

# A Novel Crizotinib-Resistant Solvent-Front Mutation Responsive to Cabozantinib Therapy in a Patient with *ROS1*-Rearranged Lung Cancer

Alexander Drilon<sup>1,2</sup>, Romel Somwar<sup>3</sup>, Jacob P. Wagner<sup>4</sup>, Nadeem A. Vellore<sup>5</sup>, Christopher A. Eide<sup>6,7</sup>, Matthew S. Zabriskie<sup>5</sup>, Maria E. Arcila<sup>8</sup>, Jaclyn F. Hechtman<sup>8</sup>, Lu Wang<sup>8</sup>, Roger S. Smith<sup>3</sup>, Mark G. Kris<sup>1,2</sup>, Gregory J. Riely<sup>1,2</sup>, Brian J. Druker<sup>6,7</sup>, Thomas O'Hare<sup>5</sup>, Marc Ladanyi<sup>3,8</sup>, and Monika A. Davare<sup>4</sup>

## Abstract

**Purpose:** Rearranged *ROS1* is a crizotinib-sensitive oncogenic driver in lung cancer. The development of acquired resistance, however, poses a serious clinical challenge. Consequently, experimental and clinical validation of resistance mechanisms and potential second-line therapies is essential.

**Experimental Design:** We report the discovery of a novel, solvent-front *ROS1*<sup>D2033N</sup> mutation in a patient with CD74-*ROS1*-rearranged lung adenocarcinoma and acquired resistance to crizotinib. Crizotinib resistance of CD74-*ROS1*<sup>D2033N</sup> was functionally evaluated using cell-based assays and structural modeling.

**Results:** In biochemical and cell-based assays, the CD74-*ROS1*<sup>D2033N</sup> mutant demonstrated significantly decreased sensi-

tivity to crizotinib. Molecular dynamics simulation revealed compromised crizotinib binding due to drastic changes in the electrostatic interaction between the D2033 residue and crizotinib and reorientation of neighboring residues. In contrast, cabozantinib binding was unaffected by the D2033N substitution, and inhibitory potency against the mutant was retained. Notably, cabozantinib treatment resulted in a rapid clinical and near-complete radiographic response in this patient.

**Conclusions:** These results provide the first example of successful therapeutic intervention with targeted therapy to overcome crizotinib resistance in a *ROS1*-rearranged cancer. *Clin Cancer Res*; 22(10); 2351-8. ©2015 AACR.

## Introduction

Chromosomal rearrangements of the receptor tyrosine kinase *ROS1* are oncogenic drivers in multiple malignancies (1). Fusion of the intact *ROS1* tyrosine kinase domain with

various gene partners results in constitutive activation of downstream pathways responsible for tumor growth and proliferation. In lung adenocarcinomas, *ROS1* rearrangements comprise a distinct molecular subset of tumors present in 1% to 2% of patients. *CD74-ROS1* is the most common fusion in this context (2-4).

*ROS1*-rearranged lung cancers are highly sensitive to treatment with the *ROS1*/ALK tyrosine kinase inhibitor (TKI) crizotinib (5), with a response rate of 72% and a median progression-free survival of 19 months based on phase 1 expansion cohort data (6). Consistent with the experience with crizotinib in the treatment of advanced *ALK*-rearranged lung cancers, acquired resistance has also begun to emerge in patients harboring *ROS1* fusions (7), although the scope of such resistance mechanisms in this setting remain unknown. Second-generation *ROS1* inhibitors are in clinical development and may provide viable treatment options for patients with resistance to crizotinib, but clinical response to these agents has not been published to date.

We report the identification of a novel *ROS1* solvent-front mutation in a patient with a *CD74-ROS1*-rearranged lung adenocarcinoma who developed acquired resistance to crizotinib. Treatment with cabozantinib, an FDA-approved TKI with activity against *ROS1* (8), resulted in rapid clinical and radiographic responses, providing the first example of overcoming crizotinib resistance with oral targeted therapy in a patient with a *ROS1*-rearranged malignancy. Furthermore, we provide functional validation of and structural insight into the mechanism of resistance to crizotinib and the efficacy of cabozantinib.

<sup>1</sup>Thoracic Oncology Service, Division of Solid Tumor Oncology, Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, New York. <sup>2</sup>Weill Cornell Medical College, New York, New York. <sup>3</sup>Human Oncology and Pathogenesis Program, Memorial Sloan Kettering Cancer Center, New York, New York. <sup>4</sup>Knight Cancer Institute and Department of Pediatrics, Oregon Health & Science University, Portland, Oregon. <sup>5</sup>Huntsman Cancer Institute and Division of Hematology and Hematologic Malignancies, The University of Utah, Salt Lake City, Utah. <sup>6</sup>Knight Cancer Institute and Division of Hematology and Medical Oncology, Oregon Health & Science University, Portland, Oregon. <sup>7</sup>Howard Hughes Medical Institute, Portland, Oregon. <sup>8</sup>Department of Pathology, Memorial Sloan Kettering Cancer Center, New York, New York.

**Note:** Supplementary data for this article are available at Clinical Cancer Research Online (<http://clincancerres.aacrjournals.org/>).

**Corresponding Authors:** Alexander Drilon, Thoracic Oncology Service, Division of Solid Tumor Oncology, Department of Medicine, Memorial Sloan Kettering Cancer Center, 300 E 66th St. BAIC 1253, New York, NY 10065. Phone: 646-888-4206; Fax: 646-888-4263; E-mail: drilon@mskcc.org; and Monika A. Davare, Knight Cancer Institute & Department of Pediatrics, Oregon Health & Science University, 3181 SW Sam Jackson Park Road, Mailcode L592/BRB553, Portland, OR 97239. Phone: 503-494-3431; Fax: 503-494-3688; E-mail: davarem@ohsu.edu

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### Translational Relevance

The emergence of resistance to targeted therapy is a recurrent clinical challenge and requires development and validation of secondary agents with improved activity. Accompanied by experimental cell-based and structural validation, this report of a near-complete response to cabozantinib in a *ROS1*-rearranged lung adenocarcinoma patient with acquired resistance to crizotinib mediated by a novel CD74-*ROS1*<sup>D2033N</sup> solvent-front mutation provides the first clinical example of crizotinib resistance overcome by targeted therapy in a *ROS1*-rearranged malignancy.

## Materials and Methods

### Molecular profiling and next-generation sequencing

Initial screening for a *ROS1* fusion was performed via a dual-probe FISH break-apart test. On the basis of an upper level of split signals for break-apart probes (5' green probe and 3' red probe flanking the *ROS1* kinase domain) on normal formalin-fixed paraffin-embedded tissue sections of approximately 5  $\mu$ m, the cutoff for scoring the *ROS1* FISH assay as positive for the presence of a rearrangement was set at 12% of cells with split signals or isolated 3' signals. Broad, hybrid-capture next-generation sequencing was performed using the MSK-IMPACT (Integrated Mutational Profiling of Actionable Cancer Targets) Illumina HiSeq 2500 platform (9). A total of 341 cancer-related genes were interrogated, capturing base substitutions, small indels, copy number alterations, and select rearrangements. To detect somatic structural aberrations, a framework was developed that first aligns raw reads to the reference human genome (hg19) using the Burrows-Wheeler Alignment tool. Duplicates are then filtered using the Picard-tools java package (samtools) and searched for candidate structural rearrangements using DELLY. All candidate somatic structural aberrations were filtered, annotated using in-house tools, and manually reviewed using the Integrative Genomics Viewer (IGV).

### Cabozantinib administration

The patient received cabozantinib at a dose of 60 mg daily in 28-day cycles as part of an ongoing phase II clinical trial (NCT01639508) with an arm for *ROS1*-rearranged lung cancers. Inclusion criteria for patients in this trial were as follows: pathologic or cytologic evidence of non-small cell lung cancer (NSCLC), clinical stage IV or recurrent/medically inoperable disease, a Karnofsky performance status of more than 70%, a life expectancy of more than 12 weeks, adequate hematologic, renal, and hepatic function, and measurable disease. Informed consent was obtained after the nature and possible consequences of the studies were explained. Treatment was discontinued in the event of disease progression, unacceptable toxicity, or patient withdrawal. Dose reductions were permitted as per a prescribed algorithm. Response was assessed using the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 (10). Imaging was performed at baseline, 4 weeks, and every 8 weeks thereafter. In addition, scans to confirm response were performed as per RECIST. The primary endpoint of the trial was objective response. Secondary endpoints included progression-free survival and overall survival.

### Cell culture

Parental Ba/F3 cells (ATCC) were cultured in complete medium [RPMI1640 with 10% (v/v) FBS, L-glutamine, penicillin/streptomycin] supplemented with 15% (vol/vol) WEHI-3-conditioned media as a source of IL-3. CD74-*ROS1*<sup>D2033N</sup> was generated using site-directed mutagenesis by following manufacturer's protocol (Agilent). Ba/F3 cells were maintained at densities of  $0.5\text{--}1.5 \times 10^6$  cells/mL and infected with retrovirus encoding native or mutant versions of human CD74-*ROS1*. GFP-based selection of transduced cells was performed with a FACSaria cell sorter (BD Biosciences). Stable cell lines were washed with complete medium to remove IL3. Cells that grew out after IL3 withdrawal were maintained in complete medium and used for *in vitro* assays.

### Apoptosis measurement

Ba/F3 cells expressing native CD74-*ROS1* or CD74-*ROS1*<sup>D2033N</sup> were treated with 1, 10, and 100 nmol/L crizotinib or cabozantinib for 72 hours. The Guava Nexin Assay Kit (EMD/Millipore) was used to detect apoptosis according to the manufacturer's protocol. Annexin V-positive cells were counted using a Guava easyCyte flow cytometer (Millipore).

### Cell growth/viability assays

Inhibitors were prepared as 1 mmol/L stocks in DMSO prior to each experiment. Inhibitors were distributed at 2 $\times$  concentration using a D300 Digital Dispenser (Hewlett-Packard) capable of accurately administering very small volumes (10  $\mu$ L–150  $\mu$ L) into 384-well plates preloaded with 25  $\mu$ L/well of complete medium. Ba/F3 cells expressing CD74-*ROS1* constructs were seeded (800 cells/well; 25  $\mu$ L) into drug plates using a Multidrop Combi Reagent Dispenser (Thermo Scientific), and plates were incubated for 72 hours at 37°C, 5% CO<sub>2</sub>. Viability was measured using a methanethiosulfonate (MTS)-based assay (CellTiter96 Aqueous One Solution; Promega) read on a Biotek Synergy 2 plate reader. Proliferation experiments were performed three independent times in triplicate. Data were normalized using Microsoft Excel, and 50% and 90% growth inhibitory concentration (IC<sub>50</sub> and IC<sub>90</sub>) values were calculated with GraphPad Prism software using a non-linear curve fit equation modified using previously described parameters (11).

### Immunoblot analysis

Ba/F3 CD74-*ROS1* and CD74-*ROS1*<sup>D2033N</sup> cells were treated with the indicated concentrations of inhibitors for 2 hours, pelleted, washed once in ice-cold PBS, and lysed in 200  $\mu$ L of cell lysis buffer (Cell Signaling Technology) that was supplemented with 0.25% deoxycholate, 0.05% SDS, and protease and phosphatase inhibitors. Equal amounts of protein were extracted with SDS sample buffer for 15 minutes at 80°C and resolved on 4%–15% Tris-glycine or 4%–12% Bis-Tris precast gels (Criterion; Bio-Rad). Proteins transferred to Immobilon-FL membranes (Millipore) were probed with: phospho-*ROS1* [Cell Signaling Technology (CST); 3078, 1:1,000], total *ROS1* (CST; 3266, 1:1,000), phospho-ERK1/2 (CST; 9101, 1:1,000), total ERK2 (Santa Cruz Biotechnology; sc-1647, 1:2000), phospho-AKT (CST; 4060, 1:1000), AKT (BD Transduction Laboratories; 610860, 1:1,000), pSHP2 (CST; 3703), pSTAT3 (CST, 9131), and GAPDH (Ambion; AM4300, 1:5,000). Blots were imaged using either a LI-COR Odyssey imaging system or the Bio-Rad

ChemiDoc imaging station according to the manufacturer's protocol for immunoblot detection with use of Infrared dye or horseradish peroxidase-conjugated secondary antibodies, respectively.

### Molecular models of native ROS1 and ROS1<sup>D2033N</sup>

The crystal structure of the active conformation of the ROS1 kinase domain in complex with crizotinib was used for structural studies (PDB entry 3ZBF; ref. 7); however, missing residues in the P-loop and A-loop were modeled using Schrödinger Suite (version 3.1; Schrödinger, LLC) and hydrogen atoms were added. The ROS1<sup>D2033N</sup> structure was generated using the native ROS1 crystal structure, by single amino acid substitution. In the absence of a crystal structure for the inactive conformation of ROS1 kinase, a homology-based model was generated. Using the Prime module of Schrödinger's package, a knowledge-based model was built for both native ROS1 and ROS1<sup>D2033N</sup>. The crystal structure of ALK (PDB entry 4FNY; ref. 12) in the inactive state was used as a structural template (sequence homology ~64%) to build inactive ROS1. All four systems (ROS1 and ROS1<sup>D2033N</sup> in both the active and inactive states) were solvated using a pre-equilibrated TIP3P water-box (13), maintaining a distance of 20 Å from any protein atom to the edge of the box. Compatible sodium and chloride ions were added to neutralize the simulated systems (14). The final orthorhombic box contained a total of 68,758–72,236 atoms.

### Ensemble docking

Ensemble docking was performed using the Glide program of Schrödinger's package (Suite 2012: Maestro, version 9.3). Five-hundred conformations were extracted from each system simulated (one conformation for every nanosecond) and a docking grid for the receptor was generated using the binding site residues

(L1951, A1978, K1980, E1997, M2001, L2028, G2032, L2086, and D2102). Ligands (crizotinib and cabozantinib) were prepared using the Ligprep module of the Schrödinger's package (version 3), docked using the GlideXP method (Glide version 5.8; Schrödinger, LLC), and analyzed for binding interactions (15). The most favorable docking score computed using the ensemble docking was reported.

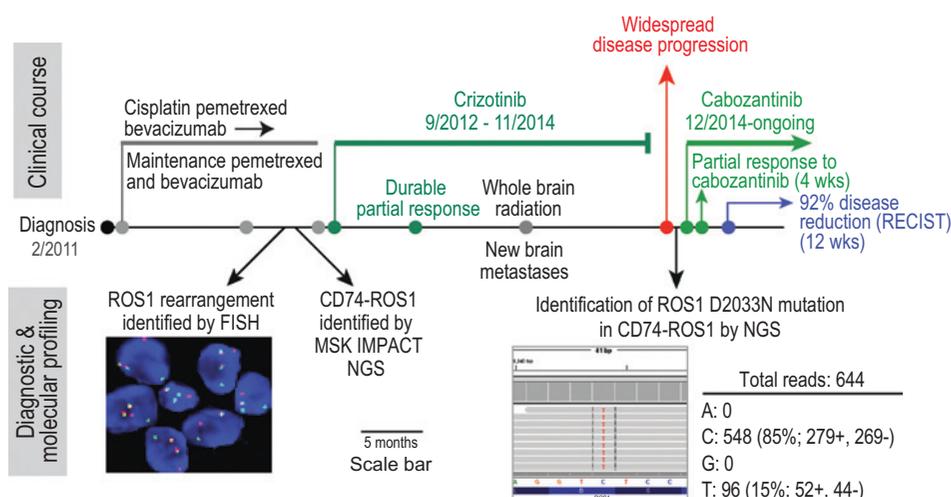
### Molecular dynamics simulations

Molecular dynamics (MD) simulations were performed using the Amber ff12SB force field (16) in the NAMD simulation software (17). All hydrogen atoms were restrained using the SHAKE algorithm (18). Periodic boundary conditions with particle mesh Ewald (PME) summation were employed to handle the long-range electrostatic interactions (real-space truncation at 9.0 Å and grid spacing of 1.0 Å; ref. 19). Temperature and pressure were controlled at 300 K and 1 atm using the Nose'-Hoover Langevin piston algorithm (20) and Langevin dynamics (21), respectively. All four systems were simulated for 500 ns and coordinates were saved every 10 ps for further conformational analysis. The CPPTRAJ software of the AmberTools suite was used for postprocessing of the MD-generated trajectories (22). Electrostatic potential surface representation of native ROS1 and ROS1<sup>D2033N</sup> was generated using Adaptive Poisson-Boltzmann Software (23).

## Results

### Discovery of the ROS1 D2033N mutation and response to cabozantinib in the setting of acquired resistance to crizotinib

A 50-year-old female never smoker with metastatic lung adenocarcinoma involving the pleura received three cycles of first-line systemic therapy with cisplatin, pemetrexed, and bevacizumab (Fig. 1; Supplementary Table S1). Rearrangement



**Figure 1.**

A patient with *CD74-ROS1*-rearranged lung adenocarcinoma and acquired resistance to crizotinib mediated by a novel *CD74-ROS1*<sup>D2033N</sup> mutation responds to therapy with cabozantinib. The patient's clinical course and treatment history are depicted chronologically moving left to right. Rearranged *ROS1* was initially detected via break-apart FISH assay. Split green (5' probe) and red (3' probe) signals indicate the presence of a *ROS1* fusion, subsequently identified as *CD74-ROS1*. Broad, hybrid-capture NGS of pre- and post-crizotinib tumor detected an acquired c.6097G>A (D2033N) mutation within the *ROS1* kinase domain at the time of disease progression. A partial response to cabozantinib (RECIST v1.1) was achieved and confirmed at 8 weeks. This was accompanied by a clinical response to therapy (substantial improvement in cough and abdominal discomfort) noted within a few days of cabozantinib initiation. At 12 weeks, a near-complete response was noted with a 92% reduction in disease burden.

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of *ROS1* was detected via FISH and confirmed by sequencing using MSK-IMPACT, a validated broad, hybrid-capture next-generation sequencing (NGS) test (9), as an in-frame fusion of *CD74* (exons 1-6) with *ROS1* (exons 34-42) in the diagnostic biopsy sample. The patient was treated with crizotinib (250 mg twice daily), achieving a durable partial response (64% reduction in disease burden via RECIST v1.1; ref. 10). At 18 months, she underwent whole brain radiation for new brain metastases. Disease control outside the brain was maintained on crizotinib.

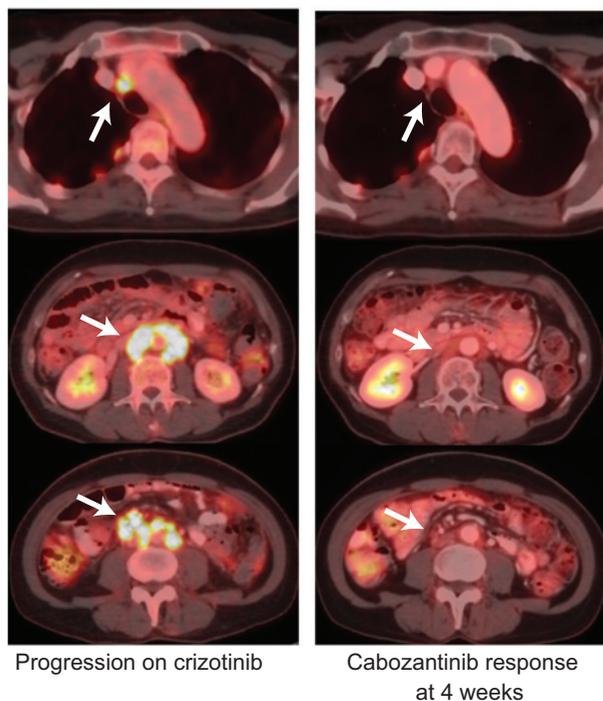
After a total of 26 months on crizotinib, the patient developed widespread disease progression. Computed and positron emission tomography (CT/PET) identified new bilateral pulmonary nodules, mediastinal and retroperitoneal adenopathy, and peritoneal carcinomatosis (Fig. 2, left). To identify molecular mechanism(s) of crizotinib resistance, we analyzed a biopsy from a growing retroperitoneal lymph node after progression on crizotinib using NGS that confirmed persistent expression of the *CD74-ROS1* rearrangement (Fig. 1 and Supplementary Fig. S1A). This deep sequencing also revealed the acquisition of a novel mutation, *ROS1* D2033N (c.6097G>A), that resides within the *ROS1* kinase domain (Fig. 1; Supplementary Fig. S1B) and was not detected in the pre-crizotinib diagnostic sample from this patient (Supplementary Fig. S1A).

Of 644 sequencing reads over that specific region of *ROS1* that were at 663X depth, the variant frequency of c.6097G>A was 14% in the crizotinib-resistant tumor specimen and

undetectable the matched normal peripheral blood control. Additional morphologic assessment of tumor content as well as FISH analysis shows that the tumor content was 60% to 70% and the *CD74-ROS1* fusion was identified in 70% of the cells analyzed. This suggests that the acquired D2033N mutation is subclonal and present in about 20% to 23% of the tumor cells that harbor the *CD74-ROS1* fusions. While these data strongly suggest that *ROS1*<sup>D2033N</sup> is a novel acquired crizotinib-resistant mutation, we cannot rule out the possibility that a very rare population (frequency < 2%) was present in the precrizotinib treatment sample that was below the detection threshold of NGS platform used here. Given clinical resistance to crizotinib, the *ROS1* inhibitor cabozantinib was initiated (60 mg daily) on a phase II clinical trial (NCT01639508). Partial response was rapidly achieved by 4 weeks, and confirmed at 8 weeks (Fig. 2, right). At 12 weeks, a near-complete response was achieved with a 92% reduction in disease burden. The patient remains on therapy approaching 8 months (Fig. 1).

#### Functional assessment of crizotinib and cabozantinib sensitivity against the *CD74-ROS1*<sup>D2033N</sup> mutant in cell-based assays

To assess the role of the *CD74-ROS1*<sup>D2033N</sup> mutation as a causative mechanism for crizotinib resistance, we performed cell-based sensitivity profiling using Ba/F3 cells transformed with native *CD74-ROS1* or *CD74-ROS1*<sup>D2033N</sup> (Supplementary Fig. S2A). Ba/F3 cells are an IL3-dependent pro-B murine cell line that is a well-established model system for eliciting oncogene addiction and testing kinase inhibitor efficacy (24). During IL3 withdrawal of Ba/F3 cells, *CD74-ROS1* and *CD74-ROS1*<sup>D2033N</sup> conferred comparable capacity for and kinetics of outgrowth in the absence of the requisite cytokine, suggesting that the mutation does not provide a fitness or growth advantage (Supplementary Fig. S2A). However, while crizotinib exhibited markedly reduced growth inhibition of Ba/F3 *CD74-ROS1*<sup>D2033N</sup> cells as compared with native *CD74-ROS1* cells (IC<sub>50</sub>: 132.3 vs. 21.4 nmol/L, respectively; Fig. 3A), cabozantinib potentially inhibited the growth of both native and D2033N-mutant *CD74-ROS1* cells (IC<sub>50</sub>: 0.78 vs. 2.8 nmol/L, respectively). Inhibition of Ba/F3 *CD74-ROS1*<sup>D2033N</sup> cells by cabozantinib was consistent with induction of apoptotic cell death (Supplementary Fig. S2B). Immunoblot assessment following short-term treatment of native *CD74-ROS1* cells with crizotinib or cabozantinib showed dose-dependent inhibition of phosphorylation of *ROS1* and its downstream effectors SHP2, ERK1/2, AKT, and STAT3 (Fig. 3B). However, in *CD74-ROS1*<sup>D2033N</sup> cells, only treatment with cabozantinib suppressed *ROS1* activation and downstream signaling (Fig. 3B). To characterize the spectrum of inhibitor sensitivity of *CD74-ROS1*<sup>D2033N</sup>, we also evaluated the sensitivity of this mutant to a panel of other *ROS1* kinase inhibitors at varying stages of clinical development (Supplementary Fig. S3A–S3D). As compared with cells expressing native *CD74-ROS1*, the *CD74-ROS1*<sup>D2033N</sup> mutant conferred 4.3-, 3.7-, and 7-fold decrease in sensitivity to ceritinib, brigatinib, and PF-06463922, respectively, but remained highly sensitive to foretinib, a close structural analogue of cabozantinib (Supplementary Fig. S3E and S3F). Furthermore, although the sensitivity of *CD74-ROS1*<sup>D2033N</sup> to PF-06463922 was reduced 7-fold, due to high potency of this recently described

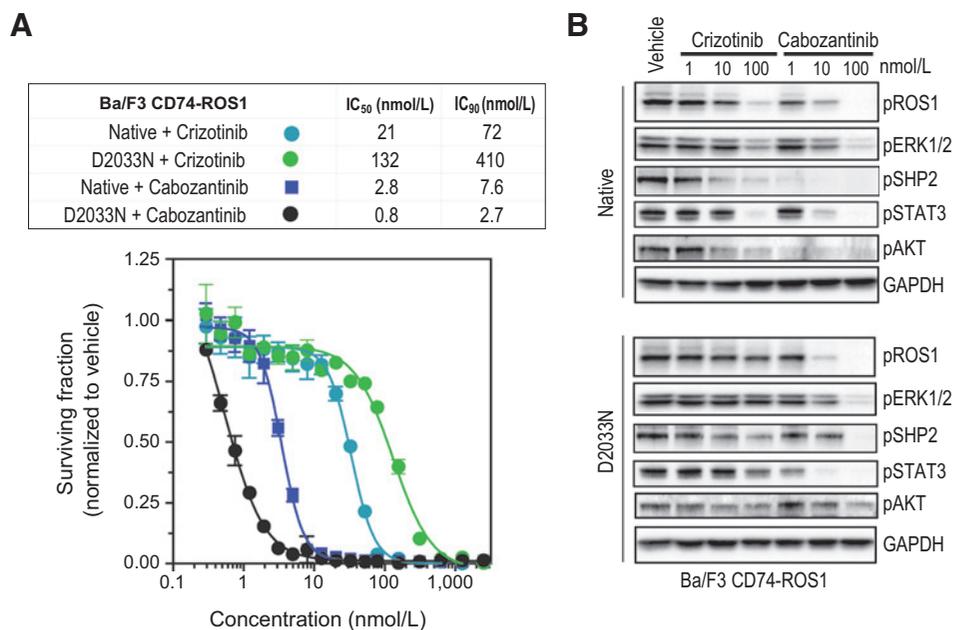


**Figure 2.**

Radiographic evidence of clinical response to cabozantinib. Fused CT/PET images demonstrating disease progression at the onset of acquired resistance to crizotinib and prior to cabozantinib treatment (left). Growing hypermetabolic mediastinal and retroperitoneal adenopathy are indicated by white arrows. Right, fused CT/PET images obtained 4 weeks after the initiation of cabozantinib showing rapid resolution of hypermetabolic mediastinal and abdominopelvic lymph nodes.

**Figure 3.**

The CD74-ROS1<sup>D2033N</sup> mutation confers crizotinib resistance but remains sensitive to cabozantinib. A, cell growth and viability of Ba/F3 cells expressing native CD74-ROS1 or CD74-ROS1<sup>D2033N</sup> after 72-hour exposure to crizotinib and cabozantinib. Results are shown as mean viability normalized to vehicle-treated control  $\pm$  SEM ( $n = 4$ ). Concentrations that decreased cell viability by 50 or 90% are listed as IC<sub>50</sub> and IC<sub>90</sub>, respectively. B, immunoblot analysis of ROS1, ERK1/2, SHP2, STAT3 and AKT phosphorylation from Ba/F3 CD74-ROS1 and CD74-ROS1<sup>D2033N</sup> cells after treatment with the indicated concentrations of crizotinib and cabozantinib. GAPDH expression is included as a loading control.



heterocyclic ROS1 inhibitor (Supplementary Fig. S3B and S3E; ref. 25), the CD74-ROS1<sup>D2033N</sup> mutant is still inhibited in the low nanomolar range *in vitro*.

#### Structural differences in the binding requirements of crizotinib and cabozantinib underlie inhibitor resistance versus sensitivity

To further understand the resistance and selectivity imparted by the D2033N mutation, we performed MD simulation of the native and mutant ROS1 kinase domains using the available X-ray crystal structure (7) and docking analysis of inhibitors on the MD-generated ensemble (Fig. 4A). Both the native ROS1 and ROS1<sup>D2033N</sup> systems were stable during the 500 ns MD simulation and displayed a similar conformation of the ATP-binding site. ROS1<sup>D2033N</sup> showed slight reduction in the flexibility of the P-loop compared with native ROS1, possibly due to reorienting of the carbonyl moiety of P-loop residue L1951, which is necessary to participate in a water-mediated hydrogen bond with N2033.

More dramatically, the D2033N mutation dictates a major change in the electrostatic potential at the exterior surface of the ATP-binding site. Docking analysis performed on the native ROS1 ensemble revealed a strong electrostatic interaction between the protonated piperidine moiety of crizotinib and the negatively charged D2033 residue (Fig. 4B, top). This key interaction is lost as a result of the D2033N mutation, which lacks the negatively charged functional group optimally positioned to interact with this region of bound crizotinib. This mutation also induced subtle reorientations of neighboring residues that further hindered favorable interaction with the protonated piperidine region of crizotinib.

Hypothetical placement of crizotinib on the ROS1<sup>D2033N</sup> mutant (based on structural alignment) indicated electrostatic repulsion between the positively charged piperidine nitrogen and the amine group of N2033 (Fig. 4B, middle). In contrast, the nearest portion of the cabozantinib binding site was at least 5 Å

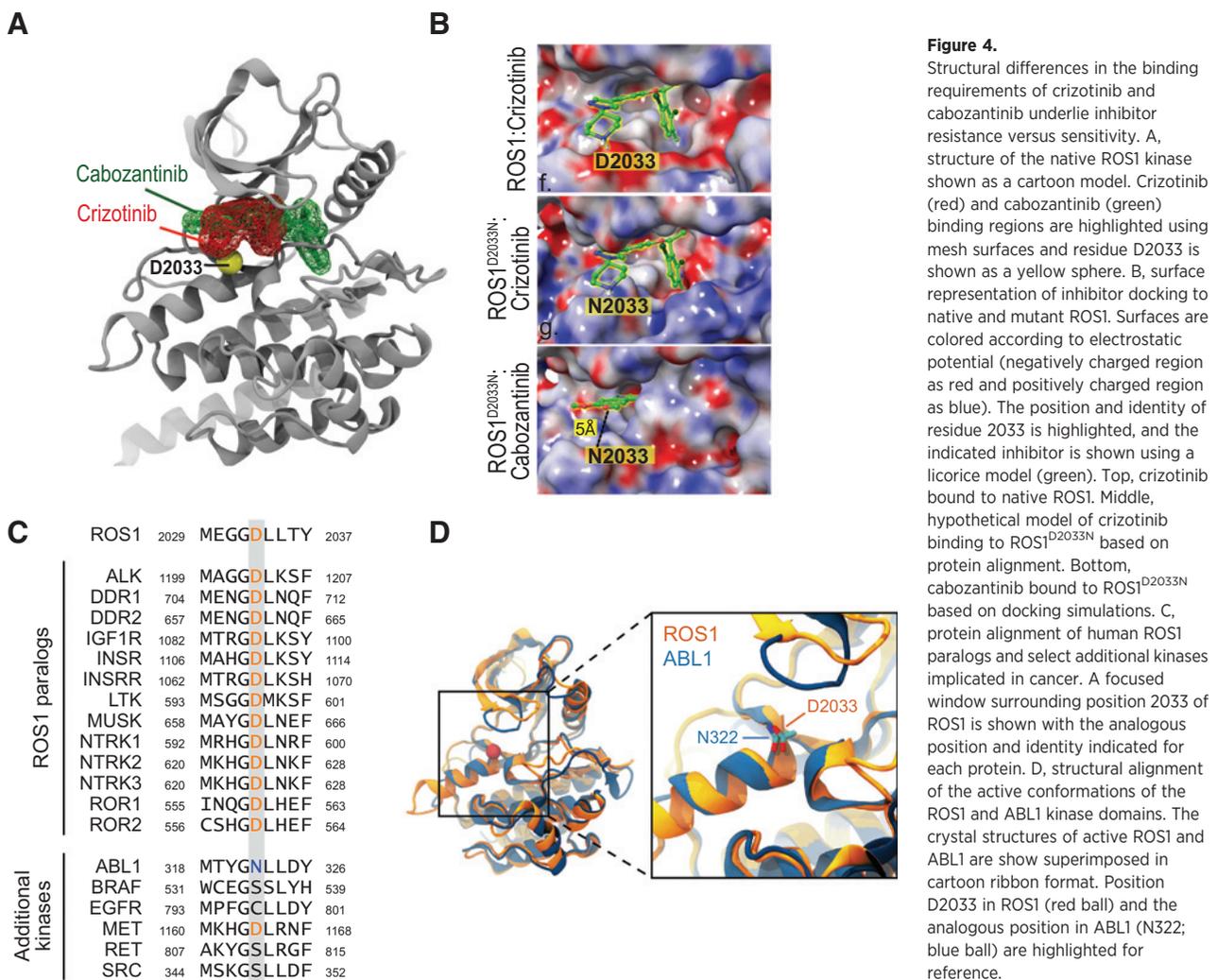
away from residue 2033 in both native ROS1 and ROS1<sup>D2033N</sup>, and its binding does not involve interaction with this residue in either case (Fig. 4B, bottom). Consistently, comparatively poorer docking scores were observed for crizotinib for the ROS1<sup>D2033N</sup> mutant versus native ROS1 (−7 and −9.6 kcal/mol, respectively; lower scores indicate stronger inhibitor binding), whereas favorable and comparable docking scores were seen for cabozantinib bound to ROS1<sup>D2033N</sup> and native ROS1 (−10 and −12 kcal/mol, respectively).

Homology alignment suggests that the native aspartate at position 2033 of ROS1 is highly conserved among ROS1 paralogues, although significant variability is seen among other less closely related kinases (Fig. 4C). Interestingly, the analogous position in ABL1 kinase (322) is an asparagine, thus phylogenetically mimicking the ROS1<sup>D2033N</sup> mutation. Although structural modeling shows similarity in the active conformations of the ROS1 and ABL1 kinase domains, docking simulations suggest that the lack of productive electrostatic interaction may contribute to crizotinib's selectivity for ROS1 over ABL1 (ref. 26; Fig. 4D).

#### Discussion

We have identified ROS1<sup>D2033N</sup> as a novel mechanism of acquired resistance to crizotinib therapy in ROS1-rearranged lung cancer. The D2033N mutation occurs at the solvent-front region of the ATP-binding site of ROS1, similar to G2032R, the only other acquired resistance mutation that has been identified in a patient to date (7, 27). As follow-up of ROS1-rearranged lung cancer patients treated with crizotinib is still relatively short, subsequent sequencing analysis of larger cohorts of crizotinib-resistant patients will be required to better establish the spectrum and frequency of mutations such as D2033N. The analogous mutation in the highly related ALK kinase (D1203N) has not been reported in clinical crizotinib resistance in ALK-rearranged lung cancer, but it was detected in

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**Figure 4.**

Structural differences in the binding requirements of crizotinib and cabozantinib underlie inhibitor resistance versus sensitivity. A, structure of the native ROS1 kinase shown as a cartoon model. Crizotinib (red) and cabozantinib (green) binding regions are highlighted using mesh surfaces and residue D2033 is shown as a yellow sphere. B, surface representation of inhibitor docking to native and mutant ROS1. Surfaces are colored according to electrostatic potential (negatively charged region as red and positively charged region as blue). The position and identity of residue 2033 is highlighted, and the indicated inhibitor is shown using a licorice model (green). Top, crizotinib bound to native ROS1. Middle, hypothetical model of crizotinib binding to ROS1<sup>D2033N</sup> based on protein alignment. Bottom, cabozantinib bound to ROS1<sup>D2033N</sup> based on docking simulations. C, protein alignment of human ROS1 paralogs and select additional kinases implicated in cancer. A focused window surrounding position 2033 of ROS1 is shown with the analogous position and identity indicated for each protein. D, structural alignment of the active conformations of the ROS1 and ABL1 kinase domains. The crystal structures of active ROS1 and ABL1 are shown superimposed in cartoon ribbon format. Position D2033 in ROS1 (red ball) and the analogous position in ABL1 (N322; blue ball) are highlighted for reference.

a cell-based *in vitro* screen for resistance to crizotinib (28). While second-generation ROS1 inhibitors are effective *in vitro* against select ROS1 kinase domain mutations identified from cell-based resistance screens, including those at the gatekeeper position, mutations arising in the solvent-front region are resistant to several of these agents (8). Identifying ROS1 inhibitors that are active in this setting is thus crucial, and as shown here, can have a substantial impact on clinical outcome.

This mutation confers high-level resistance to crizotinib *in vitro*, compromising drug binding secondary to a major change in electrostatic interaction and reorientation of neighboring residues. We demonstrate that cabozantinib overcomes acquired resistance to crizotinib mediated by the ROS1<sup>D2033N</sup> mutation, inducing downstream pathway inhibition and apoptotic cell death. *In vitro* characterization suggests slightly increased sensitivity of the D2033N mutant to cabozantinib, however, expanded structural studies would be required to interrogate such subtle changes in IC<sub>50</sub>. Structural modeling suggests accommodation of this mutation by cabozantinib, corroborating previous data showing that cabozantinib is likewise active against the ROS1<sup>G2032R</sup> mutant (8, 29) and, implies

a role for this compound in circumventing crizotinib-resistant solvent-front mutations.

While the CD74-ROS1<sup>D2033N</sup> mutation was detected as subclonal population in the patient under study, the rapid and near-complete tumor response to the more potent ROS1 inhibitor cabozantinib (92% reduction in 12 weeks) combined with *in vitro* cell-based and structural validation experiments strongly implicate it as the dominant mechanism of crizotinib resistance in this patient. The evidence for clinical resistance in the setting of only a subclonal resistant cell population is not surprising, as a similar scenario is common, for example, to the development of the well-characterized secondary EGFR<sup>T790M</sup> resistance mutation in non-small cell lung carcinoma patients harboring a sensitizing mutation and treated with EGFR inhibitors (30, 31). These mutations are often found in only small proportion of the tumor cells, yet result in profound acquired resistance in patients.

Importantly, this report represents the first clinical description of a dramatic response to ROS1-directed targeted therapy in the setting of acquired resistance to crizotinib. Preclinical validation experiments strongly suggest that the dramatic clinical response to

cabozantinib is due to potent inhibitory activity against the acquired CD74-ROS1<sup>D2033N</sup> mutation; however, we cannot formally rule out potential additive or synergistic effects that may result from inhibiting the primary driver ROS1 along with other target(s) of cabozantinib (VEGFR2 and AXL) that regulate angiogenesis. These findings highlight the growing need to further characterize mechanisms of acquired resistance to ROS1 TKI therapy in a systematic fashion, at the level of structural and functional validation as well as in the clinic. Many of these studies are ongoing and are likely to inform clinical practice in the future. Whenever possible, we recommend that comprehensive molecular profiling be performed for all patients in the setting of acquired resistance to guide appropriate therapy selection. A prospective phase II trial of cabozantinib with a cohort for ROS1-rearranged lung cancer continues to enroll patients (NCT01639508).

### Disclosure of Potential Conflicts of Interest

A. Drilon reports receiving commercial research grants from and is a consultant/advisory board member for Exelixis. M.G. Kris is a consultant/advisory board member for Ariad, Array, AstraZeneca, Daiichi-Sankyo, and Roche/Genentech. G.J. Riely is a consultant/advisory board member for Novartis. No potential conflicts of interest were disclosed by the other authors.

### Authors' Contributions

**Conception and design:** A. Drilon, R. Somwar, R.S. Smith, M.G. Kris, T. O'Hare, M.A. Davare

**Development of methodology:** A. Drilon, N.A. Vellore, M.E. Arcila, L. Wang, R.S. Smith, M. Ladanyi, M.A. Davare

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**Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.):** A. Drilon, R. Somwar, J.P. Wagner, N.A. Vellore, M.E. Arcila, J.F. Hechtman, L. Wang, R.S. Smith, M.G. Kris, G.J. Riely, B.J. Druker, M. Ladanyi, M.A. Davare

**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** A. Drilon, R. Somwar, J.P. Wagner, N.A. Vellore, C.A. Eide, M.S. Zabriskie, M.E. Arcila, J.F. Hechtman, L. Wang, R.S. Smith, M.G. Kris, G.J. Riely, T. O'Hare, M. Ladanyi, M.A. Davare

**Writing, review, and/or revision of the manuscript:** A. Drilon, R. Somwar, N.A. Vellore, C.A. Eide, M.E. Arcila, L. Wang, R.S. Smith, M.G. Kris, G.J. Riely, B.J. Druker, T. O'Hare, M. Ladanyi, M.A. Davare

**Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases):** A. Drilon, R. Somwar, M.G. Kris

**Study supervision:** A. Drilon, G.J. Riely, B.J. Druker, M.A. Davare

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Alexander Drilon, Romel Somwar, Jacob P. Wagner, et al.

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