

## Clinical, Pathologic, and Biologic Features Associated with *BRAF* Mutations in Non–Small Cell Lung Cancer

Stephanie Cardarella<sup>1,4,7</sup>, Atsuko Ogino<sup>1</sup>, Mizuki Nishino<sup>3,5</sup>, Mohit Butaney<sup>1</sup>, Jeanne Shen<sup>6,8</sup>, Christine Lydon<sup>1</sup>, Beow Y. Yeap<sup>7,9</sup>, Lynette M. Sholl<sup>6,8</sup>, Bruce E. Johnson<sup>1,4,7</sup>, and Pasi A. Jänne<sup>1,2,4,7</sup>

### Abstract

**Purpose:** *BRAF* mutations are found in a subset of non–small cell lung cancers (NSCLC). We examined the clinical characteristics and treatment outcomes of patients with NSCLC harboring *BRAF* mutations.

**Experimental Design:** Using DNA sequencing, we successfully screened 883 patients with NSCLC for *BRAF* mutations between July 1, 2009 and July 16, 2012. Baseline characteristics and treatment outcomes were compared between patients with and without *BRAF* mutations. Wild-type controls consisted of patients with NSCLC without a somatic alteration in *BRAF*, *KRAS*, *EGFR*, and *ALK*. *In vitro* studies assessed the biologic properties of selected non-V600E *BRAF* mutations identified from patients with NSCLC.

**Results:** Of 883 tumors screened, 36 (4%) harbored *BRAF* mutations (V600E, 18; non-V600E, 18) and 257 were wild-type for *BRAF*, *EGFR*, *KRAS*, and *ALK* negative. Twenty-nine of 36 patients with *BRAF* mutations were smokers. There were no distinguishing clinical features between *BRAF*-mutant and wild-type patients. Patients with advanced NSCLC with *BRAF* mutations and wild-type tumors showed similar response rates and progression-free survival (PFS) to platinum-based combination chemotherapy and no difference in overall survival. Within the *BRAF* cohort, patients with V600E-mutated tumors had a shorter PFS to platinum-based chemotherapy compared with those with non-V600E mutations, although this did not reach statistical significance (4.1 vs. 8.9 months;  $P = 0.297$ ). We identified five *BRAF* mutations not previously reported in NSCLC; two of five were associated with increased *BRAF* kinase activity.

**Conclusions:** *BRAF* mutations occur in 4% of NSCLCs and half are non-V600E. Prospective trials are ongoing to validate *BRAF* as a therapeutic target in NSCLC. *Clin Cancer Res*; 19(16); 4532–40. ©2013 AACR.

### Introduction

Recent therapeutic strategies for non–small cell lung cancer (NSCLC) have focused on the development of drugs that disrupt driver mutations to which the lung cancers are addicted. This approach followed the discovery that the epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKI), gefitinib and erlotinib, produce higher response rates, longer progression-free survival (PFS), less toxicity, and improved quality of life compared with cytotoxic chemotherapy in the treatment of patients with

advanced NSCLC harboring sensitizing *EGFR* mutations (1–3). More recently, the anaplastic lymphoma kinase (ALK) inhibitor, crizotinib, transformed the care of another subset of patients with NSCLC—those bearing *ALK* rearrangements. Recent studies showed response rates in excess of 60%, PFS more than 7 months, and median survival in excess of 20 months from the start of crizotinib therapy in patients with *ALK*-rearranged advanced NSCLC, approximately 2-fold more than the results in similar patients treated with chemotherapy (4–6).

Genomic studies in lung adenocarcinoma identified other potential therapeutic targets, including activating mutations in *KRAS*, *BRAF*, *HER2*, *PIK3CA*, and others in frequencies exceeding 1% (7–9). Reports of lung cancers bearing mutations in the *BRAF* gene have generated considerable interest because these mutations may be associated with increased sensitivity to agents directly targeting *BRAF* or *BRAF*-mediated downstream signaling pathways (10, 11). *BRAF* is a serine/threonine kinase that lies downstream of *RAS* in the *RAS*–*RAF*–*MEK*–*ERK* signaling pathway, a key molecular cascade that regulates cell growth. Mutations in *BRAF* are most commonly seen in melanoma, where *BRAF* V600E is a driver mutation that can be effectively targeted with selective *BRAF* and/or *MEK* inhibitors (12–14). *BRAF* mutations are also detected in 1% to 3% of NSCLC (15, 16).

**Authors' Affiliations:** <sup>1</sup>Department of Medical Oncology, Lowe Center for Thoracic Oncology, <sup>2</sup>The Belfer Institute for Applied Cancer Science, <sup>3</sup>Department of Radiology, Dana-Farber Cancer Institute; Departments of <sup>4</sup>Medicine, <sup>5</sup>Radiology, and <sup>6</sup>Pathology, Brigham and Women's Hospital; Departments of <sup>7</sup>Medicine and <sup>8</sup>Pathology, Harvard Medical School; and <sup>9</sup>Department of Medicine, Massachusetts General Hospital, Boston, Massachusetts

**Note:** Supplementary data for this article are available at Clinical Cancer Research Online (<http://clincancerres.aacrjournals.org>).

**Corresponding Author:** Stephanie Cardarella, Lowe Center for Thoracic Oncology, Dana-Farber Cancer Institute, 450 Brookline Avenue, Dana 1234C, Boston, MA 02215. Phone: 617-632-3468; Fax: 617-632-5786; E-mail: [scardarella@partners.org](mailto:scardarella@partners.org)

doi: 10.1158/1078-0432.CCR-13-0657

©2013 American Association for Cancer Research.

### Translational Relevance

Targeted cancer therapy is transforming the care of patients with non-small cell lung cancer (NSCLC). *BRAF* represents a potential molecular target in a subset of NSCLCs. Using DNA sequencing, we identified *BRAF* mutations in 36 of 883 (4%) patients with NSCLC, distributed as activating (V600, 53%; non-V600, 22%) and inactivating (25%) mutations in exons 11 and 15. This diverse array of mutations has important implications, as different therapeutic strategies will likely be required for the effective, targeted management of lung cancers bearing V600, non-V600, and inactivating *BRAF* mutations. This hypothesis is currently being tested in the clinic. We also present the treatment outcomes of patients with advanced NSCLC with and without *BRAF* mutations treated with conventional chemotherapy, providing a comparative basis for interpreting the results of ongoing trials of targeted therapy in patients with NSCLC and prospectively identified *BRAF* mutations.

The mutations found in NSCLC are distinct from the melanoma setting; whereas *BRAF*-mutated melanomas harbor a V600E amino acid substitution in exon 15 in more than 80% of cases, NSCLCs harbor non-V600E mutations distributed in exons 11 and 15 in 40% to 50% of cases (16–18). Many of these non-V600E mutations show only intermediate or low kinase activity, and preclinical data suggest that non-V600E-mutant *BRAF* kinases are resistant to *BRAF*-targeted therapy, although some may be sensitive to downstream pathway inhibitors such as MEK inhibitors (16, 19). These data suggest that knowledge of the exact type of *BRAF* mutation, and defining the pathogenesis of such mutations, will be critical to inform effective strategies for the targeted treatment of NSCLC with mutated *BRAF*.

Research efforts published in 2011 began to define the prevalence, distribution, and prognosis of *BRAF* mutations in patients with lung adenocarcinomas, focusing on "hot spot" mutations in *BRAF* using the Sequenom platform (18) or conducting *BRAF* mutational analysis of resected lung cancers (17). Our center uses direct DNA sequencing of exons 11 and 15 for *BRAF* mutational analysis, which allows detection of expected key driver mutations, as well as other novel genetic changes that may have clinical significance (20). Here, we describe the clinical features and pathologic characteristics of our patients with *BRAF*-mutant NSCLC, and define the outcomes of patients with advanced NSCLC with and without *BRAF* mutations treated with conventional chemotherapy to provide a comparative basis for interpreting the results of ongoing trials of targeted therapy in patients with NSCLC with prospectively identified *BRAF* mutations.

### Materials and Methods

#### Study population

Patients with histologically or cytologically confirmed NSCLC who were referred for genomic characterization of

*BRAF* between July 1, 2009 and July 16, 2012 were identified through a query of patient information for subjects prospectively enrolled in the Clinical Research Information System within the Lowe Center for Thoracic Oncology at the Dana-Farber Cancer Institute (Boston, MA) that collects clinical information from the patients referred for genomic testing from our center. Patients with insufficient tumor material for genetic testing, incomplete testing at exons 11 and 15 of *BRAF*, or results classified as inconclusive because their specimens contained less than 50% malignant cells were excluded from this analysis ( $n = 89$ ). Genotyping studies were ordered at the discretion of the treating provider; in a majority of cases, *EGFR*, *KRAS*, and *ALK* testing were also conducted. All patients provided written informed consent for the analysis of their tumor specimens and collection of baseline and clinical outcomes information. The collection of clinical information on patients referred for genotyping was approved by the Institutional Review Board at the Dana-Farber Cancer Institute.

Baseline demographic and clinical characteristics, including smoking information, were determined by prospective collection from a patient-administered questionnaire and from review of the medical records. For each patient with *BRAF*-mutant NSCLC, a representative 4  $\mu$ m hematoxylin and eosin-stained slide was reviewed by a board-certified pathologist with thoracic expertise (L.M. Sholl) and classified according to the World Health Organization and International Association for the Study of Lung Cancer (IASLC) guidelines for the classification of lung adenocarcinoma (21, 22). For patients who were diagnosed with stage IV or relapsed metastatic NSCLC during the study period (through September 1, 2012) and had adequate scans for radiographic assessments at least 4 weeks after the initiation of systemic therapy for advanced disease, we examined treatment regimens, response rates, and PFS, comparing the results in similar patients without *BRAF* mutations. Wild-type controls in this study consisted of patients with NSCLC successfully tested for somatic alterations in *BRAF*, *EGFR*, *KRAS*, and *ALK* and wild-type at all predefined exons and negative for the *ALK* rearrangement, for whom there are generally no effective targeted kinase inhibitors. This control group was also selected to exclude patients with *KRAS* mutations to isolate the potential impact of *BRAF* mutations in NSCLC, as *KRAS* lies upstream of *BRAF* in the RAS-RAF-MEK-ERK signaling cascade. Scans for all eligible patients were reviewed by a board-certified radiologist with thoracic expertise (M. Nishino) using Response Evaluation Criteria in Solid Tumors (RECIST) 1.1 and best response to first-line chemotherapy was determined (23). Confirmation of response was not required because of the retrospective nature of this study.

#### Genomic characterization

Tumor specimens submitted for genomic testing consisted of formalin-fixed paraffin-embedded (FFPE) material. Samples were analyzed for the presence of somatic mutations of *BRAF* (exons 11 and 15), *EGFR* (exons 18–21), and *KRAS* (exons 2 and 3) by bidirectional Sanger dideoxyterminator sequencing according to described methods (24).

FISH was conducted on FFPE tumor samples cut onto glass slides using a break-apart probe to the *ALK* gene (Abbott Vysis) as per the manufacturer's instructions. FISH-positive specimens were defined as separated orange and green signals, with a split distance of at least two probe diameters, in more than 15% of tumor cells (25).

#### DNA constructs and colony formation assays

Full-length *BRAF* cDNA was cloned into pDNR-Dual (BD Biosciences) and specific *BRAF* mutations introduced using site-directed mutagenesis (Agilent) with mutant-specific primers according to the manufacturer's instructions and as previously described (26). All constructs were confirmed to be correct by sequencing. Retroviral infection and culture of NIH-3T3 cells were conducted as previously described (27, 28). For colony formation assays, cells expressing different *BRAF* mutations were suspended in growth medium containing 0.35% Noble agar (Sigma-Aldrich) and plated on a bottom layer of 0.5% agar in 6-well plates. The cells were stained with 0.005% crystal violet 3 weeks after plating. The number of viable colonies was quantified using ImageJ software.

#### Antibodies and Western blotting

Cells were lysed in 1% Triton lysis buffer (Cell Signaling Technology). Western blot analyses were conducted after separation by SDS-PAGE electrophoresis and transfer to polyvinylidene difluoride (PVDF) membranes. Immunoblotting was conducted according to the antibody manufacturer's recommendations. Anti-phospho-MEK1/2 (Ser217/221) and anti-total-MEK1/2 were purchased from Cell Signaling Technology. Anti-phospho-ERK1/2 (Y185/187) and anti-total-ERK1/2 were obtained from Invitrogen. Anti-tubulin and anti-FLAG were purchased from Sigma-Aldrich.

#### In vitro kinase assay

Cells were lysed in cell lysis buffer (50 mmol/L Tris-HCl pH 7.5, 1 mmol/L EDTA, 150 mmol/L NaCl, 0.5% NP-40, and glycerol 10%) supplemented with protease inhibitors and phosphatase inhibitors (Roche). Flag-tagged *BRAF* protein was immunoprecipitated with anti-FLAG M2 affinity gel (Sigma-Aldrich) and subjected to *in vitro* kinase assays. *BRAF* kinase activity was measured using *BRAF* kinase assay kit (Millipore). Briefly, kinase reaction was carried out in the presence of ATP and recombinant MEK substrate at 30°C for 30 minutes. Phosphorylation level of MEK was measured by Western blotting.

#### Statistical analysis

Fisher exact test and Wilcoxon rank-sum test were used to compare the demographic and clinical characteristics between patients with *BRAF* mutations and wild-type tumors as well as between the V600E- and non-V600E-mutated subgroups. PFS and overall survival (OS) were calculated from the first day systemic treatment of

advanced NSCLC was initiated. The outcome was censored if a patient had not progressed or died at the time of last follow-up. Similarly, the patients who received second-line therapy before they had RECIST-defined progression were censored for PFS at their date of last follow-up scan before the start of second-line treatment. PFS and OS were estimated using the Kaplan-Meier method, and curves were compared by the log-rank test. All reported *P* values are based on two-sided hypothesis tests. The statistical analysis was computed using SAS 9.2 (SAS Institute Inc.).

## Results

### Patient characteristics

Between July 1, 2009 and July 16, 2012, 883 patients with NSCLC were successfully screened for a somatic alteration in *BRAF*. Of the 883 patients, 36 had tumors bearing *BRAF* mutations (4%), evenly distributed as V600E (18 of 36) and non-V600E mutations (18 of 36). The cohort without *BRAF* mutations included 157 patients with activating *EGFR* mutations, 267 with mutations in *KRAS*, and 41 with *ALK* rearrangements (Supplementary Table S1). Two hundred and fifty-seven patients were wild-type at all predefined exons of *BRAF*, *EGFR*, and *KRAS* and negative for the *ALK* rearrangement (hereafter referred to as wild-type). The demographic and clinical characteristics of the patients with *BRAF* mutations and wild-type tumors are shown in Table 1. Tumor histology was predominantly adenocarcinoma, consistent with the patient population primarily targeted by clinical genotyping at our center. There were no significant differences in the age, sex distribution, race, smoking history, histology, or stage at first diagnosis of NSCLC between patients with *BRAF*-mutant and wild-type tumors. Similarly, none of the baseline characteristics were significantly associated with *BRAF* mutation class.

No predominant histologic pattern emerged in our study in association with *BRAF*-mutated tumors. Among the 34 evaluable adenocarcinomas that harbored *BRAF* mutations, 38% showed solid growth as the predominant pattern, and another 29% had predominant acinar growth. There were three tumors with any amount of micropapillary pattern, including two V600E-mutated tumors with micropapillary predominant histology; a third tumor harbored *BRAF* G469A and had a minor micropapillary component. Predominant lepidic growth was only observed in non-V600E-mutated tumors (4 of 17).

### Characterization of *BRAF* mutations

The most common mutation observed was the exon 15 point mutation V600E in 18 patients (50%; Table 2); 1 patient with *BRAF* V600E had a concurrent *PIK3CA* E545K mutation. Two specimens harbored concurrent activating *BRAF* G464 mutations and *KRAS* mutations. Five non-V600E *BRAF* mutations not previously described in NSCLC according to the Catalogue of Somatic Mutations in Cancer (COSMIC) database (29) and published

**Table 1.** Baseline patient characteristics

Characteristic	Genotype			
	Mutant <i>BRAF</i>			Wild-type <sup>a</sup> (n = 257)
	All (n = 36)	V600E (n = 18)	Non-V600E (n = 18)	
N (%)	N (%)	N (%)	N (%)	
Median age, y	62	63	61	62
Range	(41–94)	(50–94)	(41–78)	(26–87)
Gender				
Male	17 (47)	8 (44)	9 (50)	128 (50)
Female	19 (53)	10 (56)	9 (50)	129 (50)
Race				
White, non-Hispanic	34 (94)	16 (88)	18 (100)	222 (86)
Asian	0 (0)	0 (0)	0 (0)	15 (6)
Black	1 (3)	1 (6)	0 (0)	16 (6)
White, Hispanic	0 (0)	0 (0)	0 (0)	3 (1)
Unknown	1 (3)	1 (6)	0 (0)	1 (<1)
Smoking history <sup>b</sup>				
Never-smoker	7 (19)	5 (28)	2 (11)	56 (22)
≤10 pack-years	4 (11)	1 (6)	3 (17)	29 (11)
>10 pack-years	25 (69)	12 (67)	13 (72)	171 (67)
Histology				
Adenocarcinoma	34 (94)	17 (94)	17 (94)	222 (87)
Adenosquamous	0 (0)	0 (0)	0 (0)	3 (1)
Squamous	0 (0)	0 (0)	0 (0)	6 (2)
LCNEC	0 (0)	0 (0)	0 (0)	5 (2)
NSCLC NOS	2 (6)	1 (6)	1 (6)	21 (8)
Stage <sup>c</sup>				
I	4 (11)	2 (11)	2 (11)	34 (13)
II	1 (3)	1 (6)	0 (0)	17 (7)
III	6 (17)	2 (11)	4 (22)	66 (26)
IV	25 (69)	13 (72)	12 (67)	140 (54)

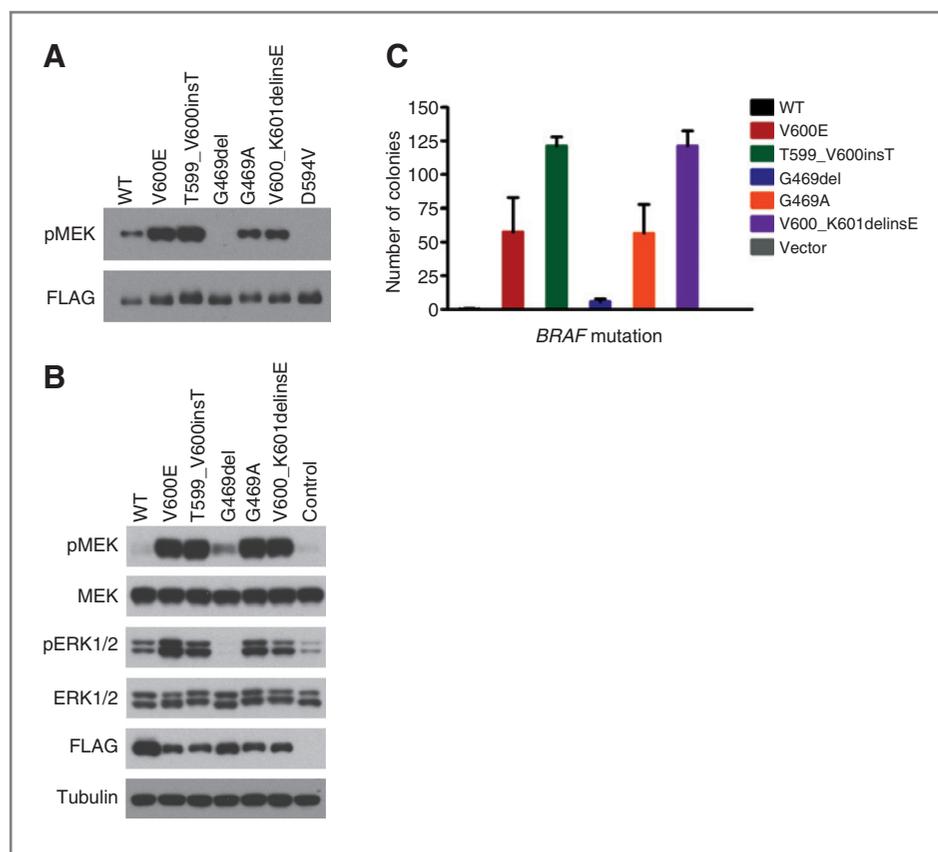
Abbreviations: LCNEC, large cell neuroendocrine carcinoma; NOS, not otherwise specified.  
<sup>a</sup>Wild-type at all predefined exons of *BRAF*, *EGFR*, *KRAS*, and no *ALK* rearrangement.  
<sup>b</sup>Data not available for 1 patient in the wild-type cohort.  
<sup>c</sup>Stage at initial NSCLC diagnosis, American Joint Committee on Cancer (AJCC) staging system 7th edition.

literature were identified. One sample harbored a heterozygous in-frame 3-bp duplication at position 1794 (c.1794\_1796\_dupTAC), resulting in the insertion of an additional threonine residue at amino acid position 599 (p. T599\_V600insT). Other trinucleotide insertions at position 1795 or 1796 resulting in the same coding sequence change have been described and shown to be gain-of-function mutations (30). Another specimen harbored V600\_K601delinsE (c.1799\_1801delTGA) originating from an in-frame deletion of three nucleotides at position 1799 to 1801 and a V600E amino acid substitution in the resultant *BRAF* protein. This mutation has been characterized in papillary thyroid cancer and confers constitutive activation of *BRAF* (31). A third specimen had *BRAF* D594N (c.1780G>A), whereas a fourth had a G466R mutation (c.1396G>A); other D594 and G466 mutations have been detected in NSCLC and result in

impaired kinase activity (32). Finally, we identified a novel somatic change, *BRAF* G469del; other mutations in *BRAF* codon 469 have been detected in solid tumors and are activating (16).

#### Biologic and clinical significance of selected non-V600E *BRAF* mutations

We sought to determine the biologic properties of the *BRAF* mutations identified from our patients with NSCLC. As expected, the V600E, G469A, T599\_V600insT, and V600\_K601delinsE mutations showed increased *BRAF* kinase activity compared with wild-type *BRAF* (Fig. 1A). The increased kinase activity was associated with an increase in pERK 1/2 (Fig. 1B) and transformation in a soft agar assay (Fig. 1C). In contrast, the G496del mutation resulted in reduced *in vitro* kinase activity (Fig. 1A), no increase in pERK1/2 (Fig. 1B), and minimal transformation



**Figure 1.** Characterization of *BRAF* mutations in NSCLC. **A**, *in vitro* kinase assay. Flag-tagged wild-type (WT) or mutant *BRAF* protein was immunoprecipitated with anti-FLAG antibody and subjected to *in vitro* kinase assays in the presence of ATP and recombinant MEK. Immunoblotting was used to detect indicated proteins. **B**, expression of activated MEK and ERK1/2 in NIH-3T3 cells expressing either wild-type or mutant *BRAF*. Immunoblotting was used to detect indicated proteins. **C**, cells from (B) were grown in soft agar and colonies were assayed 3 weeks after plating. The mean (and SD) colony numbers are plotted.

*in vitro* (Fig. 1C). This mutation behaved similar to the kinase-dead D594V mutation in the *in vitro* kinase assay (Fig. 1A).

**Table 2.** Somatic *BRAF* mutations identified

Exon	Nucleotide change	Amino acid change	Frequency, N
11	1391G>A	G464E <sup>a</sup>	1
	1391G>T	G464V <sup>b</sup>	1
	1396G>A	G466R	1
	1397G>T	G466V <sup>c</sup>	4
	1406G>C	G469A	2
	1405_1407delGGA	G469del	1
	1406G>T	G469V	1
	1780G>A	D594N	1
15	1781A>G	D594G	2
	1801A>G	K601E	1
	1794_1796dupTAC	T599_V600insT	1
	1799_1801delTGA	V600_K601delinsE	1
	1799T>A	V600E <sup>d</sup>	18
	1798G>T	V600L	1

Abbreviations: del, deletion; dup, duplication; ins, insertion.

<sup>a</sup>One patient had both *BRAF* G464E and *KRAS* G12A.

<sup>b</sup>One patient had both *BRAF* G464V and *KRAS* G13C.

<sup>c</sup>One patient had both *BRAF* G466V and *KRAS* G12C.

<sup>d</sup>One patient had both *BRAF* V600E and *PIK3CA* E545K.

### Clinical outcomes of patients with and without *BRAF* mutations

We determined best response by RECIST 1.1 to first-line platinum-based combination chemotherapy in patients diagnosed with advanced NSCLC during the study period who had adequate scans for radiographic assessments. Patients who had previously received neoadjuvant or adjuvant chemotherapy or chemotherapy plus chest radiotherapy for stage I–IIIA NSCLC were excluded from this analysis. Patients who were treated with upfront palliative chemoradiotherapy for advanced NSCLC were similarly excluded. Within the *BRAF* cohort, 7 (50%) of 14 eligible patients had a partial response (PR), 5 (36%) had stable disease, and 2 (14%) had progressive disease (PD) when treated with platinum-based chemotherapy. Similar numbers were seen in the wild-type cohort: 38 (48%) of 79 eligible patients had a PR, 36 (46%) had stable disease, and 5 (6%) had PD ( $P = 1.000$ ; Table 3). Within the *BRAF* cohort, patients with V600E *BRAF*-mutant NSCLC showed a lower response rate to first-line platinum-based combination chemotherapy compared with patients who had other *BRAF* mutations, although this difference was not statistically significant (29% vs. 71%;  $P = 0.286$ ).

The median PFS of patients with *BRAF*-mutant advanced NSCLC treated with platinum-based combination chemotherapy was 5.2 months compared with 6.7 months for wild-type patients ( $P = 0.622$ ; Fig. 2A). Within *BRAF*

**Table 3.** Treatments and clinical outcomes for advanced NSCLC patients by genotype

Characteristic	Genotype			Wild-type (n = 79) N (%)
	Mutant <i>BRAF</i>			
	All (n = 14) N (%)	V600E (n = 7) N (%)	Non-V600E (n = 7) N (%)	
Median no. of treatment regimens	3	3	3	2
Range	(1–6)	(1–4)	(1–6)	(1–7)
Best response to chemotherapy <sup>a</sup>				
CR	0 (0)	0 (0)	0 (0)	0 (0)
PR	7 <sup>b</sup> (50)	2 (29)	5 (71)	38 <sup>c</sup> (48)
Stable disease	5 (36)	3 (43)	2 (29)	36 <sup>d</sup> (46)
PD	2 (14)	2 (29)	0 (0)	5 (6)
Response rate, %	50	29	71	48
Median PFS, mo (95% CI)	5.2 (3.9–9.4)	4.1 (2.2–13.9)	8.9 (5.2–11.7)	6.7 (5.0–8.5)

Abbreviation: CR, complete response.

<sup>a</sup>Chemotherapy refers to first-line platinum-based combination chemotherapy.

<sup>b</sup>All seven responses were confirmed by repeat radiographic assessment conducted  $\geq 4$  weeks after the criteria for response were first met.

<sup>c</sup>Seven of the 38 PRs were not confirmed.

<sup>d</sup>Stable disease (n = 29) or non-CR/non-PD (n = 7) for at least 3 weeks (n = 1), 4 weeks (n = 1) or  $\geq 6$  weeks (n = 34).

mutation-positive patients, the median PFS was shorter in patients with V600E mutations compared with non-V600E mutations, but did not reach statistical significance (4.1 vs. 8.9 months;  $P = 0.297$ ; Fig. 2B).

We assessed OS in the subgroup of 238 patients (*BRAF*, 24; wild-type, 214) who were diagnosed with stage IV or relapsed metastatic NSCLC during the study period and whose date of start of systemic therapy for advanced NSCLC was known. At the time of this analysis (September 1, 2012), 90 of 238 patients were alive (*BRAF*, 9; wild-type, 81) with a median follow-up of 13.7 months (range, 20 days to 10.3 years). The median survival times were 15.2 months for the *BRAF* patients, and 15.9 months for patients with wild-type tumors ( $P = 0.707$ ; Fig. 2C). The median OS of patients with *BRAF* V600E-mutated tumors (n = 12) was 10.8 months compared with 15.2 months for those with non-V600E mutations (n = 12;  $P = 0.726$ ).

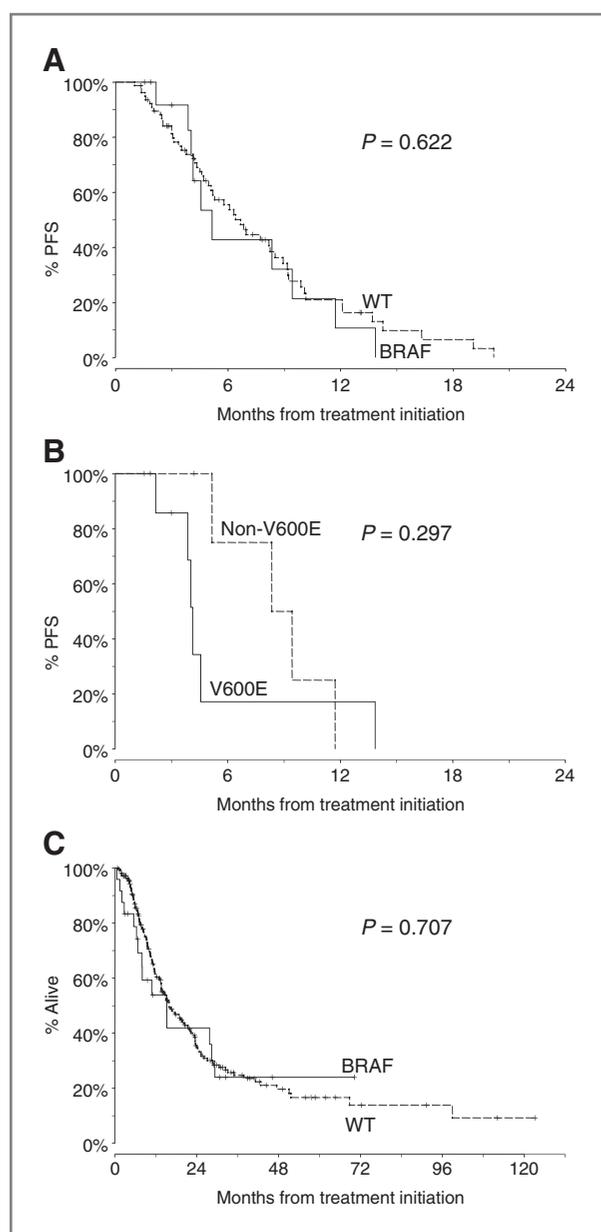
Similar response rates, median PFS, and OS estimates were obtained when patients with V600-like mutations (V600E, V600L, T599\_V600insT, and V600\_K601delinsE) were compared with patients who had other *BRAF* mutations.

## Discussion

Although much of the research on *BRAF* has focused on melanoma, *BRAF* may also be therapeutically important in NSCLC. The frequency of *BRAF* mutations in our series was 4%, which is similar to other studies (17, 18). Unlike *EGFR* mutations and *ALK* rearrangements, which arise independently from smoking, *BRAF* mutations occurred most often in smokers (29 of 36), although both V600E and non-

V600E mutations were also identified in patients who had never smoked. The proportion of never and/or light smokers ( $\leq 10$  pack-years) did not differ significantly according to *BRAF* mutation type (V600E or V600-like vs. other *BRAF* mutations). In contrast, Paik and colleagues detected a *BRAF* mutation in 18 of 697 screened lung adenocarcinomas, and all *BRAF*-mutant patients were current or former smokers (18). Similarly, Marchetti and colleagues found 36 of 739 screened lung adenocarcinomas to harbor a *BRAF* mutation; all non-V600E mutations were detected in smokers, whereas *BRAF* V600E was significantly more frequent in never smokers and in female patients (17). No other clinical profile emerged in our study in association with *BRAF*-positive tumors. Specifically, we did not find an association between gender, age, race, or stage at first diagnosis of NSCLC and *BRAF* mutations. Furthermore, our prospective genotyping efforts have focused on patients with nonsquamous NSCLC; few patients with squamous cell lung cancer have been tested at our center since 2009. However, a recent comprehensive genomic analysis identified *BRAF* mutations in approximately 4% of squamous cell lung carcinomas, all non-V600E (33). If validated as a therapeutic target in NSCLC, restrictions on *BRAF* mutation screening based on clinical or histologic features cannot be recommended.

Most of the mutations in *BRAF* are activating and enhance the ability of the kinase to directly phosphorylate MEK. In our study, 19 of 36 (53%) of the mutations were V600 (V600E, 18; V600L, 1); the remaining 47% were a mixture of kinase activating (8 of 36 or 22%) and inactivating (9 of 36 or 25%) mutations. Other mutations in *BRAF* have been



**Figure 2.** PFS and OS of patients with advanced NSCLC. A, PFS of patients with *BRAF* mutations and wild-type (WT) tumors on first-line platinum-based combination chemotherapy. B, PFS of patients with V600E mutations compared with non-V600E mutations on first-line platinum-based combination chemotherapy. C, OS of patients with *BRAF* mutations and wild-type tumors.

identified in lung adenocarcinomas involving amino acids 439, 459, 472, 595, 597, 604, and 606 (17, 34–36). Such a diverse array of mutations has important implications, as different therapeutic strategies will likely be required for the targeted treatment of lung cancers bearing V600, non-V600, and inactivating *BRAF* mutations. Only about 1% to 2% of NSCLCs may harbor each of these classes of mutations, emphasizing the need for close collaboration between investigators across centers if molecularly tailored therapy

is to be successfully tested and realized for these rare molecular subsets.

Overall, the clinical outcomes of *BRAF* mutation-positive patients to platinum-based combination chemotherapy closely resembled those of patients with wild-type tumors, suggesting that *BRAF* mutations are not associated with enhanced chemosensitivity. Within the *BRAF* cohort, patients with V600E mutations had lower response rates to platinum-based chemotherapy and shorter PFS than patients with non-V600E mutations, although these differences did not reach statistical significance because of low power due to small sample sizes. The differences did not seem to be related to imbalances among the subgroups in terms of type of chemotherapy received. Our findings are consistent with a previous report that showed less favorable outcomes among patients with *BRAF* V600E mutations compared with *BRAF* wild-type (17). Likewise, authors have reported that V600E-mutated tumors are frequently associated with a more aggressive histotype characterized by micropapillary features (17, 37). In our cohort, there were only three cases with any amount of micropapillary histology, including two V600E-mutated tumors, both with micropapillary predominant pattern. OS was not significantly different between patients with *BRAF*-mutant and wild-type tumors or according to *BRAF* mutation class. Of note, 5 of 12 *BRAF* V600E- and 4 of 12 non-V600E-mutant patients with metastatic disease participated in trials in which they received an agent targeted against *BRAF* and/or MEK (NCT0133634, NCT00888134, NCT01362296, and NCT01072175). The therapeutic outcome of patients treated with either *BRAF* or MEK inhibitors is part of ongoing studies with clinical trials of those agents and will be reported separately as part of clinical trial articles.

Current second-generation *BRAF* inhibitors, such as vemurafenib and dabrafenib, have potent, selective activity against the V600-mutant *BRAF* kinases. There is one report in the literature of a patient with *BRAF* V600E-mutant NSCLC responding to vemurafenib (11) and two to dabrafenib (10, 38). Similarly, MEK inhibition selectively abrogates tumor growth and induces tumor regression in V600E *BRAF*-mutant xenografts and lung cancer mouse models (39, 40). *In vitro* studies, however, show that vemurafenib lacks activity against lung cancer cell lines that express the activating G469A mutation, or the low-activity G466V mutation (41). In contrast, lung cancer cell lines with these non-V600E *BRAF* mutations seem selectively sensitive to pharmacologic inhibition of MEK (16). Furthermore, investigators have shown that most *BRAF* mutants with reduced kinase activity can still activate MEK and ERK via transactivation of CRAF (32, 36). Heidorn and colleagues found that MEK activation driven by kinase-impaired *BRAF* could be inhibited by the pan-RAF inhibitor sorafenib (32), whereas Sen and colleagues suggested that tumors bearing kinase-dead *BRAF* mutations could be sensitive to dasatinib (36). Accordingly, agents targeting *BRAF* or downstream pathways in ongoing clinical trials include the *BRAF* inhibitor, dabrafenib, for patients

with NSCLC and prospectively identified *BRAF* V600E mutations (NCT0133634); the MEK inhibitor, trametinib, for patients with non-V600E *BRAF* mutations (NCT01362296); and the multitargeted TKI, dasatinib, for patients with NSCLC and inactivating or uncharacterized *BRAF* mutations (NCT01514864).

The results of our study should be interpreted within the context of the retrospective observational design, and the potential for selection bias introduced by the patients pursuing care at our tertiary referral center and those in whom *BRAF* testing was ordered. The small number of patients in the *BRAF*-mutant cohort and lack of uniformity of treatment additionally limit the analysis of clinical outcomes. Furthermore, we did not conduct transformation assays in the presence or absence of *BRAF* or MEK inhibitors, thereby limiting our ability to draw conclusions about the therapeutic implications of the various *BRAF* mutations identified from patients with NSCLC. These studies are ongoing in our center.

In summary, we identified *BRAF* mutations in approximately 4% of patients with lung adenocarcinoma, distributed as activating (V600, 53%; non-V600, 22%) and inactivating mutations in exons 11 and 15. These will likely require different strategies for the effective, targeted management of NSCLC with mutated *BRAF*. Various agents targeting the *BRAF* pathway are currently being tested in the clinic in patients with NSCLC and prospectively identified *BRAF* mutations. If validated as a therapeutic target in NSCLC, *BRAF* may expand the potential candidates for personalized lung cancer therapy.

## References

- Mok TS, Wu YL, Thongprasert S, Yang CH, Chu DT, Saijo N, et al. Gefitinib or carboplatin-paclitaxel in pulmonary adenocarcinoma. *N Engl J Med* 2009;361:947–57.
- Rosell R, Carcereny E, Gervais R, Vergnenegre A, Massuti B, Felip E, et al. Erlotinib versus standard chemotherapy as first-line treatment for European patients with advanced EGFR mutation-positive non-small-cell lung cancer (EURTAC): a multicentre, open-label, randomised phase 3 trial. *Lancet Oncol* 2012;13:239–46.
- Zhou C, Wu YL, Chen G, Feng J, Liu XQ, Wang C, et al. Erlotinib versus chemotherapy as first-line treatment for patients with advanced EGFR mutation-positive non-small-cell lung cancer (OPTIMAL, CTONG-0802): a multicentre, open-label, randomised, phase 3 study. *Lancet Oncol* 2011;12:735–42.
- Kwak EL, Bang YJ, Camidge DR, Shaw AT, Solomon B, Maki RG, et al. Anaplastic lymphoma kinase inhibition in non-small-cell lung cancer. *N Engl J Med* 2010;363:1693–703.
- Shaw AT, Yeap BY, Solomon BJ, Riely GJ, Gainor J, Engelman JA, et al. Effect of crizotinib on overall survival in patients with advanced non-small-cell lung cancer harbouring ALK gene rearrangement: a retrospective analysis. *Lancet Oncol* 2011;12:1004–12.
- Shaw AT, Kim DW, Nakagawa K, Seto T, Crinò L, Ahn M-J, et al. Phase III study of crizotinib versus pemetrexed or docetaxel chemotherapy in patients with advanced ALK-positive non-small cell lung cancer (NSCLC) (PROFILE 1007). *Ann Oncol* 2012;23:7–30.
- Ding L, Getz G, Wheeler DA, Mardis ER, McLellan MD, Cibulskis K, et al. Somatic mutations affect key pathways in lung adenocarcinoma. *Nature* 2008;455:1069–75.
- Sun Y, Ren Y, Fang Z, Li C, Fang R, Gao B, et al. Lung adenocarcinoma from East Asian never-smokers is a disease largely defined by targetable oncogenic mutant kinases. *J Clin Oncol* 2010;28:4616–20.
- Weir BA, Woo MS, Getz G, Perner S, Ding L, Beroukhi R, et al. Characterizing the cancer genome in lung adenocarcinoma. *Nature* 2007;450:893–8.
- Falchook GS, Long GV, Kurzrock R, Kim KB, Arkenau TH, Brown MP, et al. Dabrafenib in patients with melanoma, untreated brain metastases, and other solid tumours: a phase 1 dose-escalation trial. *Lancet* 2012;379:1893–901.
- Gautschi O, Pauli C, Strobel K, Hirschmann A, Printzen G, Aebi S, et al. A patient with *BRAF* V600E lung adenocarcinoma responding to vemurafenib. *J Thorac Oncol* 2012;7:e23–4.
- Chapman PB, Hauschild A, Robert C, Haanen JB, Ascierto P, Larkin J, et al. Improved survival with vemurafenib in melanoma with *BRAF* V600E mutation. *N Engl J Med* 2011;364:2507–16.
- Flaherty KT, Infante JR, Daud A, Gonzalez R, Kefford RF, Sosman J, et al. Combined *BRAF* and MEK inhibition in melanoma with *BRAF* V600 mutations. *N Engl J Med* 2012;367:1694–703.
- Flaherty KT, Robert C, Hersey P, Nathan P, Garbe C, Milhem M, et al. Improved survival with MEK inhibition in *BRAF*-mutated melanoma. *N Engl J Med* 2012;367:107–14.
- Davies H, Bignell GR, Cox C, Stephens P, Edkins S, Clegg S, et al. Mutations of the *BRAF* gene in human cancer. *Nature* 2002;417:949–54.
- Pratilas A, Hanrahan AJ, Halilovic E, Persaud Y, Soh J, Chitale D, et al. Genetic predictors of MEK dependence in non-small cell lung cancer. *Cancer Res* 2008;68:9375–83.
- Marchetti A, Felicioni L, Malatesta S, Grazia Sciarrotta M, Guetti L, Chella A, et al. Clinical features and outcome of patients with non-small-cell lung cancer harboring *BRAF* mutations. *J Clin Oncol* 2011;29:3574–9.

## Disclosure of Potential Conflicts of Interest

B.E. Johnson has ownership interest (including patents) in KEW Group, a company that provides genomic characterization and guidelines to private oncologists, and is a consultant/advisory board member of GlaxoSmithKline. P.A. Janne is a consultant/advisory board member of Roche, AstraZeneca, Genentech, and Pfizer. No potential conflicts of interest were disclosed by the other authors.

## Authors' Contributions

**Conception and design:** S. Cardarella, M. Nishino, B.E. Johnson, P.A. Janne  
**Development of methodology:** S. Cardarella, B.E. Johnson

**Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.):** S. Cardarella, A. Ogino, M. Nishino, M. Butaney, J. Shen, C. Lydon, L.M. Sholl, P.A. Janne

**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** S. Cardarella, A. Ogino, B.Y. Yeap, L.M. Sholl, B.E. Johnson, P.A. Janne

**Writing, review, and/or revision of the manuscript:** S. Cardarella, M. Nishino, J. Shen, B.Y. Yeap, L.M. Sholl, B.E. Johnson, P.A. Janne

**Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases):** S. Cardarella, M. Butaney, J. Shen, C. Lydon

**Study supervision:** S. Cardarella, P.A. Janne

## Grant Support

This work was funded in part by the Dana-Farber/Harvard Cancer Center Lung Cancer Specialized Program in Research Excellence (SPORE) P50 CA090578; the American Society of Clinical Oncology (ASCO) Conquer Cancer Foundation Translational Research Professorship (to B.E. Johnson); National Cancer Institute (NCI) grant 1K23CA157631-01A1 (to M. Nishino); the Nirenberg Fellowship (to A. Ogino); and the Alice and Stephen D. Cutler Investigator Fund in Thoracic Oncology at the Dana-Farber Cancer Institute (to S. Cardarella).

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

Received March 7, 2013; revised May 30, 2013; accepted June 23, 2013; published OnlineFirst July 5, 2013.

18. Paik PK, Arcila ME, Fara M, Sima CS, Miller VA, Kris MG, et al. Clinical characteristics of patients with lung adenocarcinomas harboring BRAF mutations. *J Clin Oncol* 2011;29:2046–51.
19. Wan PT, Garnett MJ, Roe SM, Lee S, Niculescu-Duvaz D, Good VM, et al. Mechanism of activation of the RAF–ERK signaling pathway by oncogenic mutations of B-RAF. *Cell* 2004;116:855–67.
20. Cardarella S, Ortiz TM, Joshi VA, Butaney M, Jackman DM, Kwiatkowski DJ, et al. The introduction of systematic genomic testing for patients with non–small-cell lung cancer. *J Thorac Oncol* 2012;7:1767–74.
21. Beasley MB, Brambilla E, Travis WD. The 2004 World Health Organization classification of lung tumors. *Semin Roentgenol* 2005;40:90–7.
22. Travis WD, Brambilla E, Noguchi M, Nicholson AG, Geisinger KR, Yatabe Y, et al. International association for the study of lung cancer/american thoracic society/european respiratory society international multidisciplinary classification of lung adenocarcinoma. *J Thorac Oncol* 2011;6:244–85.
23. Eisenhauer EA, Therasse P, Bogaerts J, Schwartz LH, Sargent D, Ford R, et al. New response evaluation criteria in solid tumours: revised RECIST guideline (version 1.1). *Eur J Cancer* 2009;45:228–47.
24. Li C, Fang R, Sun Y, Han X, Li F, Gao B, et al. Spectrum of oncogenic driver mutations in lung adenocarcinomas from East Asian never smokers. *PLoS ONE* 2011;6:e28204.
25. Rodig SJ, Mino-Kenudson M, Dacic S, Yeap BY, Shaw A, Barletta JA, et al. Unique clinicopathologic features characterize ALK-rearranged lung adenocarcinoma in the western population. *Clin Cancer Res* 2009;15:5216–23.
26. Sasaki T, Koivunen J, Ogino A, Yanagita M, Nikiforow S, Zheng W, et al. A novel ALK secondary mutation and EGFR signaling cause resistance to ALK kinase inhibitors. *Cancer Res* 2011;71:6051–60.
27. Greulich H, Chen TH, Feng W, Janne PA, Alvarez JV, Zappaterra M, et al. Oncogenic transformation by inhibitor-sensitive and -resistant EGFR mutants. *PLoS Med* 2005;2:e313.
28. Zhou W, Ercan D, Chen L, Yun CH, Li D, Capelletti M, et al. Novel mutant-selective EGFR kinase inhibitors against EGFR T790M. *Nature* 2009;462:1070–4.
29. Forbes SA, Bindal N, Bamford S, Cole C, Kok CY, Beare D, et al. COSMIC: mining complete cancer genomes in the Catalogue of Somatic Mutations in Cancer. *Nucleic Acids Res* 2011;39:D945–50.
30. Jones DT, Kocialkowski S, Liu L, Pearson DM, Ichimura K, Collins VP. Oncogenic RAF1 rearrangement and a novel BRAF mutation as alternatives to KIAA1549:BRAF fusion in activating the MAPK pathway in pilocytic astrocytoma. *Oncogene* 2009;28:2119–23.
31. Hou P, Liu D, Xing M. Functional characterization of the T1799-1801del and A1799-1816ins BRAF mutations in papillary thyroid cancer. *Cell Cycle* 2007;6:377–9.
32. Heidorn SJ, Milagre C, Whittaker S, Noury A, Niculescu-Duvaz I, Dhomen N, et al. Kinase-dead BRAF and oncogenic RAS cooperate to drive tumor progression through CRAF. *Cell* 2010;140:209–21.
33. Hammerman PS, Hayes DN, Wilkerson MD, Schultz N, Bose R, Chu A, et al. Comprehensive genomic characterization of squamous cell lung cancers. *Nature* 2012;489:519–25.
34. Brose MS, Volpe P, Feldman M, Kumar M, Rishi I, Gerrero R, et al. BRAF and RAS mutations in human lung cancer and melanoma. *Cancer Res* 2002;62:6997–7000.
35. Lee SY, Kim MJ, Jin G, Yoo SS, Park JY, Choi JE, et al. Somatic mutations in epidermal growth factor receptor signaling pathway genes in non–small cell lung cancers. *J Thorac Oncol* 2010;5:1734–40.
36. Sen B, Peng S, Tang X, Erickson HS, Galindo H, Mazumdar T, et al. Kinase-impaired BRAF mutations in lung cancer confer sensitivity to dasatinib. *Sci Transl Med* 2012;4:136ra70.
37. De Oliveira Duarte Achcar R, Nikiforova MN, Yousem SA. Micropapillary lung adenocarcinoma: EGFR, K-ras, and BRAF mutational profile. *Am J Clin Pathol* 2009;131:694–700.
38. Rudin CM, Hong K, Streit M. Molecular characterization of acquired resistance to the BRAF inhibitor dabrafenib in a patient with BRAF-mutant non–small-cell lung cancer. *J Thorac Oncol* 2013;8:e41–2.
39. Ji H, Wang Z, Perera SA, Li D, Liang MC, Zaghul S, et al. Mutations in BRAF and KRAS converge on activation of the mitogen-activated protein kinase pathway in lung cancer mouse models. *Cancer Res* 2007;67:4933–9.
40. Tsai J, Lee JT, Wang W, Zhang J, Cho H, Mamo S, et al. Discovery of a selective inhibitor of oncogenic B-Raf kinase with potent antimelanoma activity. *Proc Natl Acad Sci U S A* 2008;105:3041–6.
41. Yang H, Higgins B, Kolinsky K, Packman K, Go Z, Iyer R, et al. RG7204 (PLX4032), a selective BRAFV600E inhibitor, displays potent anti-tumor activity in preclinical melanoma models. *Cancer Res* 2010;70:5518–27.

# Clinical Cancer Research

## Clinical, Pathologic, and Biologic Features Associated with *BRAF* Mutations in Non–Small Cell Lung Cancer

Stephanie Cardarella, Atsuko Ogino, Mizuki Nishino, et al.

*Clin Cancer Res* 2013;19:4532-4540. Published OnlineFirst July 5, 2013.

**Updated version** Access the most recent version of this article at:  
[doi:10.1158/1078-0432.CCR-13-0657](https://doi.org/10.1158/1078-0432.CCR-13-0657)

**Supplementary Material** Access the most recent supplemental material at:  
<http://clincancerres.aacrjournals.org/content/suppl/2013/07/03/1078-0432.CCR-13-0657.DC1>

**Cited articles** This article cites 41 articles, 11 of which you can access for free at:  
<http://clincancerres.aacrjournals.org/content/19/16/4532.full#ref-list-1>

**Citing articles** This article has been cited by 17 HighWire-hosted articles. Access the articles at:  
<http://clincancerres.aacrjournals.org/content/19/16/4532.full#related-urls>

**E-mail alerts** [Sign up to receive free email-alerts](#) related to this article or journal.

**Reprints and Subscriptions** To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at [pubs@aacr.org](mailto:pubs@aacr.org).

**Permissions** To request permission to re-use all or part of this article, use this link  
<http://clincancerres.aacrjournals.org/content/19/16/4532>.  
Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.