Biobehavioral Influences on Matrix Metalloproteinase Expression in Ovarian Carcinoma

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Abstract Purpose: Stromal cells in the tumor microenvironment, such as macrophages, play an active role in tumor growth and angiogenesis. However, little is known about relationships of biobehavioral factors with angiogenic cytokines and matrix metalloproteinases (MMP) produced by stromal cells. This study examined distress, MMPs, and angiogenic cytokines in ovarian cancer patients and in vitro.

Experimental Design: Patients suspected of ovarian cancer completed preoperative questionnaires. At surgery, 56 were confirmed to have epithelial ovarian cancer. Tumor samples were analyzed for macrophage (CD68+) and tumor cell levels of MMP-2, MMP-9, and vascular endothelial growth factor. In vitro stimulation of isolated macrophage cells by the stress hormones norepinephrine and cortisol was done to assess effects on MMP-9.

Results: Depressed patients showed significant elevations of MMP-9 in CD68+ cells, adjusting for stage (P < 0.0001). Patients with higher levels of current stress (P = 0.01), life stress over the last 6 months (P = 0.004), and general negative affect (P = 0.007) also showed significantly greater MMP-9 in CD68+ cells. In contrast, higher social support was associated with lower levels of MMP-9 (P = 0.023) and vascular endothelial growth factor (P = 0.036) in tumor cells. In vitro analyses showed that macrophage MMP-9 production could be directly enhanced (up to a 2-fold increase) by the stress hormones norepinephrine and cortisol.

Conclusions: Ovarian cancer patients with elevated depressive symptoms, chronic stress, and low social support showed elevations in MMP-9 in tumor-associated macrophages. Direct in vitro enhancement of stromal MMP-9 production by stress hormones was also shown. These findings may have implications for patient outcomes in ovarian cancer.

Recent reports have shown that neuroendocrine stress hormones are able to stimulate the production of proangiogenic factors and matrix metalloproteinases (MMP) from ovarian cancer cells, thereby enhancing the invasive and metastatic potential of these cells (1–3). Similar neuroendocrine-induced enhancement of MMPs and vascular endothelial growth factor (VEGF) has been observed from cell lines from other cancers (4, 5). Behavioral distress has been linked to higher levels of angiogenic cytokines such as VEGF and interleukin-6 in ovarian cancer patients (6, 7). Stromal cells in the tumor microenvironment such as macrophages, which is the focus of the current study.

Tumor-associated macrophages (TAM) are a major component of most, if not all, tumor microenvironments and are recruited to tumors by several growth factors and chemotactic factors. In the presence of a proinflammatory tumor microenvironment, macrophages are induced to switch from their...
phagocytic phenotype to one that promotes production of factors that stimulate angiogenesis, breakdown of matrix, and tumor cell motility (8). Activated macrophages influence angiogenesis in several ways including production of MMP-2 and MMP-9 (8, 10). These MMPs are particularly relevant for promoting ovarian cancer growth and progression (11–13). In ovarian cancer, macrophages, rather than tumor cells, are thought to be the main source of MMP-9 (10). Macrophages also secrete angiogenic cytokines including VEGF (10, 14), a potent proangiogenic molecule that induces endothelial cells in nearby vascular microvessels to proliferate, migrate, and alter their pattern of gene expression in favor of angiogenesis (15). Macrophages contain both alpha-adrenergic (16) and beta-adrenergic receptors (17), which may mediate catecholamine-stimulated production of proinflammatory cytokines by these cells (18, 19). Many other activities of monocytes are regulated by catecholamines (20). For example, catecholamines are known to promote the expression of MMP-9 in monocyte cell lines, and this effect is blocked by a beta-antagonist (21). In the cardiovascular literature, behavioral factors such as distress and hostility have been related to macrophage activation in blood vessels (22, 23). However, it is not known whether these relationships with behavioral factors exist among macrophages in the tumor microenvironment.

To address this knowledge gap, we examined relationships between a panel of behavioral measures and levels of MMP-2, MMP-9, and VEGF in TAMs and autologous tumor cells in ovarian cancer patients. We hypothesized that higher levels of chronic life stress, depression, and perceived stress would be associated with higher levels of TAMs and tumor cells expressing MMP-2, MMP-9, and VEGF. It was also hypothesized that social support, as a buffer to psychological stress, would be associated with lower levels of TAMs and tumor cells expressing these factors. In vitro molecular analyses examined whether macrophage production of MMPs could be directly enhanced by the stress hormones norepinephrine and cortisol.

**Translational Relevance**

Stromal cells in the tumor microenvironment, such as macrophages, play an active role in tumor growth and angiogenesis. Lutgendorf et al. examined a series of ovarian cancer patients and showed that negative behavioral factors such as depressive symptoms are strongly related to high MMP-9 levels in macrophages, whereas positive factors such as social support are inversely related to MMP-9 and VEGF levels in tumor cells. These findings provide a new understanding of biobehavioral influences on the tumor microenvironment and may have implications for patient outcome and targeted pharmacologic and/or behavioral interventions for ovarian cancer patients.

**Materials and Methods**

**Participants**

Women ages >18 years with a new diagnosis of a pelvic or abdominal mass suspected to be ovarian cancer were recruited for the study. Inclusion was confirmed by histologic diagnosis with primary invasive epithelial ovarian, primary papillary peritoneal, or primary fallopian tube cancer. Patients were excluded for primary cancer of another organ site, a non-epithelial ovarian tumor, an ovarian tumor of low malignant potential, a history of systemic corticosteroid medication use in the last 4 months, or comorbidities known to alter the immune response such as an immunomodulatory or inflammatory disease. Of 139 patients who met inclusion criteria and were approached for participation, 21 declined. Of the 118 patients who agreed to participate, 40 patients had benign disease, 9 had tumors of low malignant potential, 5 had other cancers, 4 withdrew before surgery, and 1 chose not to have surgery. Slides were not available for 3 patients. Thus, a total of 56 ovarian cancer patients were included. This research was approved by the institutional review boards at the University of Iowa, the University of Miami, and The University of Texas M. D. Anderson Cancer Center. Patients completed psychosocial questionnaires between their initial preoperative appointment and surgery.

**Behavioral Measures**

**Depression.** The Center for Epidemiological Studies-Depression scale is a 20-item measure designed to assess depressive symptomatology (24) and has frequently been used in studies of cancer patients. Scores of ≥16 are associated with clinical depression (24, 25).

**Perceived stress.** The Perceived Stress Scale is a 14-item measure that examines the degree to which situations in one’s life over the past month are perceived as stressful (26). The instrument provides a global measure of stress, focusing on the degree to which one’s life is perceived as unpredictable, uncontrollable, and overwhelming. This measure has been associated with vulnerability to development of infectious disease in healthy individuals (26).

**Impact of event scale.** The Impact of Event Scale is a 15-item measure that examines one’s subjective stress response to a specific stressful situation. This scale assesses one’s subjective response to a specific stressful event.

**Results**

The Impact of Event Scale was used to assess the degree to which situations in one’s life over the past month were perceived as stressful (26). The instrument provides a global measure of stress, focusing on the degree to which one’s life is perceived as unpredictable, uncontrollable, and overwhelming. This measure has been associated with vulnerability to development of infectious disease in healthy individuals (26).

**Table 1. Patient characteristics**

<table>
<thead>
<tr>
<th>Measure</th>
<th>Cancer patients (n = 56)</th>
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<tbody>
<tr>
<td>Age (y)</td>
<td>63.51 (11.01)</td>
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<tr>
<td>Mean (SD)</td>
<td>% of patients</td>
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<tr>
<td>Education</td>
<td></td>
</tr>
<tr>
<td>Some high school</td>
<td>1.9</td>
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<tr>
<td>High school graduate</td>
<td>42.6</td>
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<tr>
<td>Trade school/some college</td>
<td>35.2</td>
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<td>College graduate</td>
<td>14.8</td>
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<tr>
<td>Postgraduate</td>
<td>5.6</td>
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<tr>
<td>Marital status</td>
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<tr>
<td>Single</td>
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<tr>
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<td>Married/living with partner</td>
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<td>Stage</td>
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</tr>
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<td>I</td>
<td>17.9</td>
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<tr>
<td>II</td>
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<td>III</td>
<td>71.4</td>
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<td>IV</td>
<td>10.7</td>
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<tr>
<td>Grade</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>9.8</td>
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<tr>
<td>2</td>
<td>21.6</td>
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<tr>
<td>3</td>
<td>68.7</td>
</tr>
<tr>
<td>Tumor histology</td>
<td></td>
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<tr>
<td>Serous</td>
<td>89.3</td>
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<tr>
<td>Endometrioid</td>
<td>7.1</td>
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<tr>
<td>Clear cell</td>
<td>1.8</td>
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<tr>
<td>Mucinous</td>
<td>1.8</td>
</tr>
<tr>
<td>Residual disease/debulking</td>
<td></td>
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<tr>
<td>Suboptimal</td>
<td>71.9</td>
</tr>
<tr>
<td>Optimal</td>
<td>28.9</td>
</tr>
</tbody>
</table>

**Translational Relevance**

Stromal cells in the tumor microenvironment, such as macrophages, play an active role in tumor growth and angiogenesis. Lutgendorf et al. examined a series of ovarian cancer patients and showed that negative behavioral factors such as depressive symptoms are strongly related to high MMP-9 levels in macrophages, whereas positive factors such as social support are inversely related to MMP-9 and VEGF levels in tumor cells. These findings provide a new understanding of biobehavioral influences on the tumor microenvironment and may have implications for patient outcome and targeted pharmacologic and/or behavioral interventions for ovarian cancer patients.

**Materials and Methods**

**Participants**

Women ages >18 years with a new diagnosis of a pelvic or abdominal mass suspected to be ovarian cancer were recruited for the study.
event (27). The scale measures both intrusive stress responses (e.g., having waves of strong feelings about the event) and avoidance stress responses (e.g., trying not to think about it). This measure has been frequently used to assess stress of cancer patients and has been associated with impaired immunologic functioning in breast cancer patients between surgery and the start of treatment (28). Patients were asked to complete the measure in response to their potential diagnosis of ovarian cancer.

**Chronic stress.** A modified version of the Life Experiences Survey (29) was used to assess the number of major life changes each patient experienced over the past year and the degree to which each life change was experienced as stressful. The Life Experiences Survey lists specific major life changes (e.g., death of a close family member and loss of a job), which are rated for occurrence/nonoccurrence and severity. These major life changes have been shown to correlate with adverse health outcomes (30).

**Negative affect.** The Profile of Mood States Short Form lists 37 mood-related adjectives to which subjects respond according to their mood over the past week. These are rated on a 5-point scale from 0 (not at all) to 4 (extremely), with factor-validated subscales of anxiety, depression, anger, fatigue, confusion, and vigor. A composite score, total mood disturbance, is created by summing all factors, except vigor, and subtracting vigor from the total score (31, 32).

**Social support.** The Social Provisions Scale is a 24-item self-report scale measuring the degree to which an individual perceives their social relationships as supportive (33). The scale has shown adequate reliability and validity in several studies with different populations (33, 34). In this study, the total social support score was used.

**Demographic and clinical information.** Patients completed information about demographic characteristics. Clinical and histopathologic information was obtained from medical records. To control for possible confounds, health behaviors, such as hours of sleep during the last night and last week, smoking status, and intake of alcohol and coffee during the 7 days before surgery, were assessed.

**Confocal microscopy**

Formalin-fixed, paraffin-embedded tissues were used for immunofluorescent staining to colocalize macrophages (CD68) with either MMP-2, MMP-9, or VEGF. Sections were deparaffinized via graded xylene and alcohol solutions then rehydrated in PBS as described previously (35). Antigen retrieval using the DAKO Target solution was done by microwaving for 10 min at 95°C. Sections were incubated overnight at 4°C in primary antibodies in the following combinations: CD68, MMP-2, MMP-9, and CD68, VEGF (CD68, 1:1,000 dilution; MMP-2, 1:500 dilution, Millipore; MMP-9, 1:500 dilution, Millipore; VEGF, 1:50 dilution). Sections were then washed with PBS and incubated in the appropriate fluorescent secondary antibody at 1:500 dilutions (CD68: Texas red-labeled anti-mouse; MMP-2, MMP-9, and VEGF: Alexa-488 conjugated anti-rabbit) for 1 h at room temperature.

Images of costained slides were taken at ×200 magnification on a Zeiss Axiosplan2 microscope. Immunofluorescent intensity was measured using the Image-Pro Plus software version 5.1 (Media Cybernetics). Using the “Line Profile” command (“Measure” menu), staining foci were selected by positioning the cursor over a region of the image with specific staining pattern of interest (e.g., co-staining with red and green fluorescence indicates CD68 positivity) and adjusting the size of the measured area. Once the region of desired staining was defined, the intensity values in the light spectrum of interest (green, blue, or red) were measured and displayed as a histogram. For each patient, 10 fields showing immunofluorescent staining were imaged and measured for intensity. The technician was blind to the behavioral data.

**In vitro stimulation of macrophages**

To determine whether hormones released in vivo during stress might act directly on macrophages to alter MMP production, monocyte-derived macrophages were treated with 1 μmol/L norepinephrine or 0.1 μmol/L cortisol (hydrocortisone; both from Sigma) and supernatants were harvested at 2, 4, 6, 12, and 24 h for assessment of MMP-9 levels by ELISA (R&D Systems). Macrophages were derived by isolating CD14+ cells from Ficoll-separated whole blood using immunomagnetic-positive selection (Miltenyi Biotec) and culturing cells for 6 days at 2.5 × 10^5/mL in RPMI (Mediatech) supplemented with 10% human AB serum (Omegace Scientific) and 2 ng/mL recombinant human macrophage colony-stimulating factor (R&D Systems). Two independent experiments were conducted and each sample was assayed in duplicate.

**Statistical analyses**

Statistical Program for the Social Sciences version 15.0 was used to analyze data. All distributions were examined for outliers and nonnormality. Log transformations were applied to normalize distributions where necessary. Pearson product moment correlations were

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**Table 2. Macrophage and tumor expression of MMP-2, MMP-9, and VEGF**

<table>
<thead>
<tr>
<th>Cells</th>
<th>MMP-2+ mean (SD)</th>
<th>MMP-9+ mean (SD)</th>
<th>VEGF+ mean (SD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Macrophage</td>
<td>55.80 (7.83)</td>
<td>60.37 (21.04)</td>
<td>61.72 (7.94)</td>
</tr>
<tr>
<td>Tumor</td>
<td>44.15 (6.77)</td>
<td>47.49 (8.04)</td>
<td>46.76 (8.18)</td>
</tr>
</tbody>
</table>

**NOTE:** Data are expressed in fluorescence intensity (arbitrary units).
calculated to assess relationships between outcome variables and disease stage, age, and health behaviors. General linear model procedures were used to compare outcome measures for depressed and nondepressed individuals, adjusting for stage. To examine relationships of biobehavioral factors with macrophage and tumor cell populations, multivariate hierarchical linear regressions were done, adjusting for stage of disease as a conservative measure. Overall tests of cell stimulation were done using a repeated-measures ANOVA with time as the within-subjects variable and stimulation condition (either hydrocortisone or norepinephrine) as the between-subjects measure. Cell stimulation in comparison to the control at 2 and 6 h was examined using independent \( t \) tests. \( \alpha \) was set at \( P < 0.05 \) for all analyses.

## Results
### Patient characteristics
The mean age of participants was 63.5 years (range, 39-87). The majority of patients (82.1%) had advanced-stage disease (stages III and IV) with predominantly high-grade tumors (Table 1). There were no significant associations between age, health behaviors (smoking/not smoking, alcohol use, caffeine use, and sleep last week or last night), and dependent variables (all \( P \) values > 0.08). Although stage was not significantly correlated with dependent variables, it was used as a covariate in all equations as a conservative measure. Mean

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**Fig. 2.** Representative images of immunofluorescence labeling of human epithelial ovarian cancer samples with CD68 (red) for macrophages, Hoechst (blue) for nuclei, and either (A) MMP-9 (green), (B) MMP-2 (green), or (C) VEGF (green). Original magnification, \( \times \) 200.
MMP and VEGF levels in CD68+ and tumor cells are shown in Table 2.

Behavioral factors and expression of MMPs and VEGF by macrophages and tumor cells

Depressed mood and macrophages. Fifty-eight percent of patients had depression scores on the Center for Epidemiological Studies-Depression scale within the range of clinical depression. Based on the known production of VEGF, MMP-2, and MMP-9 by macrophages as well as the responsiveness of these factors to stress hormones in ovarian cancer cells, we next asked whether there would be associations between behavioral stress or depression and macrophage production of these factors. As seen in Fig. 1, depressed patients showed significant elevations of MMP-9 in CD68+ cells (mean = 69.89, SD = 19.02) compared with those who were not depressed [mean = 48.36, SD = 19.03; F(1,46) = 15.34; P < 0.0001]. There were no significant differences in MMP-2 (P = 0.64) or VEGF (P = 0.06) expression in CD68+ cells. All analyses adjusted for stage. Representative images of colocalized expression of MMP-9, MMP-2, and VEGF with CD68+ cells are shown in Fig. 2.

Stress, social support, and macrophages. Multiple regression analyses examined relationships between stress, negative mood, social support, and physiologic variables, adjusting for stage. Patients reporting higher levels of current stress, greater severity and number of stressful life events over the last 6 months, and higher general negative affect had significantly higher levels of MMP-9 in CD68+ cells [Impact of Event Scale: β = 0.44, P = 0.002; Perceived Stress Scale: β = 0.48, P = 0.001; chronic life stress severity: β = 0.39, P = 0.006; number of stressful life events: β = 0.41, P = 0.004; and overall distress (Profile of Mood States total mood disturbance): β = 0.52, P < 0.001]. In contrast, no relationships were observed between these stress variables and expression of MMP-2 or VEGF in CD68+ cells (all P values > 0.21). Additionally, no relationship was found between social support and MMP or VEGF expression in CD68+ cells (all P values > 0.16).

Stress, social support, and ovarian tumor cells. Next, we examined relationships of behavioral variables and expression of MMPs and VEGF in tumor cells of these patients. As seen in Fig. 3, patients with higher levels of total social support had significantly lower levels of VEGF expression in tumor cells (β = -0.32, P = 0.027). In examining types of social support that contributed to this finding, it was specifically patients reporting higher levels of attachment with others (β = -0.42, P = 0.003) that had lower expression of tumor VEGF. In addition, patients reporting higher levels of social relationships in which they were nurturing others had lower tumor expression of MMP-9 (β = -0.32, P = 0.024). Paralleling these findings, total social support (β = -0.212) and attachment (β = -0.202) was also negatively associated with tumor expression of MMP-9, but these findings did not reach significance (P values < 0.175). Otherwise, no relationships were found between stress factors and tumor cells expressing these factors (all P values > 0.16).

In vitro stimulation of macrophages

Stress and depression are known to activate the sympathetic nervous system and hypothalamic-pituitary-adrenal axis (36). To determine whether the neuroendocrine mediators released by these systems might directly regulate macrophage production of MMP-9, we stimulated primary monocyte-derived macrophages with cortisol (hydrocortisone) or norepinephrine concentrations representative of those induced by stress in vivo. As shown in Fig. 4, incubation with 0.1 μmol/L hydrocortisone induced an ~2-fold increase in macrophage MMP-9 expression at 2 h (P < 0.0001) and smaller but significant increases at 6 h (P = 0.008). Norepinephrine (1 μmol/L) also induced an ~2-fold increase in MMP-9 production at 2 and 6 h (P < 0.0001 and P = 0.017, respectively). A repeated-measures ANOVA indicated that there was a significant dose by time interaction for norepinephrine (P = 0.001) and a significant main effect for stimulation condition (1 μmol/L norepinephrine versus control; P = 0.005), with effects of norepinephrine peaking at 6 h. (Fig. 4). For cortisol, there was a significant main effect for stimulation condition (P < 0.0001) with a slow but consistent rise of MMP-9 over time.

Discussion

The key findings of this study are that stress-related biobehavioral factors were associated with both stromal and tumor expression of factors supporting angiogenesis and invasion in the tumor microenvironment of ovarian cancer patients. Specifically, depressed patients and patients reporting higher levels of chronic stress, current stress, and negative affect showed higher MMP-9 expression in TAMs. In contrast, patients with higher levels of social support had lower levels of VEGF and MMP-9 expression in tumor cells. MMP-2 expression by macrophages or tumor cells was not significantly associated with any of the biobehavioral factors examined. To the best of our knowledge, this is the first clinical study to show significant associations between biobehavioral factors and stromal macrophage production of MMPs.

These findings extend previous experiments showing that chronic stress and social isolation increase expression of VEGF.
and MMP-9 by human ovarian tumors implanted orthotopically in mice (1). The current findings also extend our previous report of relationships between greater social support and lower serum VEGF in ovarian cancer patients (37) and show that similar relationships exist within the tumor microenvironment. The findings are also consistent with previously reported associations between elevated distress, poor social support, and elevations in the proangiogenic cytokine interleukin-6 in ascites and plasma of ovarian cancer patients (38).

Mechanisms underlying these associations likely involve stress hormones such as norepinephrine and cortisol (hydrocortisone). In vitro stimulation of isolated human macrophages with norepinephrine and hydrocortisone enhanced production of MMP-9, thus supporting the presence of causal pathways between stress and stimulation of this MMP. These findings extend previous in vitro (2, 6) and in vivo (1) studies of ovarian carcinoma showing that norepinephrine induces an increase in MMP-9, MMP-2, and VEGF expression by ovarian tumor cells via α-adrenergic receptor signaling. Specific doses of cortisol have also been shown to enhance VEGF production by ovarian cancer cell lines (6).

Macrophages are known to express β-adrenergic (17) and α-adrenergic (16) receptors. Some data suggest that norepinephrine ligation of α₁- and β-adrenergic receptors on human monocytes can activate nuclear factor-κB, which mediates the expression of several other angiogenic factors including VEGF and MMP-9 (39) as well as interleukin-6 (40). As elevated levels of stress (41, 42), depression (43–45), and social isolation (46, 47) have been associated with elevations in norepinephrine and cortisol (36, 48, 49), it is not surprising that these factors are associated with increased expression of MMP-9 by TAMs and MMP-9 and VEGF by tumor cells in ovarian cancer patients (50). Stress also induces an increase in norepinephrine in animal models (1).

It is not clear why an association was seen between distress and macrophage-produced MMP-9 but not with tumor-produced MMP-9 or VEGF, which were associated with social support/isolation. Variations in the neuroendocrine factors in the tumor microenvironment and receptors associated with depression and social support/isolation have not been well characterized. Furthermore, the predominant source of MMP-9 in ovarian tumors is known to be from macrophages (10);
however, there may be compensatory pathways operating as well. The lack of a significant association between biobehavioral factors and MMP-2 was unanticipated. Additional studies will be required to fully define the mechanisms by which biobehavioral factors might influence MMPs within the tumor microenvironment.

These findings are significant in that they show another mechanism by which stress factors may facilitate tumor growth and invasion, that is, by stimulation of stromal cells such as macrophages in the tumor microenvironment. Under conditions of behavioral distress or low social support, it is plausible that elevated levels of stress hormones such as catecholamines and glucocorticoids may (a) stimulate TAM production of MMP-9, which promotes an environment supportive of angiogenesis and invasion, and (b) directly stimulate tumor production of MMP-9 and VEGF. The net result is that the downstream effects of stress hormones on tumor growth would be amplified by both direct and indirect influences. Future studies examining levels of norepinephrine and/or cortisol within ovarian tumor samples would provide valuable insights into the role of adrenergic and glucocorticoid mechanisms in clinical tumor biology.

References


Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Limitations

It should be noted that the clinical findings are correlational and thus limit causal inferences. However, in vitro data are consistent with an interpretation of stress-induced enhancement of macrophage production of MMP-9. Small sample size may also have resulted in under reporting of associations between distress and tumor production of MMP-9.

Conclusion

Depression and stress were associated with expression of MMP-9 by TAMs and MMP-9 and VEGF by tumor cells in ovarian cancer patients. These findings identify new biological mechanisms that may underlie stress-induced progression of cancer and could have implications for survival and treatment.
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