

# The Cell Cycle Inhibitors p21<sup>WAF1</sup> and p27<sup>KIP1</sup> Are Associated with Survival in Patients Treated by Salvage Prostatectomy after Radiation Therapy<sup>1</sup>

Liang Cheng,<sup>2</sup> Ricardo V. Lloyd, Amy L. Weaver, Thomas M. Pisansky, John C. Cheville, Dharamdas M. Ramnani, Bradley C. Leibovich, Michael L. Blute, Horst Zincke, and David G. Bostwick<sup>3</sup>

Departments of Pathology [L. C.] and Urology [L. C.], Indiana University School of Medicine, Indianapolis, Indiana 46202; Department of Pathology [R. V. L., J. C. C., D. M. R., D. G. B.], Section of Biostatistics [A. L. W.], Division of Radiation Oncology [T. M. P.], and Department of Urology [B. C. L., M. L. B., H. Z., D. G. B.], Mayo Clinic & Mayo Foundation, Rochester, Minnesota 55905

## ABSTRACT

We evaluated p27<sup>KIP1</sup> and p21<sup>WAF1</sup> expression in 52 patients treated by salvage radical prostatectomy and bilateral pelvic lymphadenectomy for biopsy-proven locally persistent or recurrent prostate cancer after external beam radiation therapy. We defined low and high expression based on the median value observed in our sample. Five-year distant metastasis-free survival and cancer-specific survival were 71 and 82%, respectively, for patients with low expression of p21 ( $\leq 5\%$ ), compared with 94 and 100%, respectively, for those with high expression of p21 ( $> 5\%$ ;  $P = 0.02$  and  $0.01$ , respectively). Five-year distant metastasis-free survival and cancer-specific survival were 71 and 82%, respectively, for patients with low expression of p27 ( $< 50\%$ ), compared with 88 and 96%, respectively, for those with high expression of p27 ( $\geq 50\%$ ;  $P = 0.06$  and  $0.01$ , respectively). These findings indicate that p21 and p27 expression levels are significant predictors of survival for patients selected for salvage prostatectomy for recurrent prostate cancer.

Received 7/30/99; revised 12/1/99; accepted 2/14/00.

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

<sup>1</sup> Supported in part by Grant IRG-84-002-16 from the American Cancer Society (to L. C.).

<sup>2</sup> To whom requests for reprints should be addressed, at Department of Pathology, University Hospital 3465, Indiana University School of Medicine, 550 North University Boulevard, Indianapolis, IN 46202. Phone: (317) 274-3486; Fax: (317) 274-5346; E-mail: lcheng@iupui.edu.

<sup>3</sup> Present address: Bostwick Laboratories, 6722 Patterson Avenue, Richmond, VA 23226. E-mail: bostwick@bostwicklaboratories.com.

## INTRODUCTION

Locally persistent or recurrent prostate cancer after RT<sup>4</sup> may be associated with a poor prognosis (1). Although the need for and nature of therapy for such patients remains controversial, “salvage” prostatectomy may be used in an attempt to provide a second opportunity for long-term disease control (2, 3). The identification of factors associated with subsequent distant disease relapse and cancer-specific mortality after salvage prostatectomy may aid in patient selection for this procedure or the consideration of adjuvant systemic therapy.

p21<sup>WAF1</sup> and p27<sup>KIP1</sup> are members of the KIP family of cell cycle proteins, which inhibit several cyclin-dependent kinase complexes (4). Functional loss of the cycle-dependent inhibitors has been implicated in carcinogenesis and cancer progression (5, 6). We and others have demonstrated that loss of p27<sup>KIP1</sup> expression in prostatic (7–10) and nonprostatic malignancies (11–14) may be associated with a more aggressive phenotype. Loss of p21<sup>WAF1</sup> function has been implicated in the failure of irradiation response (15–18), and p21 has been shown to be an independent prognostic factor in prostate carcinoma (19, 20). The present study investigated the levels of p21 and p27 protein expression and their association with survival in patients treated by salvage prostatectomy for locally persistent prostate cancer after RT.

## MATERIALS AND METHODS

The study population consisted of 52 patients treated by salvage radical prostatectomy and bilateral pelvic lymphadenectomy between October 1967 and March 1996 for biopsy-proven locally persistent or recurrent prostate cancer after RT (2). The original cohort consisted of 86 patients (2), who were selected based on the availability of tissues for the study. There were no differences in age, preoperative prostate-specific antigen concentration, pathological stage, surgical margins, Gleason score, cancer volume, DNA ploidy, distant metastasis-free survival, cancer-specific survival, and all-cause survival between the study population (52 patients) and those excluded (34 patients).

The interval from RT to cancer recurrence ranged from 7 months to 17 years (mean, 3.8 years). Patient age at the time of surgery ranged from 51 to 75 years (mean, 65 years), and the mean follow-up duration after surgery was 5.7 years (range, 1.0–13.0 years). Thirteen patients received adjuvant hormonal therapy within 90 days of surgery; and 12 patients received orchiectomy at the time of surgery. Postoperatively, patients were generally evaluated every 3 months for the first

<sup>4</sup> The abbreviation used is: RT, radiation therapy.

**Table 1** Clinical and pathologic characteristics of 52 patients who underwent salvage prostatectomy for recurrent cancer after RT

The preoperative PSA concentration was not available in 14 patients, the highest postirradiation PSA concentration was not available in 13 patients, and cancer volume was not available in 2 patients.

Characteristics	No. of patients (%)
Age at surgery (years)	
<55	3 (5.8)
55–64	20 (38.5)
≥65	29 (55.8)
Preoperative PSA levels (ng/ml)	
<4	9 (23.7)
4–9	17 (44.7)
≥10	12 (31.6)
Highest postirradiation PSA levels (ng/ml)	
<4	8 (20.5)
4–9	15 (38.5)
≥10	16 (41.0)
Interval from RT to surgery (years)	
<3	25 (48.1)
≥3	27 (51.9)
Pathologic stage	
T2	17 (32.7)
T3	24 (46.1)
TxN+	11 (21.2)
Surgical margins	
Negative	30 (57.7)
Positive	22 (42.3)
Gleason score	
<7	3 (5.8)
7	33 (63.5)
8–10	16 (30.8)
Cancer volume (cm <sup>3</sup> )	
<5	23 (46.0)
5–9	11 (22.0)
≥10	16 (32.0)
DNA ploidy	
Diploid	13 (25.0)
Tetraploid	34 (65.4)
Aneuploid	5 (9.6)

2 years, biannually for the next 3 years, and annually thereafter (2). Ten patients (19%) developed distant metastasis, 8 (15%) died of prostate cancer, and 6 (12%) died of other causes.

The radical prostatectomy and bilateral pelvic lymphadenectomy specimens were examined as described previously (2). The pathological stage was T2a in 10 patients (19%), T2b in 7 (13%), T3 in 24 (46%), and TxN+ in 11 (21%). The Gleason score was <7 in 3 patients (6%), 7 in 33 (63%), and >7 in 16 (31%; Table 1). Immunostaining was performed on 6- $\mu$ m formalin-fixed paraffin-embedded sections, using the avidin-biotin complex technique. Primary monoclonal antibodies were used for immunostaining for p21 (dilution 1:500; Transduction Laboratories, Lexington, KY) and for p27 (dilution 1:1000; Transduction Laboratories). Nuclear immunoreactivity was evaluated on a 5% incremental scale, ranging from 0 to 90%, as described previously (7–10). At least 1000 cells were analyzed in each case. All immunostains were evaluated without knowledge of the clinical outcome.

The Cox proportional hazards model was used to evaluate the association of p21 and p27 expression with distant metastasis-free survival and cancer-specific survival, respectively,

after salvage radical prostatectomy. The nuclear immunoreactivity measures were evaluated as dichotomous measures by stratifying patients into low- and high-expression groups based on the median values (21). Survival curves were estimated based on the Kaplan-Meier method.  $P < 0.05$  was considered significant, and all  $P$  values were two-sided.

## RESULTS AND DISCUSSION

p21<sup>WAF1</sup> nuclear immunoreactivity of cancer cells was detected in 39 (75%) of 52 patients (median of nuclear immunoreactivity, 5%; mean, 12%; range, 0–80%), and p27<sup>KIP1</sup> nuclear immunoreactivity was detected in all 52 patients (median degree of nuclear immunoreactivity, 50%; mean, 49%; range, 5–90%). We defined low and high expression based on the median value observed in our sample. Five-year distant metastasis-free survival and cancer-specific survival were 71 and 82% for patients with low expression of p21 ( $\leq 5\%$ ), compared with 94 and 100% for those with high expression of p21 ( $> 5\%$ ;  $P = 0.02$  and  $0.01$ , respectively; Fig. 1, A and B). Five-year distant metastasis-free survival and cancer-specific survival were 71 and 82% for patients with low expression of p27 ( $< 50\%$ ), compared with 88 and 96% for those with high expression of p27 ( $\geq 50\%$ ;  $P = 0.06$  and  $0.01$ , respectively; Fig. 1, C and D). There were a total of 14 deaths. Five-year all-cause survival rates were 82 and 100%, respectively, for those with low ( $\leq 5\%$ ) and high ( $> 5\%$ ) expression of p21 ( $P = 0.02$ ). Similarly, 5-year all-cause survival rates were 82 and 96%, respectively, for those with low ( $< 50\%$ ) and high ( $\geq 50\%$ ) expression of p27 ( $P = 0.09$ ).

We recently evaluated p53 protein expression, cellular proliferation (Ki-67 labeling index), and glutathione *S*-transferase- $\pi$  expression in patients who underwent salvage prostatectomy for recurrent cancer after RT (22). We found that the majority of prostate cancers after RT were proliferative (mean Ki-67 labeling index, 7.0) and that p53 protein overexpression was associated with increased cell proliferation. However, we did not find significant correlation between p21 or p27 expression and p53, Ki-labeling index, or glutathione *S*-transferase- $\pi$  expression (data not shown). The relationship between these markers is inconclusive because of the limited sample size in the present study.

Decreased p27<sup>KIP1</sup> expression in prostatic carcinoma has been associated with aggressive phenotype, and loss of p21<sup>WAF1</sup> function has been implicated in the failure of irradiation response. In the present study, the loss of expression of these two cell cycle inhibitors was associated with reduced metastasis-free, cancer-specific, and all-cause survival durations among patients treated with salvage prostatectomy for locally persistent or recurrent prostate cancer after RT. These findings extended previous observations on the prognostic significance of p21 and p27 (7–10, 19, 20). However, this study population differed from other studies of prostate cancer patients in certain aspects. These patients were selected for a second attempt at cancer ablation with surgical salvage after clinically localized prostate cancer recurrence, and patients were evaluated and treated over a 30-year period. Thus, caution is warranted in comparison of these results with other study populations. The use of median values (5% for p21 and 50% for p27) as arbitrary cutoffs may

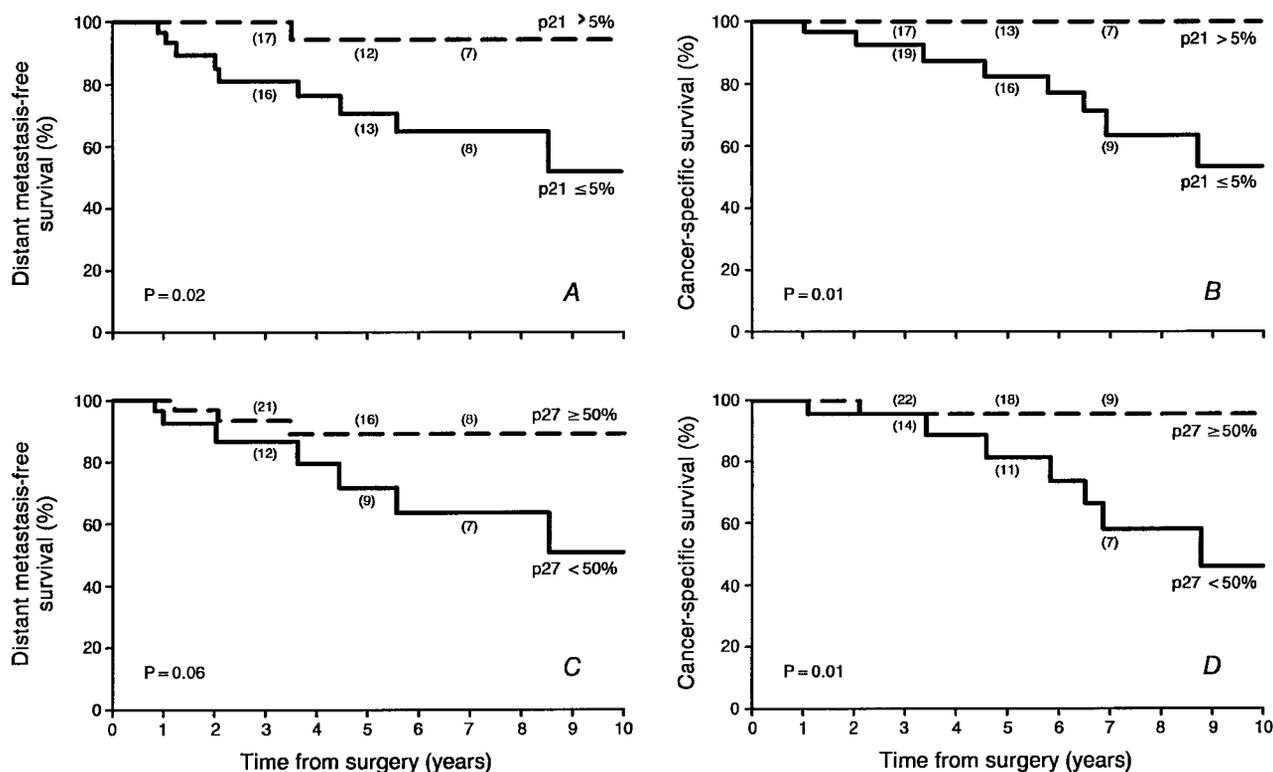


Fig. 1 Kaplan-Meier curves (distant metastasis-free survival and cancer-specific survival) for patients treated by radical prostatectomy for postirradiation local tumor recurrence according to p21<sup>WAF1</sup> expression (A and B) and p27<sup>KIP1</sup> expression (C and D). The median value of the percentage of nuclear immunoreactivity was used as cutoff to stratify patients into low- and high-expression groups for analysis (21). Numbers in parentheses represent numbers of patients under observation at 3, 5, and 7 years.

not be reliable and should be tested in a larger study series. Disease-free survival was not analyzed in this study because a significant proportion of patients were treated prior to the prostate-specific antigen era. Furthermore, the sample size and the number of clinical events were small, which limits the statistical power for evaluating the association with the time-dependent end points. A larger series of patients should be sought to confirm the observations reported herein, and the importance of other prognostic covariates in concert with p21 and p27 requires investigation.

In summary, reduced levels of p21<sup>WAF1</sup> and p27<sup>KIP1</sup> expression were predictive of distant metastasis-free, cancer-specific, and all-cause survival for patients selected for salvage prostatectomy for recurrent prostate cancer. Additional studies are required to clarify the causality and significance of these observations.

## REFERENCES

1. Kuban, D. A., and Schellhammer, P. F. Prognostic significance of post-irradiation prostate biopsies. *Oncology*, 7: 29–38, 1993.
2. Cheng, L., Sebo, T. J., Slezak, J., Pisansky, T. M., Bergstralh, E. J., Neumann, R. M., Iczkowski, K. A., Zincke, H., and Bostwick, D. G. Predictors of survival in prostate cancer patients treated with salvage radical prostatectomy after radiation therapy. *Cancer (Phila.)*, 83: 2164–2171, 1998.
3. Lerner, S. E., Blute, M. L., and Zincke, H. Critical evaluation of salvage surgery for radio-recurrent/resistant prostate cancer. *J. Urol.*, 154: 1103–1109, 1995.

4. Sherr, C. J. Cancer cell cycles. *Science (Washington DC)*, 174: 1672–1677, 1996.
5. Cordon-Cardo, C. Mutations of cell cycle regulators. Biological and clinical implications for human neoplasia. *Am. J. Pathol.*, 147: 545–560, 1995.
6. Hartwell, L. H., and Kastan, M. B. Cell cycle control and cancer. *Science (Washington DC)*, 266: 1821–1828, 1994.
7. Chevillet, J. C., Lloyd, R. V., Sebo, T. J., Cheng, L., Erickson, L., Bostwick, D. G., Lohse, C. M., and Wollan, P. Expression of p27kip1 in prostatic adenocarcinoma. *Mod. Pathol.*, 11: 324–328, 1998.
8. Cordon-Cardo, C., Koff, A., Drobnjak, M., Capodiceci, P., Osman, I., Millard, S. S., Gaudin, P. B., Fazzari, M., Zhang, Z., Massague, J., and Scher, H. I. Distinct altered patterns of p27<sup>KIP1</sup> gene expression in benign prostatic hyperplasia and prostatic carcinoma. *J. Natl. Cancer Inst.*, 90: 1284–1291, 1998.
9. Yang, R. M., Naitoh, J., Murphy, M., Wang, H., Phillipson, J., DeKernion, J. B., Loda, M., and Reiter, R. E. Low p27 expression predicts poor disease-free survival in patients with prostate cancer. *J. Urol.*, 159: 941–945, 1998.
10. Tsihlias, J., Kapusta, L. R., DeBoer, G., Morava-Protzner, I., Zbi-eranowski, I., Bhattacharya, N., Catzvelos, C., Klotz, L. H., and Slingerland, J. M. Loss of cyclin-dependent kinase inhibitor p27<sup>KIP1</sup> is a novel prognostic factor in localized human prostate adenocarcinoma. *Cancer Res.*, 58: 542–548, 1997.
11. Erickson, L., Jin, L., Wollan, P. C., Thompson, G. B., van Heerden, J., and Lloyd, R. V. Expression of p27<sup>KIP1</sup> and Ki-67 in benign and malignant thyroid tumors. *Mod. Pathol.*, 11: 169–174, 1998.
12. Catzvelos, C., Bhattacharya, N., Ung, Y. C., Wilson, J. A., Roncari, L., Sandhu, C., Shaw, P., Yeger, H., Morava-Protzner, I., Kapusta, L., Franssen, E., Pritchard, K. I., and Slingerland, J. M. Decreased levels of

- the cell-cycle inhibitor p27<sup>Kip1</sup> protein: prognostic implications in primary breast cancer. *Nat. Med.*, 2: 227–230, 1997.
13. Loda, M., Cukor, B., Tam, S. W., Lavin, P., Fiorentino, M., Draetta, G. F., Jessup, J. M., and Pagano, M. Increased proteasome-dependent degradation of the cyclin-dependent kinase inhibitor p27 in aggressive colorectal carcinomas. *Nat. Med.*, 3: 231–234, 1997.
14. Lloyd, R. V., Jin, L., Qian, X., and Kulig, E. Aberrant p27<sup>Kip1</sup> expression in endocrine and other tumors. *Am. J. Pathol.*, 150: 401–405, 1997.
15. Waldman, R., Zhang, Y., Dillehay, L., Yu, J., Kinzler, K., Vogelstein, B., and Williams, J. Cell-cycle arrest *versus* cell death in cancer therapy. *Nat. Med.*, 3: 1034–1036, 1997.
16. Wang, Y. A., Elson, A., and Leder, P. Loss of p21 increases sensitivity to ionizing radiation and delays the onset of lymphoma in *atm*-deficient mice. *Proc. Natl. Acad. Sci. USA*, 94: 14590–14595, 1997.
17. Wouters, B. G., Giaccia, A. J., Denko, N. C., and Brown, J. M. Loss of p21<sup>Waf1/Cip1</sup> sensitizes to radiation by an apoptosis-independent mechanism. *Cancer Res.*, 57: 4703–4706, 1997.
18. Brugarlos, J., Chandrasekaran, C., Gordon, J. I., Beach, D., Jacks, T., and Hannon, G. J. Radiation-induced cell cycle arrest compromised by p21 deficiency. *Nature (Lond.)*, 377: 552–557, 1995.
19. Matsushima, H., Sasaki, T., Goto, T., Hosaka, Y., Homma, Y., Kitamura, T., Kawabe, K., Sakamoto, A., Murakami, T., and Machinami, R. Immunohistochemical study of p21WAF1 and p53 proteins in prostatic cancer and their prognostic significance. *Hum. Pathol.*, 29: 778–783, 1998.
20. Ljung, G., Egevad, L., Norberg, M., Holmberg, L., Nilsson, S., and Busch, C. Expression of p21 and mutant p53 gene products in residual prostatic tumor cells after radical radiotherapy. *Prostate*, 32: 99–105, 1997.
21. Altman, D. G., Lausen, B., Sauerbrei, W., and Schumacher, M. Dangers of using “optimal” cutpoints in the evaluation of prognostic factors. *J. Natl. Cancer Inst.*, 86: 829–835, 1994.
22. Cheng, L., Sebo, T. J., Cheville, J. C., Slezak, J., Bergstralh, E. J., Paceli, A., Neumann, R. M., Zincke, H., and Bostwick, D. G. p53 protein overexpression is associated with increased cell proliferation in patients with locally recurrent prostate carcinoma after radiation therapy. *Cancer (Phila.)*, 85: 1293–1299, 1999.

# Clinical Cancer Research

## The Cell Cycle Inhibitors p21<sup>WAF1</sup> and p27<sup>KIP1</sup> Are Associated with Survival in Patients Treated by Salvage Prostatectomy after Radiation Therapy

Liang Cheng, Ricardo V. Lloyd, Amy L. Weaver, et al.

*Clin Cancer Res* 2000;6:1896-1899.

**Updated version** Access the most recent version of this article at:  
<http://clincancerres.aacrjournals.org/content/6/5/1896>

**Cited articles** This article cites 22 articles, 4 of which you can access for free at:  
<http://clincancerres.aacrjournals.org/content/6/5/1896.full#ref-list-1>

**Citing articles** This article has been cited by 12 HighWire-hosted articles. Access the articles at:  
<http://clincancerres.aacrjournals.org/content/6/5/1896.full#related-urls>

**E-mail alerts** [Sign up to receive free email-alerts](#) related to this article or journal.

**Reprints and Subscriptions** To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at [pubs@aacr.org](mailto:pubs@aacr.org).

**Permissions** To request permission to re-use all or part of this article, use this link  
<http://clincancerres.aacrjournals.org/content/6/5/1896>.  
Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.