach used the regulation of the prolactin gene in primary cultures of cells from the anterior pituitary gland. It was reasoned that the assay would not only provide insight into the direct actions of ligands at a gene target without concerns about pharmacokinetics and metabolism (78) but also provide insight into rat mammary carcinogenesis that was prolactin dependent (79).

As a result of these conceptual advances, it is clear that raloxifene could be the first of a series of new multifunctional medicines (72). Raloxifene is currently being tested in the study of tamoxifen and raloxifene to determine the efficacy of raloxifene to reduce the incidence of breast cancer in high-risk women. Additionally, raloxifene is being evaluated as an agent to reduce the incidence of coronary heart disease in high-risk postmenopausal women. The trial is referred to as raloxifene use for the heart, and data should be available in 2005. The trial results will be extremely important in light of the recent reports that standard hormone replacement therapy, in fact, does not decrease the risk of coronary heart disease and has significant life-threatening side effects (73).

The successful development of tamoxifen and raloxifene for use as SERMs to prevent breast cancer and osteoporosis, respectively, has encouraged the investigation of the structure function relationships of SERMs and to understand why one SERM may be more estrogen-like than another at a target site.

Structure Function Relationships

Once tamoxifen was established as a valuable drug for the adjuvant treatment of breast cancer in the early 1980s (74, 75), we (V. C. J.) focused on laboratory studies to describe the molecular mechanisms of action of estrogens and antiestrogens (76, 77). One successful approach used the regulation of the prolactin gene in primary cultures of cells from the anterior pituitary gland. It was reasoned that the assay would not only provide insight into the direct actions of ligands at a gene target without concerns about pharmacokinetics and metabolism (78) but also provide insight into rat mammary carcinogenesis that was prolactin dependent (79).

Overall, extensive structure function relationship studies were used to propose a molecular model of estrogen and antiestrogen action (crocodile model). The proposal required the sealing of a planar estrogen within the ligand binding domain to transform the ER complex into its active state so...
that gene transcription could be initiated. In contrast, the
three-dimensional shape of the triphenylethylene wedges into
the ligand binding domain and prevents full ER activation by
keeping the jaws open. This was achieved by the bulky
alkylaminoethoxy side chain of antiestrogens that was pro-
posed to interact with an antiestrogenic region of the receptor
protein to modulate estrogen and antiestrogen action (Fig. 6).
The task of identifying the critical antiestrogenic region was
advanced with the cloning and sequencing of the ER gene
(80–82). However, the mechanics of antiestrogen action at
the ER were advanced by the discovery of a natural mutation
of the ERs (D351Y) in a tamoxifen-stimulated human tumor
(83–86). The mutant ER modulates the conversion of ralox-
ifene from an antiestrogen to an estrogen. The pivotal obser-
vation opened the door to a broader understanding of ER
modulation by SERMs.

The reason for the molecular modulation of SERMs
D35Y ER remained obscure until the resolution of the X-ray
crystallography of the ligand binding domain of the estrogen
or antiestrogenic receptor complex (Refs. 15, 16; Fig. 6). The
crocodile model was essentially correct, with the planar es-
trogen sealed within the ligand binding pocket, but the three-
dimensional SERM blocked the closure process by relocating
helix 12 away from the ligand binding pocket. The bulky side
chain for the SERM relocated helix 12, thereby preventing
coactivator molecules from binding to the appropriate site
on the external surface of a SERM ER complex (AF-2; Ref. 16).

However, the question could be asked, “why is tamoxifen
more promiscuous than raloxifene in target sites like the uter-
us?” The reason is the relationship between D351 in the ER and
the antiestrogenic side chain of the SERM. Raloxifene’s side
chain is one angstrom closer to D351, and it shields and neu-
tralizes the change (Fig. 7). In contrast, the side chain for
tamoxifen cannot neutralize D351 so the site allosterically in-
fluences activating function 1 at the proximal end of the ER. On
the basis of the structure function relationships of the side chain
and the amino acid at 351, it is possible to predictability mod-
ulate the SERM ER complex (87–90). The conversation be-
tween the bulky antiestrogenic side chain on SERMs and aa351
is the critical first step to change the charge and shape of
external surface of a SERM ER complex (Fig. 8). These studies
complement the important studies with coregulatory (corepres-
or coactivator) molecules that are currently providing an
important insight into the target site-specific effects of SERMs.
These data provide the foundation for a new generation of

Fig. 6 The proposed mechan-
ics of the ER bound to either
estradiol or monohydroxyta-
moxifen (A), suggesting that the
bulky side chain of tamoxifen
must keep the jaws open by in-
teracting with the antiestro-
genic region (76, 77, 90). The
successful crystallization of the
ER ligand binding domain with
estradiol (left) and an antiestro-
gen (right; B) demonstrated that
the bulky side chain moved
helix 12 to maintain the jaws
open and prevents estrogen
action at the activating function
2 site on ER (14). The key to
modulating the SERM ER com-
plex became centered on D351
as a key amino acid that con-
trols the estrogenic and anti-
estrogenic properties of the com-
plex through interaction with the
antiestrogenic side chain. Re-
produced with permission from
Brzozowski et al. Molecular
basis of agonism and antago-
nism in the oestrogen receptor.
targeted molecules that can be developed for multiple diseases (91, 92).

**Conclusions and Future Prospects**

The identification of the ER has not only proved to be a successful therapeutic target for the treatment and prevention of breast cancer but also has proved to be a selective molecular model for all subsequent efforts to design targeted therapeutics in cancer. The success of tamoxifen as a pioneering medicine has opened the door to the development of other novel approaches to the treatment of breast cancer using pure antiestrogens (93, 94) or aromatase inhibitors (95) and the broad application of SERMs in women’s health (91, 92). Most importantly, the novel idea of modulating the ER with specific ligands has been essential for the current expansion of the principle to develop medicines targeted toward other members of the steroid receptor superfamily.

**References**


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