Longitudinal Noninvasive Imaging of Progesterone Receptor as a Predictive Biomarker of Tumor Responsiveness to Estrogen Deprivation Therapy

Szeman Ruby Chan1, Amy M. Fowler2, Julie A. Allen1, Dong Zhou3, Carmen S. Dence3, Terry L. Sharp3, Nicole M. Fettig3, Farrokh Dehdashti3, and John A. Katzenellenbogen4

Abstract

Purpose: To investigate whether longitudinal functional PET imaging of mammary tumors using the radiopharmaceuticals [18F]FDG (to measure glucose uptake), [18F]FES [to measure estrogen receptor (ER) levels], or [18F]FFNP [to measure progesterone receptor (PgR) levels] is predictive of response to estrogen-deprivation therapy.

Experimental Design: [18F]FDG, [18F]FES, and [18F]FFNP uptake in endocrine-sensitive and -resistant mammary tumors was quantitated serially by PET before ovariectomy or estrogen withdrawal in mice, and on days 3 and 4 after estrogen-deprivation therapy. Specificity of [18F]FFNP uptake in ER+ mammary tumors was determined by competition assay using unlabeled ligands for PgR or glucocorticoid receptor (GR). PgR expression was also assayed by immunohistochemistry (IHC).

Results: The levels of [18F]FES and [18F]FDG tumor uptake remained unchanged in endocrine-sensitive tumors after estrogen-deprivation therapy compared with those at pretreatment. In contrast, estrogen-deprivation therapy led to a reduction in PgR expression and [18F]FFNP uptake in endocrine-sensitive tumors, but not in endocrine-resistant tumors, as early as 3 days after treatment; the changes in PgR levels were confirmed by IHC. Unlabeled PgR ligand R5020 but not GR ligand dexamethasone blocked [18F]FFNP tumor uptake, indicating that [18F]FFNP bound specifically to PgR. Therefore, a reduction in FFNP tumor to muscle ratio in mammary tumors predicts sensitivity to estrogen-deprivation therapy.

Conclusions: Monitoring the acute changes in Erα activity by measuring [18F]FFNP uptake in mammary tumors predicts tumor response to estrogen-deprivation therapy. Longitudinal noninvasive PET imaging using [18F]FFNP is a robust and effective approach to predict tumor responsiveness to endocrine treatment.

Introduction

Breast cancer is the second most deadly cancer for women in the United States. About 80% of all newly diagnosed breast cancers are classified as estrogen receptor-α+ (Erα+; ref. 1). Erα, together with progesterone receptor (PgR) and HER2, is part of the standardized clinicopathologic evaluation of breast cancer. Because they provide important information to guide treatment decisions, accurate and reproducible assessment of the levels of these biomarkers is critical. Erα, PgR, and HER2 are routinely assayed using immunohistochemistry (IHC). HER2 amplification is also frequently detected by fluorescence in situ hybridization. However, discordance rates ranging from 9% to 39% were observed for PgR, depending on the techniques used to obtain biopsy specimens (2). This discrepancy can be partly explained by tumor heterogeneity leading to sampling error during biopsy (3). Therefore, noninvasive functional imaging of the whole lesion using positron emission tomography (PET) may provide a more complete molecular characterization of the tumor in its native setting. In addition, PET imaging is also an effective approach to monitor advanced metastatic disease during antitumor therapy when repeated biopsies may not be possible. [18F]Fluorooestradiol ([18F]FES) and [18F]fluoro furanyl norprogesterone ([18F]FFNP) are well-validated noninvasive molecular imaging radiopharmaceuticals for Erα and PgR, respectively (4, 5). [18F]FES is an estradiol analog that binds to Erα with high affinity and selectivity (6). Differences in FES uptake in multiple tumor sites within the same patient have demonstrated heterogeneity of metastases and highlight the value of using functional PET imaging to monitor changes in tumor characteristics with disease progression (7). In addition, tumor FES uptake before endocrine treatment is correlated with subsequent clinical response to therapy (6–10). Finally, blockade of tumor FES uptake after the initiation of tamoxifen or fulvestrant treatment is indicative of the pharmacodynamic effectiveness of the dosing.
Translational Relevance

Although endocrine therapies are the standard-of-care for patients with estrogen receptor-alpa-positive (ER+) breast cancer, about half of the patients do not benefit from these treatments due to intrinsic or acquired resistance. Therefore, biomarkers that predict tumor responsiveness to endocrine therapies are highly valuable for identifying patients who will require alternative treatments. Noninvasive functional PET imaging offers a unique opportunity to serially follow the molecular changes in tumors in response to therapies. Using preclinical models of ER+ breast cancer, we demonstrated that a significant reduction in binding of [18F]FFNP to progesterone receptor predicts a positive tumor response to estrogen-deprivation therapy. In contrast, [18F]FES or [18F]FDG uptake by tumors remained unchanged, suggesting that ERT expression and glucose metabolism were not acutely affected by estrogen deprivation. Thus, measurement of ERT function by longitudinal FFNP-PET imaging provides a more robust biomarker for response to endocrine deprivation therapies than measurement of ERT level by FES-PET.

Materials and Methods

Cell cultures

Six to 8-week-old wild-type (WT) 129S6/SvEv mice and athymic nude-Foxn1nu mice were purchased from Taconic Farms and the National Cancer Institute, respectively, and maintained on an estrogen-free diet (Harlan Teklad). A total of 1.2 x 10⁶ of SSM2 or SSM3 breast tumor cells were transplanted into the right thoracic mammary fat pads of WT mice. Nude mice were ovariectomized, fed drinking water supplemented with 10 μg/ml 17β-estradiol for 7 days, and subsequently transplanted with 5 x 10⁶ MCF7 cells. Tumors were measured with calipers at two perpendicular diameters every 3 to 4 days and the average diameters were used for analyses. Tumor-bearing mice were ovariectomized when the SSM2 or SSM3 tumors measured about 5 mm in diameter. All animal experiments were carried out according to the guidelines of the American Association for Laboratory Animal Science under an approved protocol by the Animal Studies Committees and performed in AAALAC-accredited specific pathogen-free facilities at Washington University School of Medicine in St. Louis.

Radiopharmaceuticals, biodistribution assay, and small-animal μPET/CT

[18F]FDG was provided by the Cyclotron Facility at Washington University School of Medicine in St. Louis. [18F]FES and [18F]FFNP were synthesized using optimized methods as described previously (5, 14, 16, 17). The specific activities for both [18F]FES and [18F]FFNP averaged 7,700 ± 3,000 Ci/mmol (average ± SEM). Mice were injected intravenously with 11.1 MBq (300 μCi) of [18F]FDG, 5.55 MBq (150 μCi) of [18F]FES, or 1.11 MBq (30 μCi) of [18F]FFNP. These injected doses correspond to approximately 20 or 4 pmol for the [18F]FES and [18F]FFNP agents, respectively, and at a tumor uptake of 5% ID/g, the tumor concentrations would be 1 or 0.2 pmol/g, which is far below the receptor levels in the tumors (approximately 30 pmol/g; ref. 14).

To examine whether [18F]FFNP selectively binds to PgR, unlabeled ligand competitors were used to block [18F]FFNP uptake in the SSM3 tumors. Promegestone (R5020; PerkinElmer) has high affinity for PgR (relative binding affinity (RBA) = 100), but does not have any appreciable affinity for ERT (RBA = 0.21; ref. 19). The IC₅₀ of dexamethasone (MP Biomedia) has high affinity for GR (RBA = 100), but does not have any appreciable affinity for PgR (RBA = 0.21; ref. 19). The IC₅₀ of dexamethasone for GR in rat lung is 0.1 μmol/L, whereas the IC₅₀ of R5020 for PgR in sheep uterus is 0.05 μmol/L (19). Blocking doses were determined on the basis of the IC₅₀ of published results (20, 21). Dexamethasone
of [18F]FES (C) and [18F]FFNP (D) was determined in the harvested tissues 1 hour after FES or FFNP injection, respectively. % ID/g of tissue was analyzed.

Figure 1. **Response of the ERα−/− STAT1−/− mammmary tumors to estrogen-deprivation therapy, and in vivo tissue biodistribution of [18F]FES and [18F]FFNP, following therapy.** A and B, mice bearing transplanted SSM3 (A) or SSM2 (B) mammary tumors were sham-operated (solid circles, n = 5) or ovariectomized (OVX; open circles, n = 5) when tumors were measured around 4 mm in diameter. Tumor growth was followed for 35 days after the surgery. C and D, SSM3-bearing mice were sham-operated (Sham; solid circles, n = 5) or OVX for 2, 3, or 4 days (D2, D3, or D4, respectively; n = 5 per time point). The indicated organs were harvested. The biodistribution of [18F]FES (C) and [18F]FFNP (D) was determined in the harvested tissues 1 hour after FES or FFNP injection, respectively. % ID/g of tissue was analyzed.

\( P < 0.05; \quad ^{*} P < 0.001; \quad \text{ns, not significant.} \)

(0.5 μmol) or R5020 (0.055 μmol) was injected into SSM3-bearing mice immediately before the injection of [18F]FFNP. For the in vivo tissue biodistribution assays, organs were harvested at 1 hour after injection and the radioactivity was measured. The percentage of injected dose per gram (% ID/g) of tissue was analyzed. For small-animal PET/CT studies, tumors-bearing mice were imaged before surgery (either sham-operation or ovariectomy; day 0), and 3 and 4 days after surgery (days 3 and 4). Because glucose levels are known to affect [18F]FDG uptake, tumor-bearing mice were fasted overnight the day before FDG imaging. For the MCF7 tumor study, estradiol was withdrawn from drinking water for 6 days starting on day 0. PET and CT images were acquired and analyzed as described previously (14). Briefly, mice anesthetized with 1.5% to 2.0% isoflurane were scanned in the supine position in a small-animal PET/CT scanner (Inveon and Focus-220; Siemens Preclinical Solutions) 1 hour following the injection of radiotracer. Images were analyzed using Inveon Research Workplace 3.0. μPET and CT images are coregistered by visual alignment in all three planes (transverse, sagittal, and coronal). Regions of interest were manually drawn around the nonnecrotic areas of the tumor on the coregistered μPET/CT images. Activity in triceps muscle was used as nontarget tissue uptake. Activity measurements (Bq/cm^3) were divided by the decay-corrected injected dose (Bq) and multiplied by 100 to obtain a tissue uptake index expressed as % ID/g of tissue. Tumor to muscle (T:M) ratio was calculated as the ratio of % ID/g of tumor to that of muscle.

Immunohistochemistry

Four-micrometer sections of formalin-fixed paraffin-embedded mammary tumors were deparaffinized, rehydrated, heated in citrate buffer (pH 6), and stained using antibodies against PgR (Dako; A0089; 1:100). Positive signal was developed according to instructions from the EnVision HRP System (Dako) or MACH 4 (Biocare) followed by DAB chromogen.

Statistical analyses

All numerical results are presented as mean ± standard error of mean (SEM). The unpaired t test was used to determine the statistical significance between control and experimental groups whereas the paired t test was implemented to compare across different time points within the same group of animals. All tests are two-sided and a P value ≤ 0.05 was considered significant.

Results

**Kinetics of [18F]FES and [18F]FFNP uptake in endocrine-sensitive mammary tumors following ovarian ablation.**

A preclinical model of ERα−/− breast cancer that faithfully recapitulates the pathophysiologic and developmental characteristics of human ERα−/− breast cancer was used in this study (15, 22). One of the advantages of this preclinical model is the availability of paired ERα−/− PgR− endocrine-sensitive SSM3 and endocrine-resistant SSM2 mammary tumor cell lines, as potential imaging biomarkers can be examined and compared in the two tumor systems (refs. 14, 15; Fig. 1A and 1B). Ovariectomy (OVX) was
carried out as the treatment strategy to eliminate estradiol production by the ovaries (Fig. 1A and 1B). Because the contribution of extragonadal aromatase activity in the mouse is negligible due to the lack of extragonadal-specific promoters in the mouse aromatase gene loci (23), OVX mirrors ovarian suppression plus aromatase inhibition [AI] for premenopausal women or AI alone for postmenopausal women. Using this treatment protocol, we observed that the growth of the SSM3 mammary tumors was blunted by estrogen-deprivation therapy (Fig. 1A). In contrast, progression of the SMM2 mammary tumors remained unaffected (Fig. 1B).

We first sought to determine whether an acute reduction in $^{[18F]}$FFNP uptake could be observed in hormone-dependent SSM3 mammary tumors after estrogen-deprivation therapy. A tissue biodistribution study was carried out on sham-operated SSM3-bearing mice (Sham) and on tumor-bearing mice that were ovariectomized for 2, 3, or 4 days (D2, D3 or D4, respectively; Fig. 1C). Tumor, uterus, muscle, and blood were harvested from these mice, and radioactivity was measured in the indicated organs. $^{[18F]}$FES uptake in the SSM3 tumors did not change significantly after ovarian ablation (Fig. 1C). Interestingly, $^{[18F]}$FES uptake in the uterus was transiently reduced on day 2 after OVX and returned to basal levels on days 3 and 4 after OVX, mimicking a short-term transient regulation of uterine ER$\beta$ by E2 previously noted by others (24).

In contrast with FES-PET, $^{[18F]}$FFNP uptake in the SSM3 tumors was reduced gradually over the course of 4 days following OVX (Fig. 1D). By day 4 after OVX, tumor FFNP uptake was decreased by 40% compared with that in the tumors of sham-operated mice ($P = 0.01$). Taken together, these results indicate that $^{[18F]}$FFNP uptake, but not $^{[18F]}$FES uptake, in tumors is decreased acutely by depletion of ovarian hormones.

$^{[18F]}$FFNP uptake in SSM3 tumors is specific to PgR.

Because $^{[18F]}$FFNP binds the glucocorticoid receptor (GR) with modest affinity (18), we examined whether $^{[18F]}$FFNP uptake in the SSM3 tumors was specific to PgR. The unlabeled forms of the PgR-selective ligand R5020 or GR-selective ligand dexamethasone were used to compete with the $^{[18F]}$FFNP binding following OVX by biodistribution, we next evaluated the feasibility of noninvasive functional imaging using $^{[18F]}$FFNP in following the functional changes of ER$\alpha$ signaling in breast tumor cells over the course of estrogen deprivation. SSM3 tumor-bearing mice were assigned to either sham-operation or OVX groups to standardize tumor size (Fig. 3). Longitudinal $^{[18F]}$FFNP-$\mu$PET/CT imaging was performed on the two cohorts of mice before surgery (day 0), and 3 and 4 days after surgery; these time intervals were chosen based on the kinetic study presented in Fig. 1D. Tumor to muscle (T:M) ratio of $^{[18F]}$FFNP uptake did not differ significantly between sham and OVX groups on day 0 before the surgery (Fig. 3A). However, T:M ratios in the OVX mice were significantly lower than those in the sham mice on days 3 and 4 after the surgery ($P = 0.04$ and $P = 0.0005$, respectively; Fig. 3A and 3B). Consistent with the biodistribution data presented in Fig. 1D, the T:M ratio in the OVX group was steadily decreased by 15% from the basal level on day 0 to day 3 after OVX ($P = 0.01$), and by another 23% from day 3 to day 4 after OVX ($P = 0.009$). The overall reduction in T:M ratio was 35% on day 4 after OVX, compared with the baseline measurement on day 0 ($P = 0.0002$).

Results obtained from IHC also indicated that the number of PgR$^+$ tumor cells in OVX SSM3 mammary tumors was significantly diminished compared with the SSM3 tumors in sham-operated mice (Fig. 3C). Importantly, tumor size in sham and OVX mice, as measured by caliper, did not differ on either days 3 or 4 post-surgery, indicating that the acute reduction in PgR expression in the OVX tumors occurs before a decrease in tumor size can be detected (Fig. 3D). Taken together, these data clearly demonstrate that a reduction in PgR expression in endocrine-responsive mammary tumor cells following downregulation of ER$\alpha$ signaling can be measured serially and noninvasively using $^{[18F]}$FFNP.

Longitudinal FFNP-$\mu$PET/CT imaging of endocrine-resistant mammary tumors.

Because the ER$\alpha^+$ PgR$^-$ mammary tumor cell line SSM2 is nonresponsive to ovarian hormone-depletion (Fig. 1B), we hypothesized that PgR expression and thus $^{[18F]}$FFNP uptake would not be affected acutely by OVX. Indeed, FFNP T:M ratios of sham and OVX mice were not significantly different on days 0, 3, and 4 after surgery (Fig. 4A and 4B). In addition, there was also no significant change in the T:M ratios in the OVX tumors as a group when the same tumors were monitored longitudinally from day 0 to days 3 or 4. Results from IHC analysis also indicated that PgR expression remained elevated in the OVX SSM2 tumors compared with sham SSM2 tumors, confirming the FFNP-$\mu$PET imaging findings (Fig. 4C). No significant difference in tumor sizes was observed between sham and OVX groups (Fig. 4D). Together, acute reduction in tumor $^{[18F]}$FFNP uptake and PgR...
expression is associated with downregulation of ERα signaling in endocrine-sensitive tumor (SSM3), whereas the lack of reduction in FFNP uptake and PgR expression is associated with endocrine-resistant tumor (SSM2).

Longitudinal FDG-μPET/CT imaging of endocrine-sensitive and -resistant mammary tumors

[18F]FDG is the most frequently used radiopharmaceutical to evaluate clinical response to therapies. We next examined whether FDG-PET would be suitable to monitor tumor response in the preclinical neoadjuvant setting of estrogen-deprivation therapy. As shown in Fig. 5A, we did not observe any changes in [18F]FDG uptake in the OVX-resistant SSM2 tumors following OVX. The T:M ratio of [18F]FDG uptake remained unchanged on days 3 and 4 after OVX compared with the basal level before OVX. There was also no statistically significant difference in the FDG T:M ratio between sham and OVX tumors at all three time points.

In contrast with the SSM2 tumors, as SSM3 tumor growth was blunted by OVX, we expected to observe a decrease in [18F]FDG uptake in the SSM3 tumors following OVX. To our surprise, the T:M ratio of [18F]FDG uptake was indistinguishable between the sham and OVX groups at all the time-points examined (Fig. 5B). There was also no difference in the T:M ratio between days 0, 3, and 4 in the OVX cohort. Within this time frame examined, the SSM3 tumors did not show significant tumor progression, as measured by caliper (Fig. 3D). Therefore, levels of [18F]FDG uptake remained unchanged in both endocrine-sensitive and -resistant mammary tumors.

Longitudinal FFNP-μPET/CT imaging of endocrine-sensitive MCF7 mammary tumors

To extend and validate our findings, we tested whether the endocrine-sensitive ERαþ MCF7 human breast tumors displayed a similar FFNP binding profile following estrogen depletion. Because the MCF7 xenograft tumors grew at a slower pace than the SSM3 tumors, we monitored [18F]FFNP uptake after 6 days of estrogen withdrawal (Fig. 6; /C0 E2 group). Consistent with the SSM3 results, [18F]FFNP uptake was significantly reduced in the absence of estrogen on day 6 compared with the baseline levels on day 0 (Fig. 6; P = 0.0003). [18F]FFNP uptake was also lower in the estrogen-depleted group (-E2) compared with the estrogen-supplemented group (+E2) on day 6 (P = 0.0008). Taken together, rapid changes in [18F]FFNP uptake following estrogen deprivation were also observed in the prototypical ERαþ MCF7 breast cancer xenograft model.

Discussion

In this study, we demonstrated that serial FFNP-PET imaging of ERαþ mammary tumors before estrogen-deprivation therapy and shortly after treatment was an effective approach to inform treatment response. A significant drop in [18F]FFNP uptake levels in tumors posttreatment compared to pretreatment level predicted a positive response to estrogen-deprivation therapy. In
contrast, a lack of reduction in $[^{18}F]$FFNP uptake in tumors predicted resistance to therapy. As $[^{18}F]$FFNP binds PgR with high affinity and selectivity, a decrease in $[^{18}F]$FFNP binding in endocrine-sensitive tumors reflects a reduction in PgR expression level after treatment, as confirmed by immunohistochemical analysis. Since PgR is a direct target of ERx signaling, PgR expression and thus $[^{18}F]$FFNP tumor uptake are surrogate markers of the activation state of ERx signaling in tumor cells. These findings are consistent with clinical data that show a strong correlation between downregulation of ERx-regulated gene expression and inhibition of tumor cell proliferation by aromatase inhibition (25). Because a decrease in tumor cell proliferation has been shown to be a good prognostic marker for endocrine therapies (26–28), our preclinical study is a proof-of-principle to further support that monitoring the biologic and molecular consequences of ERx signaling is highly informative in predicting therapeutic response.

Our findings also indicated that FFNP-PET was more sensitive than FES-PET in monitoring the physiologic response of ERx signaling blockade. A reduction in $[^{18}F]$FFNP binding in the endocrine-sensitive SSM3 tumors was observed as early as 3 days after the initiation of estrogen-deprivation therapy. This drop in $[^{18}F]$FFNP uptake in the tumors continued on day 4 after OVX. Although it is possible that the levels of $[^{18}F]$FES uptake in endocrine-sensitive mammary tumors might change at later time points following OVX, a delay in identifying endocrine-resistant patients could potentially lead to a delay in the initiation of alternative effective treatments. In addition, monitoring a decrease in ER levels by uptake of $[^{18}F]$FES in response to estrogen deprivation is not a direct measure of ERx function in the same way as is the measure of decreasing levels of PgR by $[^{18}F]$FFNP.

FFNP-PET is also an early, more robust biomarker than changes in tumor size or FDG-PET in predicting endocrine response. Our previous study demonstrated that a change in $[^{18}F]$FFNP uptake in the SSM3 tumors was detected 1 week after fulvestrant therapy (14). Together with the current findings, these results suggest that a decrease in $[^{18}F]$FFNP tumor uptake precedes that in $[^{18}F]$FDG tumor uptake. Therefore, monitoring acute changes downstream of ERx signaling provides a more rapid assessment than measuring glucose metabolism in the tumors following estrogen-deprivation therapy.

In contrast with our current study, FDG-PET was demonstrated to be effective in predicting therapeutic response of the partial agonist tamoxifen or of low-dose estradiol therapy (9, 10, 29). We have previously demonstrated that tamoxifen or low-dose estradiol causes metabolic flare in tumors such that an increase in tumor glucose metabolism and $[^{18}F]$FDG uptake 24 hours after estradiol administration is associated with a positive therapeutic response (9, 10, 29). It will be interesting to test whether FFNP-PET can also be used as an imaging biomarker in these settings where metabolic flare is observed, and whether it provides a signal that is more rapid and robust than that of FDG-PET, as it is shown in this current study with estrogen deprivation.

Quantification of tumor cell proliferation by IHC analysis of Ki67 antigen has also been proven to be an effective biomarker in day 4 following surgery. D, mean tumor diameter of SSM2 tumors before surgery (day 0) and after surgery (day 3). No significant difference in tumor size was observed between the two cohorts of mice. Representative results of two independent studies are shown.

Figure 4.

Longitudinal $[^{18}F]$FFNP μPET/CT imaging of SSM2 tumor-bearing mice. A, mice bearing SSM2 tumors were scanned 1 hour after $[^{18}F]$FFNP injection. % ID/g of tumor and muscle were calculated. Ratio of % ID/g in tumor to % ID/g in muscle [i.e., tumor to muscle ratio (T/M)] from Sham (n = 6) and OVX mice before surgery (day 0) and on days 3 and 4 after surgery (n = 6) were graphed. ns, not significant. B, 3D maximum intensity projection (MIP) from coregistered μPET and CT images of representative mice bearing SSM2 mammary tumors. Serial images from the same mouse in each group are shown. Arrows, tumors. C, representative PgR IHC images of SSM2 tumors on...
predicting outcome of endocrine therapies (26). Inhibition of tumor cell proliferation by antiestrogens or estrogen deprivation is closely correlated with better prognosis (28, 30). Because there is a strong concordance between Ki67 proliferation index and [18F]FLT uptake in breast cancers (31), future studies assessing the effectiveness of FLT-PET as an imaging biomarker for endocrine treatments will be needed.

In conclusion, we demonstrated that serial FFNP-PET imaging was more effective than FDG-PET and FES-PET in predicting response to estrogen-deprivation therapy in preclinical models of ERα+ breast cancer. In the context of our present study with estrogen-deprivation therapy and previous results with fulvestrant, one may extrapolate this biomarker approach to additional endocrine interventions, such as toremifene, which is also antagonistic in the breast. Measurement of acute changes in PgR expression by noninvasive FFNP-PET may be beneficial in a neoadjuvant setting so that a more aggressive treatment course can be implemented after surgery if the primary tumor is predicted to be endocrine-nonresponsive.

Disclosure of Potential Conflicts of Interest
No potential conflicts of interest were disclosed.

Authors’ Contributions
Conception and design: S.R. Chan, A.M. Fowler, C.S. Dence, J.A. Katzenellenbogen
Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.): S.R. Chan, A.M. Fowler, J.A. Allen, D. Zhou, C.S. Dence, T.L. Sharp, N.M. Fettig
Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): S.R. Chan, A.M. Fowler, T.L. Sharp, N.M. Fettig, J.A. Katzenellenbogen
Writing, review, and/or revision of the manuscript: S.R. Chan, A.M. Fowler, C.S. Dence, T.L. Sharp, N.M. Fettig, F. Dehdashti, J.A. Katzenellenbogen
Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases) 1070
Study supervision: S.R. Chan, A.M. Fowler, N.M. Fgett

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References

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