

# Phase I Clinical Trial of Oral Curcumin: Biomarkers of Systemic Activity and Compliance

Ricky A. Sharma,<sup>1</sup> Stephanie A. Euden,<sup>1</sup>  
Sharon L. Platton,<sup>1</sup> Darren N. Cooke,<sup>1</sup>  
Aisha Shafayat,<sup>1</sup> Heather R. Hewitt,<sup>1</sup>  
Timothy H. Marczylo,<sup>1</sup> Bruno Morgan,<sup>2</sup>  
David Hemingway,<sup>3</sup> Simon M. Plummer,<sup>1</sup>  
Munir Pirmohamed,<sup>4</sup> Andreas J. Gescher,<sup>1</sup> and  
William P. Steward<sup>1</sup>

<sup>1</sup>Oncology Department, University of Leicester, Leicester; Departments of <sup>2</sup>Radiology and <sup>3</sup>Surgery, University Hospitals of Leicester, Leicester; and <sup>4</sup>Department of Pharmacology and Therapeutics, University of Liverpool, Liverpool, United Kingdom

## ABSTRACT

**Curcumin, a polyphenolic antioxidant derived from a dietary spice, exhibits anticancer activity in rodents and in humans. Its efficacy appears to be related to induction of glutathione S-transferase enzymes, inhibition of prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) production, or suppression of oxidative DNA adduct (M<sub>1</sub>G) formation. We designed a dose-escalation study to explore the pharmacology of curcumin in humans. Fifteen patients with advanced colorectal cancer refractory to standard chemotherapies consumed capsules compatible with curcumin doses between 0.45 and 3.6 g daily for up to 4 months. Levels of curcumin and its metabolites in plasma, urine, and feces were analyzed by high-pressure liquid chromatography and mass spectrometry. Three biomarkers of the potential activity of curcumin were translated from preclinical models and measured in patient blood leukocytes: glutathione S-transferase activity, levels of M<sub>1</sub>G, and PGE<sub>2</sub> production induced *ex vivo*. Dose-limiting toxicity was not observed. Curcumin and its glucuronide and sulfate metabolites were detected in plasma in the 10 nmol/L range and in urine. A daily dose of 3.6 g curcumin engendered 62% and 57% decreases in inducible PGE<sub>2</sub> production in blood samples taken 1 hour after dose on days 1 and 29, respectively, of treatment compared with levels observed immediately predose ( $P < 0.05$ ). A daily oral dose of 3.6 g of curcumin is advocated for Phase II evaluation in**

the prevention or treatment of cancers outside the gastrointestinal tract. PGE<sub>2</sub> production in blood and target tissue may indicate biological activity. Levels of curcumin and its metabolites in the urine can be used to assess general compliance.

## INTRODUCTION

The absence of sensitive markers of efficacy and compliance has frequently confounded the optimization of clinical trials of novel cancer chemopreventive agents, particularly in the case of mechanistically multitargeted diet-derived agents, such as flavonoids and other polyphenols. Curcumin [1,7-bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione; diferuloylmethane], a major constituent of the yellow spice turmeric derived from the rhizomes of *Curcuma spp.*, is one such polyphenol. Curcumin has been shown to prevent cancer in the colon, skin, stomach, duodenum, soft palate, and breasts of rodents after oral administration (1–3). In clinical pilot studies in Taiwan and India, curcumin has been associated with regression of premalignant lesions of the bladder, soft palate, stomach, cervix, and skin, and with treatment responses in established malignancy (4, 5). Mechanisms by which curcumin prevents cancer are thought to involve up-regulation of carcinogen-detoxifying enzymes such as glutathione S-transferases (GST; refs. 6, 7), antioxidation (8, 9), and suppression of expression of the isoenzyme cyclooxygenase-2 (COX-2; refs. 10, 11).

The pharmacokinetic properties of curcumin in humans remain relatively unexplored. In rodents, curcumin undergoes avid metabolism by conjugation and reduction, and its disposition after oral dosing is characterized by poor systemic bioavailability (9, 12). In a pilot study of a standardized oral *Curcuma* extract, doses up to 180 mg of curcumin per day were administered to patients with advanced colorectal cancer for up to 4 months without overt toxicity or detectable systemic bioavailability (13). A subsequent study has suggested that doses up to 8 g could be administered daily to patients with premalignant lesions for 3 months without overt toxicity (4).

The aims of the study described here can be divided into three broad categories. Firstly, we sought to analyze in detail the toxicity of high doses of curcumin administered orally to patients with advanced cancer. Expanding the reported data from the only published trial of doses >1.5 g daily in humans (4), we aimed to make this assessment by clinical parameters, quality-of-life questionnaire, and hematologic/biochemical tests. Secondly, we aimed to investigate the systemic effects of curcumin consumption. On the basis of our data from preclinical studies in models of colorectal carcinogenesis and in blood from healthy volunteers, we selected three biomarkers for translation into early clinical trials of curcumin on account of their relevance to carcinogenesis and the magnitude of change in response to treatment (9–11, 13). The three indices of the potential pharmacological activity of curcumin measured in patient blood

Received 4/28/04; revised 6/11/04; accepted 6/30/04.

**Grant support:** University Hospitals of Leicester, the Association for International Cancer Research, and the United Kingdom Medical Research Council.

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

**Requests for reprints:** Ricky Sharma, Radiotherapy Department, Royal Marsden Hospital, Sutton, SM2 5PT, United Kingdom. Phone: 44-208-642-6011; Fax: 44-116-223-1855; E-mail: ras20@le.ac.uk.

©2004 American Association for Cancer Research.

leukocytes were: GST activity, levels of a deoxyguanosine adduct (M<sub>1</sub>G) formed via oxidative DNA damage, and inducible prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) levels as an indicator of COX-2 activity induced *ex vivo* by lipopolysaccharide (LPS). GST enzyme activity has been shown to be up- or down-regulated in rat tissues after oral consumption of curcumin, depending on the dose and route of administration (6, 7, 9). M<sub>1</sub>G levels are related to lipid peroxidation and oxidative stress and can be altered by feeding rats curcumin in their diet or by dietary modification in human volunteers (9, 14). Curcumin down-regulates COX2 transcription in human-derived colon cells (10). When added *in vitro* to blood from healthy volunteers, curcumin (1 μmol/L) reduced LPS-induced COX-2 protein levels and concomitant PGE<sub>2</sub> production by 24% and 41%, respectively (11). The third aim of this Phase I study was to test the hypothesis that curcumin or products of its metabolism can be detected in blood or excreta of humans using high-performance liquid chromatography (HPLC) with UV detection and tandem quadrupole mass spectrometry (MS; refs. 13, 15).

Overall, the study was designed to define pharmacokinetic and pharmacodynamic parameters, which might help to optimize the clinical evaluation of curcumin in Phase II chemoprevention or chemotherapy trials.

## PATIENTS AND METHODS

**Patients.** The trial and formulation were approved by the local ethics committee and the United Kingdom Medicines Control Agency. Fifteen patients with histologically proven

adenocarcinoma of the colon or rectum, for which no additional conventional therapies were available, met the following eligibility criteria: measurable or evaluable disease; age >18 years; WHO performance status of 0 to 2 and life expectancy >12 weeks; absolute neutrophil count  $\geq 1.5 \times 10^9/L$ ; hemoglobin  $\geq 10$  g/dL; platelets  $\geq 100 \times 10^9/L$ ; aspartate aminotransferase and alanine aminotransferase <2.5 times the upper limit of normal; serum bilirubin and creatinine <1.5  $\times$  upper limit of normal; and no previous investigational or chemotherapeutic drugs within 28 days before enrolment. Exclusion criteria included: active chronic inflammatory or autoimmune disease; active infection, including viral infection; significant impairment of gastrointestinal function or absorption; active peptic ulcer disease; known biliary obstruction or biliary insufficiency, and use of nonsteroidal anti-inflammatory drugs within 14 days of enrolment. They were enrolled between December 2000 and December 2002 at the University Hospitals of Leicester. Patients were asked to abstain from nonsteroidal anti-inflammatory drug use and the consumption of foods containing the spice turmeric during the study period, and their general practitioners were asked not to prescribe nonsteroidal anti-inflammatory drugs. Written informed consent was obtained from each patient before enrolment. Demographic and baseline characteristics of patients are shown in Table 1. All of the patients were white Caucasians except for 1 patient at the second dose level who was Indian.

**Formulation, Dose, and Study Design.** "C3" curcuminoid capsules were provided in a single batch by the Sabinsa

Table 1 Patient characteristics at baseline

	DL 1 (450 mg daily) (n = 3)	DL 2 (900 mg daily) (n = 3)	DL 3 (1.8 g daily) (n = 3)	DL 4 (3.6 g daily) (n = 6)
Sex				
Male	0	1	1	3
Female	3	2	2	3
Age (years)				
Mean	69	68	65	56
Range	68–70	66–70	55–74	50–64
WHO performance				
0	1	1	1	2
1	2	2	2	4
Sites of measurable disease				
Colorectum	1	0	0	1
Liver	3	3	3	6
Lung	1	1	1	2
Peritoneum	1	0	0	0
Other	0	1	2	1
Previous chemotherapy				
5-Fluorouracil	3	3	3	6
Irinotecan	1	2	2	4
Oxaliplatin	0	1	1	3
Other	1	2	1	4
Previous radiotherapy	1	1	0	3
Previous surgery	3	3	3	6
NSAID stopped for trial	1	1	0	1
CEA > 9 μg/L	2	3	2	6
CEA > 500 μg/L	1	2	1	4
CA 19.9 > 37 ku/L	2	3	2	5
CA 125 > 35 iu/L	0	0	1	1

Abbreviations: NSAID, non-steroidal anti-inflammatory drug; CEA, carcinoembryonic antigen; DL, dose level.

Corporation (Piscataway, NJ). Each capsule contained 500 mg of curcuminoids (450 mg of curcumin, 40 mg of desmethoxycurcumin, and 10 mg of bisdesmethoxycurcumin), confirmed by HPLC/MS. This formulation, which in the following text will be referred to as “curcumin,” was selected on account of its reproducibility of curcuminoid content and the curcumin dose, which allowed rapid dose escalation from the highest doses administered previously to patients with cancer. All of the capsules of a daily dose were consumed together with water in the morning after at least 2 hours of fasting. Dependent on dose level, patients consumed 1, 2, 4, or 8 capsules (containing 450, 900, 1800, or 3600 mg of curcumin) once daily, as shown in Table 1. The highest dose level was defined as the dose at which plasma levels of curcumin were detected or any pharmacodynamic effects were observed. Treatment was continued until disease progression was established or consent was withdrawn.

**Clinical Measurements.** Blood, urine, and feces were collected on days 1, 2, 8, and 29, protected from light and stored at  $-80^{\circ}\text{C}$ . Blood collection was predose and at 0.5, 1, 2, 3, 6, and 8 hours after dose, and samples were kept in tubes pretreated with lithium-heparin (Sarstedt, Loughborough, United Kingdom). Full blood cell count and urea, electrolytes, liver, and bone function were measured in venous samples, and physical examination was performed, before treatment and on treatment days 1, 2, 8, 29, and monthly thereafter. Serum levels of total cholesterol and the tumor markers carcinoembryonic antigen, CA19.9, and CA125 were measured before treatment and every month of treatment. Blood samples for analysis of GST activity and  $\text{M}_1\text{G}$  levels were collected 1 week before and on days 1, 2, 8, and 29 of treatment, immediately before dosing for  $\text{M}_1\text{G}$  or immediately before and 1 hour after each dose for GST. Lymphocytes were separated from fresh blood using Ficoll-paque Plus (Amersham Pharmacia Biotech, Bucks, United Kingdom), resuspended in 1 mL of 10 mmol/L Tris-HCl (pH 7.8) and stored at  $-80^{\circ}\text{C}$ . Patients completed the European Organization for Research and Treatment of Cancer quality of life questionnaire GLQ-C30 (version 2.0) pretreatment and monthly during treatment (16).

Patients were evaluated for tumor response every 8 weeks, using computed tomography or magnetic resonance imaging scanning, in addition to monthly chest X-rays. Measurements were made using the WHO Solid Tumor Response Criteria. All of the measurable, evaluable, and nonevaluable lesions were accounted for in the tumor assessment. Measurable lesions were quantified by the sum of the products of perpendicular diameters. Partial response was defined as at least a 50% decrease in the sum of the product of the perpendicular diameters of measurable lesions from baseline and with no development of new lesions. Progressive disease was defined as at least a 25% increase, clear worsening from previous assessment of any evaluable disease, reappearance of any lesion which had disappeared, or appearance of any new lesion/site. Stable disease was defined as the scenario in which the disease status had neither responded to meet the partial response criterion nor progressed to meet the progressive disease criteria.

**Pharmacodynamic Assessments.** Glutathione and 1-chloro-2,4-dinitrobenzene were purchased from Sigma (Poole, United Kingdom). Once thawed, lymphocyte samples were sonicated for 30 seconds (Fisher 550 sonicator, Pittsburgh, PA) on

ice and centrifuged at  $3,000 \times g$  (15 minutes,  $4^{\circ}\text{C}$ ). Total GST activity in the supernatant was measured spectrophotometrically using glutathione and 1-chloro-2,4-dinitrobenzene as substrates in triplicate for each sample (17). Results were corrected for protein levels using the Bio-Rad protein assay (Bio-Rad, Hemel Hempstead, United Kingdom). The GST activity values were quoted as nanomole 1-chloro-2,4-dinitrobenzene conjugated with glutathione per minute per milligram of lymphocytic protein. The *GSTM1*, *GSTT1*, and *GSTP1* genotypes were determined by PCR methods described previously (18–20). Murine  $\text{M}_1\text{G}$  monoclonal antibody D10A1 was prepared as described previously (14). Antirabbit and antimurine horseradish peroxidase antibodies were purchased from Dako (Ely, United Kingdom).  $\text{M}_1\text{G}$  standards were synthesized and characterized, genomic DNA was extracted from whole blood, and leukocytic  $\text{M}_1\text{G}$  adduct levels were analyzed by immunoslot blot in triplicate as described previously (9, 13, 14). Discrepancies in the amount of DNA per slot were corrected for by staining the nitrocellulose filter with propidium iodide and performing UV light densitometry (9, 13). The detection limit for  $\text{M}_1\text{G}$  was 5 adducts per  $10^8$  nucleotides. Blood was also taken for assessment of plasma  $\text{PGE}_2$  concentration induced *ex vivo* as reported previously (11).

**Measurement of Curcumin and Its Metabolites.** Extraction of curcumin and its metabolites (curcumin glucuronide and curcumin sulfate) from plasma, urine, and feces; agent and metabolite recovery; and details of the reverse-phase HPLC (UV-visible detection) analysis were as described previously (13, 15). Retention times of curcumin glucuronide, curcumin sulfate, and curcumin were in general 24, 31, and 37 minutes respectively. The limit of detection for curcumin in plasma and urine was 5 pmol/mL. In most chromatographic analyses performed, curcumin and its conjugates were detected at levels that were close to the limit of quantitation. Quantitation was performed with a standard curve but without an internal standard and is, therefore, referred to as “semiquantitation.” Interday variation of the assay for curcumin was 7.0%. Results are presented as mean  $\pm$  SD.

The identities of curcuminoids and their metabolites in urine and plasma were verified using an Agilent 1100 series HPLC with in-line Applied Biosystems/MDS SCIEX API 2000 ion spray tandem quadrupole MS. Separation of curcuminoids and their metabolites was achieved using an HPLC method published previously (13, 15). Identification of compounds was achieved by MS in negative ion mode. Compound-specific fragmentation was detected using multiple reaction monitoring to identify curcumin and curcumin glucuronide ( $m/z$  367 to 134 transition), desmethoxycurcumin and desmethoxycurcumin glucuronide ( $m/z$  336 to 119 transition), and curcumin sulfate ( $m/z$  447 to 367 transition).

**Statistical Evaluation.** Results were subjected to ANOVA and linear regression analysis using Minitab (version 13) and SPSS (version 11.0) software packages. Plots of residuals were used to ensure that variances were homogeneous and that the residuals had a normal distribution. Comparison of samples taken immediately before dosing and 1 hour after dose was performed by paired *t* test for individual values, with Bonferroni adjustment for pair-wise comparisons between group means, and by repeated measures ANOVA for pooled

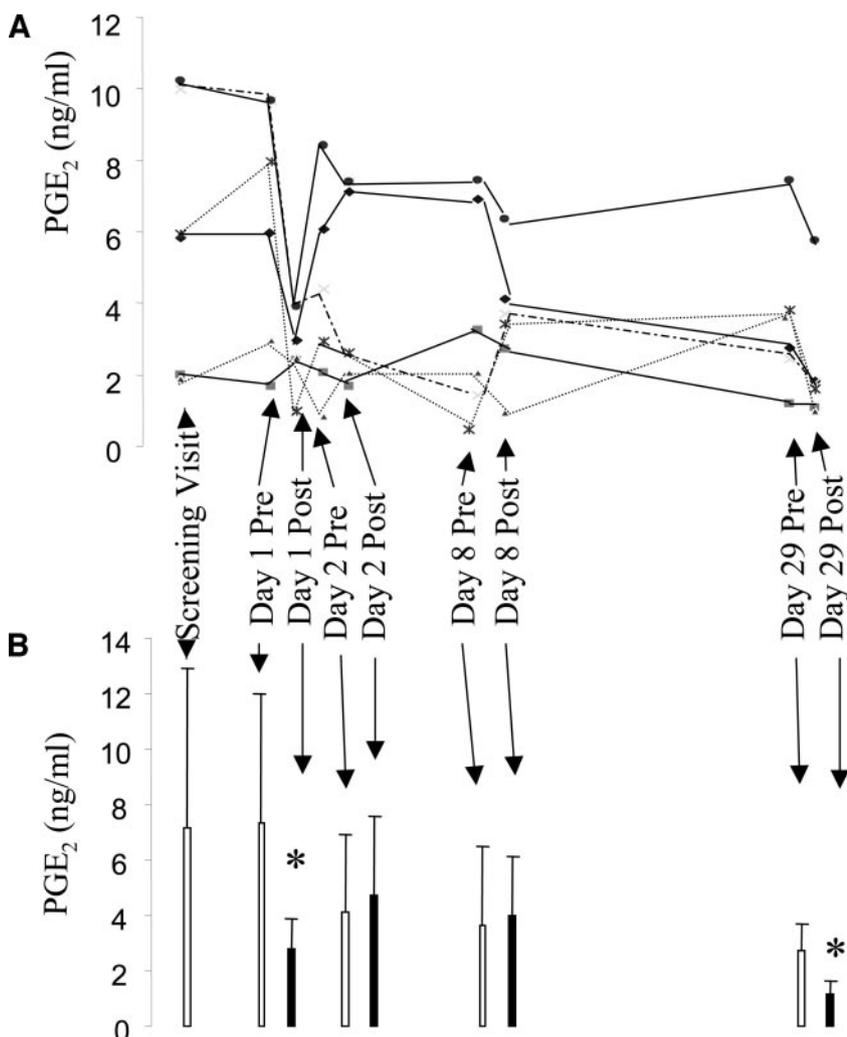
measurements. Degrees of freedom (*df*) are stated for all of the results with  $P < 0.05$ .

## RESULTS

**Tolerability of Oral Curcumin.** Curcumin was well tolerated at all of the dose levels, and dose-limiting toxicity was not observed. Two types of gastrointestinal adverse events were reported by patients, which were probably related to curcumin consumption. One patient consuming 0.45 g curcumin daily and one patient consuming 3.6 g curcumin daily developed diarrhea (National Cancer Institute grades 1 and 2) 1 month and 4 months into treatment, respectively. In the first case, diarrhea was controlled with 4 mg of loperamide hydrochloride daily. The other patient withdrew consent from the study before the cause of the diarrhea could be investigated, which resolved after cessation of treatment. One patient consuming 0.9 g curcumin daily experienced nausea (National Cancer Institute toxicity grade 2), which resolved spontaneously despite continuation of treatment. Two abnormalities were detected in blood tests, both possibly related to treatment: a rise in serum alkaline phosphatase level was observed in 4 patients, consistent with National Cancer Institute

grade 1 toxicity in 2 patients and grade 2 toxicity in 2 patients; serum lactate dehydrogenase rose to  $>150\%$  of pretreatment values in 3 patients.

**Biological Effects of Oral Curcumin.** Blood was taken immediately predose or 1 hour postdose on days 1, 2, 8, and 29. Whole blood was incubated for 24 hours in the presence of LPS ( $10 \mu\text{g/mL}$ ). Oral administration of curcumin did not impact on basal  $\text{PGE}_2$  levels in leukocytes nor did doses of 0.45 to 1.8 g daily alter LPS-induced  $\text{PGE}_2$  (result not shown). However, consumption of 3.6 g of curcumin daily affected LPS-induced  $\text{PGE}_2$  levels (Fig. 1). When values obtained immediately pre- or 1 hour postdose on days 1, 2, 8, and 29 were pooled for the 6 patients consuming this dose,  $\text{PGE}_2$  levels observed postdose ( $3.2 \pm 2.2 \text{ ng/mL}$ ) were significantly lower (46%,  $P = 0.028$ ;  $df = 59$ ) than those measured immediately predosing ( $4.5 \pm 3.4 \text{ ng/mL}$ ). As shown in Fig. 1, the difference reached significance on day 1 (62% reduction,  $P < 0.05$ ;  $df = 13$ ) and day 29 (57% reduction,  $P = 0.01$ ;  $df = 14$ ). Subset analysis revealed no difference between inducible  $\text{PGE}_2$  levels in samples from the 3 patients in which curcumin was detected compared with those in which curcumin was not detected (see



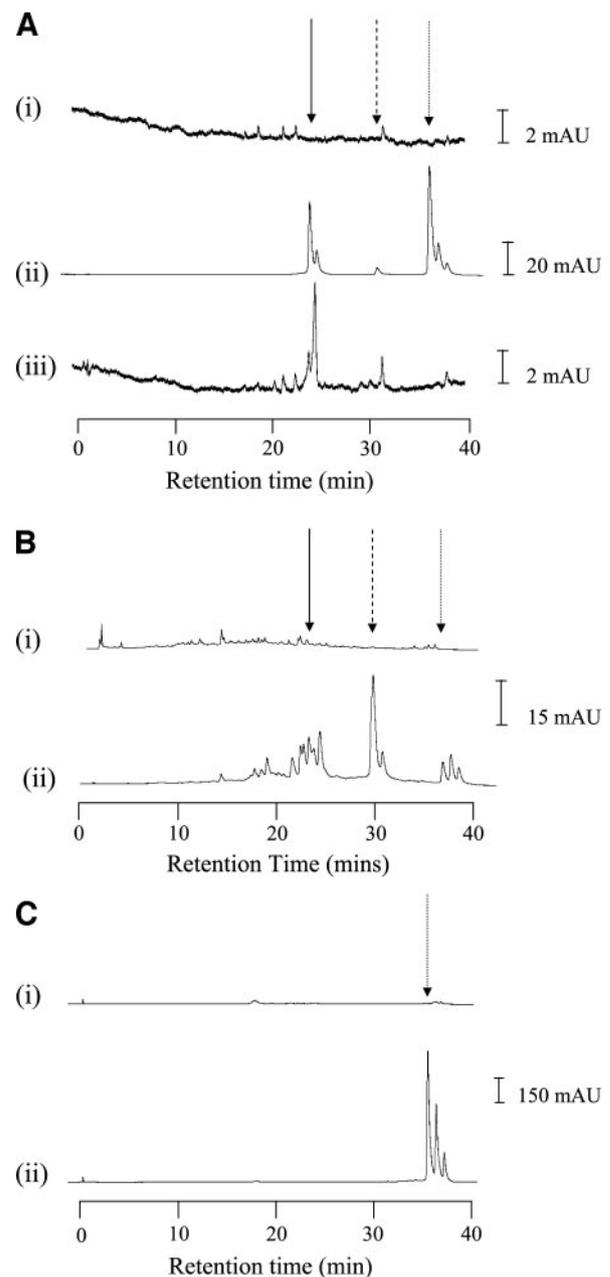
**Fig. 1** LPS-induced  $\text{PGE}_2$  levels in plasma of patients who received 3.6 g curcumin daily. **A.** Each point is the mean of duplicate measurements for triplicate aliquots from one blood sample. Pooled SD was 4.9 ng/mL. Each line represents an individual patient to demonstrate high interday intraindividual variation. **B.** Bars represent means of values ( $\pm$  SD) for each time point shown in **A.** \*, significant decrease in postdose levels ( $\blacksquare$ ) compared with predose ( $\square$ ) on the same day ( $P < 0.05$  by paired  $t$  test and by repeated measures ANOVA).

below). These results suggest that consumption of 3.6 g of curcumin daily is linked with inhibition of PGE<sub>2</sub> induction in blood taken postdose compared with blood taken predose. Overall time-dependent trends were not identified at any dose in basal or LPS-stimulated PGE<sub>2</sub>.

Total GST activity and M<sub>1</sub>G levels in leukocytes differed substantially between patients with reasonable reproducibility for each patient across the 4-week study period, as borne out by average coefficients of variation within each patient of 15% and 31% for GST and M<sub>1</sub>G, respectively (data not shown). Treatment-related effects were not observed. Patients were genotyped for GST isoenzymes *GSTM1*, *GSTP1*, and *GSTT1*. Sixty percent of the patients lacked *GSTM1*. In patients who displayed null genotype for *GSTM1*, predose levels of leukocytic M<sub>1</sub>G (pooled for all time points) were  $4.4 \pm 0.7$  per  $10^7$  nucleotides, 63% higher than those in patients expressing *GSTM1*, in whom adduct levels were  $2.7 \pm 1.1$  per  $10^7$  nucleotides ( $P < 0.001$ ;  $df = 30$ ).

**Levels of Curcumin and Its Metabolites in Blood and Excreta.** Curcumin was detected in plasma samples taken 0.5 and 1 hour postdose (see Fig. 2A) from 3 patients consuming 3.6 g of curcumin daily. Semiquantitation afforded the mean of  $11.1 \pm 0.6$  nmol/L for these 3 patients at the 1-hour time point on day 1, and curcumin levels were similar at the 1-hour time points on days 2, 8, and 29 of intervention. The maximum intrasubject variation of plasma curcumin at the 1-hour time point on days 1, 2, 8, and 29 of intervention was 24% of the mean from day 1. Intersubject variation of plasma curcumin at the 1-hour postdosing was between 7% and 41% of the respective means on days 1 and 29 of intervention, respectively. Curcumin was infrequently detected in the plasma of these patients at other time points, and it was not found in plasma from patients who received lower doses of curcumin. The curcumin formulation used contained small amounts of desmethoxycurcumin and bisdesmethoxycurcumin, which explained the two peaks at retention times slightly longer than curcumin shown in Fig. 2A (panel iii). Glucuronides and sulfates of curcumin and desmethoxycurcumin were found in the plasma from all 6 of the patients consuming 3.6 g of curcumin daily at all of the time points studied. The presence in plasma of curcumin, desmethoxycurcumin, and their glucuronide and sulfate metabolites was confirmed by MS. Semiquantitation of levels in pooled plasma samples yielded  $8.9 \pm 0.7$  and  $15.8 \pm 0.9$  nmol/L for curcumin sulfate and curcumin glucuronide, respectively. There were no obvious differences in the levels of these conjugates when plasma samples from the 3 patients in which curcumin was detected were compared with those in which curcumin was not detected.

Analysis of urine suggested the presence of curcumin and the conjugates in all of the samples from patients consuming 3.6 g of curcumin daily (Fig. 2B). Such chromatographic peaks were not seen in any extracts of urine samples from patients on the lower doses. In the 6 patients consuming 3.6 g of curcumin daily, urinary levels varied between 0.1 and 1.3  $\mu$ mol/L (curcumin), 19 and 45 nmol/L (curcumin sulfate), and 210 and 510 nmol/L (curcumin glucuronide; see Fig. 2B and Fig. 3). On the basis of the preclinical data currently available, the presence of curcumin and its metabolites in the urine was unexpected. Therefore, the assignment



**Fig. 2** Representative HPLC chromatograms of extracts of plasma (A), urine (B), and feces (C) of patients who received 3.6 g curcumin daily. The plasma sample was taken 1 hour after administration on day 1 (A) of intervention; the 24-hour urine and the feces samples were obtained on day 29. In A, *i* and *iii* represent predose and postdose plasma samples, respectively; *ii*, solution of authentic curcumin (dotted arrow), curcumin sulfate (broken arrow), and curcumin glucuronide (solid arrow), the latter two were generated biosynthetically using rat liver homogenate. In B and C, *i* and *ii* represent samples taken pre- first dose (day 1) and on day 29, respectively.

of HPLC peaks to structures was confirmed by cochromatography using authentic standards and corroborated by MS with multiple reaction monitoring. These techniques demonstrated the presence of curcumin and curcumin glucuronide, desme-

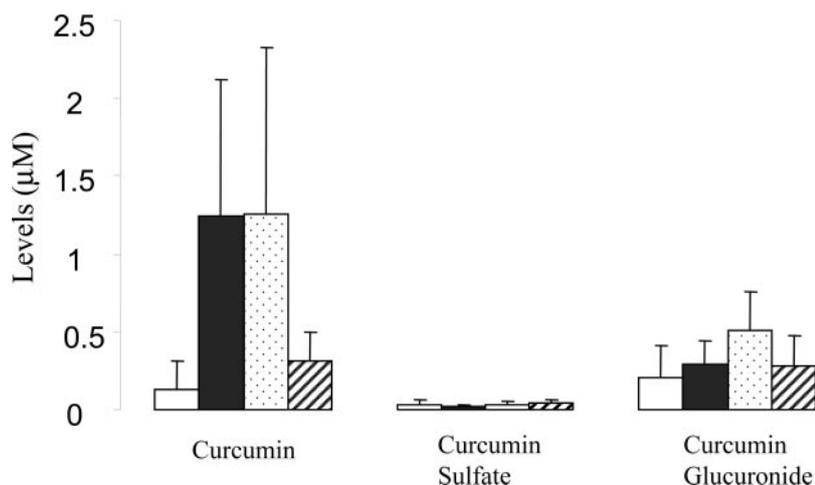


Fig. 3 Levels of curcumin, curcumin glucuronide, and curcumin sulfate in urine. Urine samples were collected for 24 hours from doses on days 1 (□), 2 (■), 8 (▨), and 29 (▩) from patients who received 3.6 g curcumin daily. Results are the mean for 6 patients; bars,  $\pm$ SD.

thoxycurcumin and desmethoxycurcumin glucuronide, and curcumin sulfate by compound-specific fragmentation (Fig. 4). Abundant amounts of curcumin were recovered from the feces (Fig. 2C) at all of the dose levels. Curcumin levels in day 8 fecal samples from patients consuming 3.6 g of curcumin daily were between 25 and 116 nmol/g dried feces. Fecal levels of curcumin did not correlate with toxicity in the 2 patients who experienced diarrhea. Trace amounts of curcumin sulfate were detected in feces from 3 patients consuming 3.6 g of curcumin daily.

**Effects of Oral Curcumin on Malignancy.** All of the patients enrolled exhibited radiologic evidence of progressive disease before recruitment. No partial responses to treatment were observed. Two patients exhibited stable disease by

radiologic criteria after 2 months of treatment, and they remained on treatment for a total of 4 months. The first of these 2 patients (dose level 2) developed progressive disease on her second computed tomography scan. The other patient (dose level 3) demonstrated continued stable disease on computed tomography scan after 4 months, but she withdrew consent on account of diarrhea, which she thought was treatment-related. Decreases in tumor markers or serum cholesterol were not observed as a result of treatment in any of the patients. Three significant changes in quality of life scores were recorded: 1 patient noticed a significant improvement after 1 month of treatment; and 2 patients deteriorated after 2 months of treatment, both of whom were found to have radiologic progressive disease.

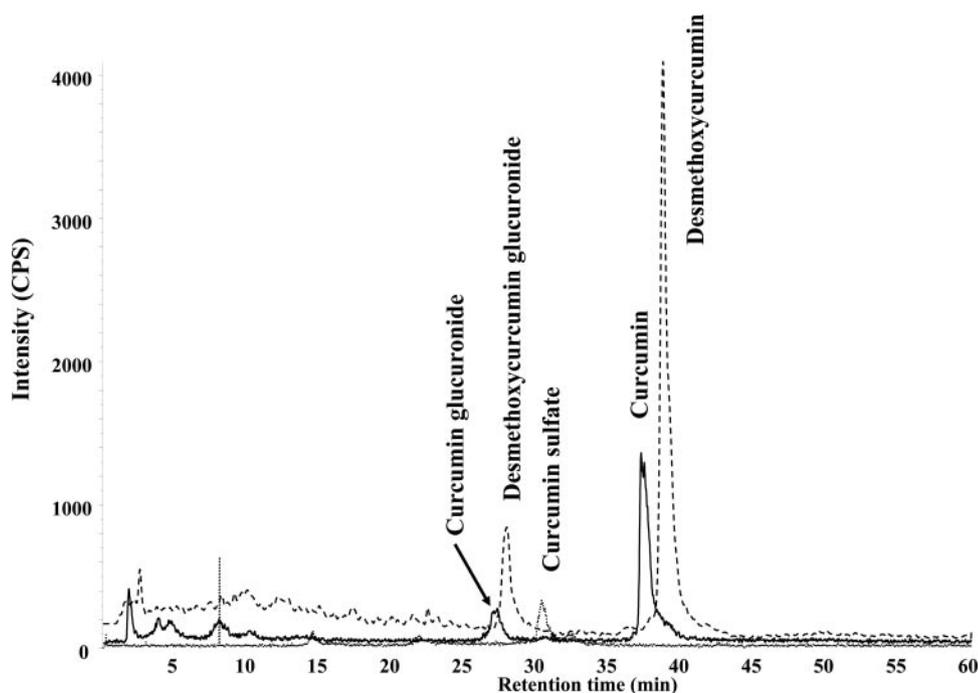


Fig. 4 Identification of curcuminoids and their metabolites in urine by mass spectrometry. Multiple reaction monitoring for curcumin and curcumin glucuronide ( $m/z$  367 to 134, solid line), curcumin sulfate ( $m/z$  447 to 367, dotted line), and desmethoxycurcumin and desmethoxycurcumin glucuronide ( $m/z$  336 to 119, dashed line) was performed on aliquots from 24-hour urine samples from patients receiving 3.6 g of curcumin daily.

## DISCUSSION

The study presented here provides the first report of the systemic parameters of pharmacokinetics and activity of curcumin that are likely to be of value in Phase II chemoprevention/anticancer trials of this agent. The results permit the following three conclusions to be drawn regarding oral curcumin in humans: (1) administration of 0.5 to 3.6 g daily for up to 4 months is associated with mild diarrhea as its only discernible toxicity, (2) consumption of 3.6 g of curcumin daily generates detectable levels of parent compound and conjugates in plasma and urine, and (3) consumption of 3.6 g of curcumin daily causes inhibition of PGE<sub>2</sub> production in blood leukocytes measured *ex vivo*. These conclusions lead us to propose that an oral dose of 3.6 g daily is suitable for evaluation in Phase II trials.

The observed safety of curcumin is consistent with previous reports of clinical studies of curcumin and *Curcuma* extracts (4, 13, 21, 22). Cheng *et al.* (4) treated patients with premalignant conditions with tablets containing pure curcumin for up to 3 months. The authors did not record any treatment-related toxicity at daily doses as high as 8 g, although quality of life was not measured, and blood results were not presented. Levels of curcumin observed at the 1-hour time point after a dose of 3.6 g in the study described here are ~1/40 of those described by Cheng *et al.* (4) when they administered 4 g of curcumin. The reason for the discrepancy between the two studies is unclear; it may be associated with the fact that the formulation used by Cheng *et al.* (4) consisted of pure chemically synthesized curcumin, whereas the formulation used in the trial described here was composed of a purified turmeric extract containing 10% curcuminoids other than curcumin (see Patients and Methods).

Our second conclusion is that consumption of 3.6 g of oral curcumin daily results in levels of drug and conjugates in plasma near the limit of detection of the assays used. Low systemic bioavailability after oral dosing is consistent with findings in preclinical models (9, 12, 23) and in humans (4, 13, 21, 22). In our laboratory, the adenoma-suppressing activity of dietary curcumin (0.2%, equivalent to ~300 mg/kg per day) in the APC Min mouse model was associated with a mean level of 111 nmol/g curcumin in the mucosa of the small intestine (23). The curcumin concentration in the plasma of these mice was close to the limit of detection (5 pmol/mL), irrespective of the dietary doses (0.1%, 0.2%, and 0.5%) studied. This result suggests that intestinal mucosal levels of curcumin may not be reflected by its systemic levels. Efficient metabolism of curcumin, especially glucuronidation and sulfation, may explain its poor systemic availability when administered via the oral route (4, 13, 15) and is compatible with the finding of metabolites in the plasma of all of the patients consuming 3.6 g daily, although parent compound was detected in the plasma of only half of the patients consuming this dose. Measurement of compliance is increasingly perceived to be an important component of intervention trials (24). The consistent presence of curcumin and its conjugates in urine observed here in patients consuming 3.6 g of curcumin daily is of relevance to the potential clinical advancement of curcumin as a chemopreventive agent. Urinary analysis of drug-derived species constitutes an easily accessible and reproducible test for ensuring general compliance.

Of the three potential biomarkers of the systemic activity of

curcumin explored in this study, levels of M<sub>1</sub>G and GST were unaffected by curcumin. These results indicate that leukocyte M<sub>1</sub>G and GST are not useful indicators of the systemic activity of curcumin in humans due to lack of effect at the low systemic levels of curcumin that result from oral dosing. Interestingly, consistent with a previous observation in patients with advanced colorectal cancer (13), those who lacked *GSTM1* expression, a common phenotype in Caucasian populations, had higher leukocyte M<sub>1</sub>G levels than *GSTM1*-expressing patients. Although GST- $\mu$  is not the most abundant of the GST isoenzyme classes expressed in human lymphocytes (19, 20), this observation suggests that lack of *GSTM1* activity may be of direct relevance to the detoxification of endogenous and exogenous oxidants, which cause modification of bases in DNA.

In contrast to M<sub>1</sub>G and GST, the inducibility of PGE<sub>2</sub> production in whole blood *ex vivo* may represent a useful tool for assessing the systemic activity of curcumin. COX-2 is an important pharmacological target for nonsteroidal anti-inflammatory drugs, selective COX-2 inhibitors, and polyphenolic agents derived from the diet (25). Because COX-2 is thought to play a pathogenic role in the carcinogenesis of many tissues, its pharmacological modulation holds implications for cancer prevention and treatment (11, 25). Previous work in our laboratory on the assay described here suggested that at least part of the effect of curcumin on inducible PGE<sub>2</sub> production in human blood can be attributed to inhibition of COX2 transcription (11). This phenomenon was also observed in human-derived colon cells cultured *in vitro*, and inhibition was demonstrated to be the corollary, at least in part, of the inhibition of the nuclear factor- $\kappa$ B-activating enzymes IKK- $\alpha/\beta$  by curcumin (10, 15). A mechanism analogous to that described in colon cells *in vitro* may operate in human leukocytes exposed to curcumin. Remarkably, the effect of curcumin described here was associated with plasma levels detected in the 10<sup>-8</sup> mol/L range, less than a hundredth of the concentration of curcumin shown *in vitro* to elicit an effect in blood or colon cells (10, 11, 15). Frustratingly, experiments designed to study the effect of submicromolar concentrations of curcumin in cells *in vitro* were limited by the inhibitory activity of the solvent (dimethyl sulfoxide) on PGE<sub>2</sub> production (11). The finding that a difference between predose and postdose was not observed on days 2 and 8 of treatment demonstrates the limitations of this assay as a biomarker of activity on account of the high interday intraindividual variation. Therefore, we suggest that incorporation of this biomarker into Phase II clinical trials of curcumin should use multiple time points and a specified minimum cohort size to account for this variability in the power calculations. Measurement of PGE<sub>2</sub> levels may be considered in target tissue as a biomarker that may reflect potential anticancer activity and in blood as an indicator of systemic activity and as a potential "surrogate" for the target tissue.

It should also be noted that curcumin sulfate and products of metabolic reduction of curcumin also inhibited PGE<sub>2</sub> production in colon cells *in vitro*, although their inhibitory potency appeared lower than that of parent curcumin (15). Interestingly, some studies have suggested that curcumin elicits systemic effects relevant to the chemoprevention of cancer in hepatic and mammary tissues of animals, despite attainment of levels of curcumin in these tissues that are in the 10<sup>-9</sup> to 10<sup>-8</sup> mol/L range (26, 27).

The optimization of Phase I clinical trials of diet-derived putative chemopreventive agents in patients with advanced solid

tumors is currently under development in recognition of the fact that the molecular targets of chemoprevention and chemotherapy are often similar or identical (28). Results from pilot studies, such as the clinical trial described here or recent reports on green tea extract (29) or soy isoflavones (30), demonstrate the feasibility of measuring potential biomarkers of pharmacodynamic effects in addition to assessment of toxicity and pharmacokinetics. Such data improve the planning process for Phase II trials in the prevention or treatment of cancer.

The longstanding general view that the "point of failure" for the clinical development of oral curcumin as an agent directed at the prevention or therapy of cancer in tissues outside the gastrointestinal tract will be its low systemic bioavailability should be reviewed in light of the results presented here. Definite conclusions regarding the optimum dose for targeting the gastrointestinal mucosa cannot be drawn from the study presented here; a separate dose de-escalation trial has been conducted recently in patients with resectable cancers based on the assumption that doses <3.6 g daily may be efficacious. The findings of the study presented here lead us to conclude that the systemic pharmacological properties of a daily dose of 3.6 g of curcumin are suitable for its evaluation in the prevention of malignancies at sites other than the gastrointestinal tract.

## ACKNOWLEDGMENTS

We express our gratitude to the patients who participated in the study, Sabinsa Corporation for providing C3 capsules, Profs. D. Barnett and P. Woll for reviewing the case report forms, and Dr. M. Manson and Prof. D. Brenner for detailed reviews of the manuscript. We thank Sue Spriggs, Jo Arden, and Clare Winfield for secretarial assistance.

## REFERENCES

- Kelloff GJ, Crowell JA, Hawk ET, et al. Strategy and planning for chemopreventive drug development: clinical development plans II. *J Cell Biochem* 1996;63:54–71.
- Rao CV, Rivenson A, Simi B, Reddy BS. Chemoprevention of colon carcinogenesis by dietary curcumin, a naturally occurring plant phenolic compound. *Cancer Res* 1995;55:259–66.
- Kawamori T, Lubet R, Steele VE, et al. Chemopreventative effect of curcumin, a naturally occurring anti-inflammatory agent, during the promotion/progression stages of colon cancer. *Cancer Res* 1999;59:597–601.
- Cheng AL, Hsu CH, Lin JK, et al. Phase I clinical trial of curcumin, a chemopreventive agent, in patients with high-risk or pre-malignant lesions. *Anticancer Res* 2001;21:2895–900.
- Kuttan R, Sudheeran PC, Joseph CD. Turmeric and curcumin as topical agents in cancer therapy. *Tumori* 1987;73:29–31.
- Piper JT, Singhal SS, Salameh M, Torman RT, Awasthi YC, Awasthi S. Mechanisms of anticarcinogenic properties of curcumin: the effect of curcumin on glutathione linked detoxification enzymes in rat liver. *Int J Biochem Cell Biol* 1998;30:445–56.
- Susan M, Rao MNA. Induction of glutathione S-transferase activity by curcumin in mice. *Arzneimittel-Forschung (Drug Res)* 1992;42:962–4.
- Jovanovic SV, Steenken S, Boone CW, Simic MG. H-Atom transfer is a preferred antioxidant mechanism of curcumin. *J Am Chem Soc* 1998;121:9677–81.
- Sharma RA, Ireson CR, Verschoyle RD, et al. Effects of dietary curcumin on glutathione S-transferase and malondialdehyde-DNA adducts in rat liver and colon mucosa: Relationship with drug levels. *Clin Cancer Res* 2001;7:1452–8.
- Plummer SM, Holloway KA, Manson MM, et al. Inhibition of cyclo-oxygenase 2 expression in colon cells by the chemopreventive agent curcumin involves inhibition of NF- $\kappa$ B activation via the NIK/IKK signalling complex. *Oncogene* 1999;18:6013–20.
- Plummer SM, Hill KA, Festing MFW, Steward WP, Gescher AJ, Sharma RA. Clinical development of leukocyte cyclooxygenase 2 activity as a systemic biomarker for cancer chemopreventive agents. *Cancer Epidemiol Biomark Prev* 2001;10:1295–9.
- Holder GM, Plummer JL, Ryan AJ. The metabolism and excretion of curcumin (1,7-bis-(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione) in the rat. *Xenobiotica* 1978;8:761–8.
- Sharma RA, McLelland HR, Hill KA, et al. Pharmacodynamic and pharmacokinetic study of oral Curcuma extract in patients with colorectal cancer. *Clin Cancer Res* 2001;7:1894–900.
- Lauratti C, Singh R, Lagneau C, et al. Determination of malondialdehyde-induced DNA damage in human tissues using an immunoslot blot assay. *Carcinogenesis* 1998;19:1919–24.
- Ireson C, Orr S, Jones DJL, et al. Characterization of metabolites of the chemopreventive agent curcumin in human and rat hepatocytes and in the rat in vivo and evaluation of their ability to inhibit phorbol ester-induced prostaglandin E2 production. *Cancer Res* 2001;61:1058–64.
- Aaronson NK, Ahmedzai S, Bergman B, et al. The European organization for research and treatment of cancer QLQ-C30: A quality of life instrument for use in international clinical trials in oncology. *J Natl Cancer Inst* 1993;85:365–76.
- Habig WH, Pabst MJ, Jakoby WB. Glutathione S-transferases. The first enzymatic step in mercapturic acid formation. *J Biol Chem* 1974;249:7130–9.
- Harries LW, Stubbins MJ, Forman D, Howard GCW, Wolf CR. Identification of genetic polymorphisms at the glutathione S-transferase Pi locus and association with susceptibility to bladder, testicular and prostate cancer. *Carcinogenesis* 1997;18:641–4.
- Hayes JD, Pulford DJ. The glutathione S-transferase supergene family: regulation of GST and the contribution of the isoenzymes to cancer chemoprevention and drug resistance. *Crit Rev Biochem Mol Biol* 1995;30:445–600.
- Tew KD. Glutathione-associated enzymes in anticancer drug resistance. *Cancer Res* 1994;54:4313–20.
- Satoskar RR, Shah SJ, Shenoy SG. Evaluation of anti-inflammatory property of curcumin (diferuloyl methane) in patients with post-operative inflammation. *Int J Clin Pharmacol Ther Toxicol* 1986;24:651–4.
- Shoba G, Joy D, Joseph T, Majeed M, Rajendran R, Srinivas PSSR. Influence of piperine on the pharmacokinetics of curcumin in animals and human volunteers. *Planta Medica* 1998;64:353–6.
- Perkins S, Verschoyle RD, Hill KA, et al. Chemopreventive efficacy and pharmacokinetics of curcumin in the Min/+ mouse, a model of familial adenomatous polyposis. *Cancer Epidemiol Biomark Prev* 2002;11:535–40.
- Brenner DE. Cancer chemoprevention. *Crit Rev Oncol Hematol* 2000;33:155–6.
- Subbaramaiah K, Dannenberg AJ. Cyclooxygenase 2: a molecular target for cancer prevention and treatment. *Trends Pharmacol Sci* 2003;24:96–102.
- Chan MM, Huang HI, Fenton MR, Fong D. In vivo inhibition of nitric oxide synthase gene expression by curcumin, a cancer preventive natural product with anti-inflammatory properties. *Biochem Pharmacol* 1998;55:1555–62.
- Pereira MA, Grubbs CJ, Barnes LH, et al. Effects of the phytochemicals, curcumin and quercetin, upon azoxymethane-induced colon cancer and 7,12-dimethylbenz[a]anthracene-induced mammary cancer in rats. *Carcinogenesis* 1996;17:1305–11.
- Gescher A, Sharma RA, Steward WP. Cancer chemoprevention by dietary constituents: A salutary tale of failure and promise. *Lancet Oncol* 2001;2:371–9.
- Pisters KMW, Newman RA, Coldman B, et al. Phase I trial of oral green tea extract in adult patients with solid tumors. *J Clin Oncol* 2001;19:1830–8.
- Takimoto CH, Glover K, Huang XK, et al. Phase I pharmacokinetic and pharmacodynamic analysis of unconjugated soy isoflavones administered to individuals with cancer. *Cancer Epidemiol Biomark Prev* 2003;12:1213–21.

# Clinical Cancer Research

## Phase I Clinical Trial of Oral Curcumin: Biomarkers of Systemic Activity and Compliance

Ricky A. Sharma, Stephanie A. Euden, Sharon L. Platton, et al.

*Clin Cancer Res* 2004;10:6847-6854.

**Updated version** Access the most recent version of this article at:  
<http://clincancerres.aacrjournals.org/content/10/20/6847>

**Cited articles** This article cites 30 articles, 11 of which you can access for free at:  
<http://clincancerres.aacrjournals.org/content/10/20/6847.full#ref-list-1>

**Citing articles** This article has been cited by 51 HighWire-hosted articles. Access the articles at:  
<http://clincancerres.aacrjournals.org/content/10/20/6847.full#related-urls>

**E-mail alerts** [Sign up to receive free email-alerts](#) related to this article or journal.

**Reprints and Subscriptions** To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at [pubs@aacr.org](mailto:pubs@aacr.org).

**Permissions** To request permission to re-use all or part of this article, use this link  
<http://clincancerres.aacrjournals.org/content/10/20/6847>.  
Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.